

# Categories

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1 December 2012

## 1 Objects and Morphisms

A **category** is a class of *objects*  $A$  with *morphisms*  $f : A \rightarrow B$  (a way of comparing/substituting/mapping/processing  $A$  to  $B$ ) such that,

- (i) given morphisms  $f : A \rightarrow B$ ,  $g : B \rightarrow C$ ,  $gf : A \rightarrow C$  is also a morphism,
- (ii) for compatible morphisms,  $h(gf) = (hg)f$ , and
- (iii) each object  $A$  has a morphism  $a : A \rightarrow A$  satisfying  $af = f$ ,  $ga = g$ .

(Note: in a sense, an object  $A$  is the morphism  $a$ ; so we can even do away with objects.)

Sets can be considered as 0-categories (only objects or elements), or as discrete categories with each object  $A$  having one morphism  $a$ .

The class of morphisms from  $A$  to  $B$  is denoted  $\text{Hom}(A, B)$ ; thus  $\text{Hom}(A, A)$  is a monoid.

Even at this abstract level there are at least three important categories:

1. logic (with statements as objects and  $\Rightarrow$  as morphisms),
2. sets (with functions as morphisms),
3. computing (with data types and algorithms).

### 1.1 Morphisms

A **monomorphism**  $f : A \rightarrow B$  satisfies

$$\forall C, \forall x, y \in \text{Hom}(C, A), \quad fx = fy \Rightarrow x = y.$$

$$C \begin{array}{c} \xrightarrow{x} \\ \xrightarrow{y} \end{array} A \xrightarrow{f} B$$

An **epimorphism**  $f : A \rightarrow B$  satisfies

$$\forall C, \forall x, y \in \text{Hom}(B, C), \quad xf = yf \Rightarrow x = y.$$

$$A \xrightarrow{f} B \begin{array}{c} \xrightarrow{x} \\ \xrightarrow{y} \end{array} C$$

1. In particular, for a monomorphism  $f$ ,  $fg = f \Rightarrow g = \iota_A$ ; for an epimorphism  $gf = f \Rightarrow g = \iota_B$ .

2. The composition of monomorphisms is a monomorphism, and of epimorphisms an epimorphism.
3. Conversely, if  $fg$  is a monomorphism then so is  $g$ , and if it is an epimorphism then so is  $f$ .

A monomorphism  $f : A \rightarrow B$  is also called a *sub-object* of  $B$ . Monomorphisms with the same codomain have a pre-order: let  $f \leq g$  for  $f : A \rightarrow C$ ,  $g : B \rightarrow C$  when  $f = gh$  for some (mono)morphism  $h : A \rightarrow B$ ;

$$\begin{array}{ccc} A & \xrightarrow{f} & C \\ h \downarrow & \nearrow g & \\ B & & \end{array}$$

It can be made into a poset by using the equivalence relation  $f \cong g$  when  $f \leq g \leq f$ .

An **isomorphism** is an invertible morphism, i.e.,  $f$  has an inverse  $g$  such that  $fg = \iota_B, gf = \iota_A$ . In this case,  $A$  and  $B$  are called *isomorphic* (an equivalence relation); iff  $f \leq g \leq f$ . An isomorphism  $f : A \rightarrow A$  is called an *automorphism*; for example, any  $\iota_A$ ; the automorphisms of  $A$  form a group.

If  $gf = \iota$  then  $f$  is called a *split monomorphism* or *section* (has a left-inverse), and  $g$  a *split epimorphism* or *retraction* (has a right-inverse). A morphism with left and right inverses is an isomorphism (since then,  $g_1 = g_1fg_2 = g_2$ ).

An *extremal monomorphism* is a monomorphism  $f$  such that the only way  $f = ge$  with  $e$  an epimorphism is that  $e$  is an isomorphism (and  $g$  a monomorphism). An *extremal epimorphism* is an epimorphism  $f$  such that  $f = eg$  with  $e$  a monomorphism  $\Rightarrow e$  is an isomorphism (and  $g$  an epimorphism). Thus a monomorphism which is an extremal epimorphism, or an epimorphism which is an extremal monomorphism, is an isomorphism.

Let  $f \perp g$  mean  $gx = yf \Rightarrow \exists u x = uf, y = gu$ . A *strong* monomorphism is one such that  $\text{Epi} \perp f$ .

Isomorphisms  $\subseteq$  SplitMono  $\subseteq$  StrongMono  $\subseteq$  ExtremalMono  $\subseteq$  Monomorphisms

Isomorphisms  $\subseteq$  SplitEpi  $\subseteq$  StrongEpi  $\subseteq$  ExtremalEpi  $\subseteq$  Epimorphisms

Proof. If  $f$  is a split monomorphism with  $gf = \iota$ , then  $f$  is a monomorphism and  $g$  an epimorphism. If  $f = hk$  with  $k$  an epimorphism, then  $ghk = \iota$  and  $kghk = k$ , so  $kgh = \iota$ ; thus  $k$  has the inverse  $gh$ .

If  $f = ge$  is a strong monomorphism and  $e$  epi, then  $e \perp f$ , so  $f\iota = ge \Rightarrow \exists u \iota = ue, g = fu$ . So  $e$  is split and an epi, hence an isomorphism.

A morphism  $f : A \rightarrow A$  is called *idempotent* when  $f^2 = f$ ; for example, the *split idempotents*  $f = gh$  where  $hg = \iota$ .

An object is called *finite*, when every monomorphism  $f : A \rightarrow A$  is an automorphism. In particular, if  $B \subseteq A \cong B$  then  $A = B$ .

Example: For Sets, a monomorphism is a 1-1 function; an epimorphism is an onto function; such functions are automatically split; an isomorphism is thus a

bijjective function; isomorphic sets are those with the same number of elements; a set is finite in the category sense when it is finite in the set sense.

**Functors** (or *actions*) are maps between categories that preserve the morphisms (and so the objects),

$$Ff : FA \rightarrow FB, \quad F\iota_A = \iota_{FA}, \quad F(fg) = FfFg$$

They preserve isomorphisms.

## 1.2 Constructions

**Subcategory:** a subset of the objects and morphisms; a *full subcategory* is a subset of the objects, with all the corresponding morphisms.

**Dual category:**  $\mathcal{C}'$  has the same objects but with reversed morphisms  $f^\top : B \rightarrow A$ , and  $g^\top f^\top := (fg)^\top$ ; so  $\mathcal{C}'' = \mathcal{C}$ . Every concept in a theorem has a co-concept in its dual (eg monomorphisms correspond to epimorphisms); every theorem in a category has a dual theorem in the dual category. A functor between dual categories is called a *dual functor*; a functor from a dual category to a category is called *contra-variant*,  $F(fg) = F(g)F(f)$ .

A *dagger* category is one for which there is a functor  $\dagger : \mathcal{C} \rightarrow \mathcal{C}'$ , where

$$(fg)^\dagger = g^\dagger f^\dagger, f^{\dagger\dagger} = f.$$

(Set cannot be made into a dagger category because there is a morphism  $\emptyset \rightarrow 1$  but not vice-versa).

**Product of Categories:**  $\mathcal{C} \times \mathcal{D}$  the objects are pairs  $(X, Y)$  with  $X \in \mathcal{C}$  and  $Y \in \mathcal{D}$ , and the morphisms are  $(f, g)$ , where

$$(f_1, g_1)(f_2, g_2) := (f_1 f_2, g_1 g_2), \quad \iota_{(X, Y)} = (\iota_X, \iota_Y).$$

The *projection* functors are  $\mathcal{C} \times \mathcal{D} \rightarrow \mathcal{C}$ ,  $(f, g) \mapsto f$ , and  $\mathcal{C} \times \mathcal{D} \rightarrow \mathcal{D}$ ,  $(f, g) \mapsto g$ .

$$(\mathcal{C} \times \mathcal{D})' \sim \mathcal{C}' \times \mathcal{D}'$$

(The product is the categorical product in Category)

**Quotient Category:** given a category and an equivalence relation on morphisms (of same objects)  $\sim$ , then  $\mathcal{C}/\sim$  is that category with the same objects and with equivalence classes of morphisms. The map  $\mathcal{C} \rightarrow \mathcal{C}/\sim$  defined by  $F : A \mapsto A, f \mapsto [f]$ , is a functor.

**Arrow Category:**  $\mathcal{C}^\rightarrow$  consists of the morphisms of  $\mathcal{C}$  (as objects), with the morphisms  $f \rightarrow g$  being pairs of morphisms  $(h, k)$ , such that  $kf = gh$ ,

$$\begin{array}{ccc} & f & \\ h \downarrow & \square & \downarrow k \\ & g & \end{array}$$

and composition  $(h_1, k_1)(h_2, k_2) := (h_1 h_2, k_1 k_2)$ , and identities  $(\iota_A, \iota_B)$ . Monomorphisms are those pairs  $(h, k)$  where  $h$  and  $k$  are monomorphisms. For example, the arrow category of sets is the category of functions.

**Slice Category** (or comma category):  $\mathcal{C} \downarrow B$  is the subcategory where the morphisms have the same codomain  $B$  and  $k = \iota$ ; the morphisms simplify to  $h$  where  $f = gh$ ; similarly for the morphisms with the same domain. An object  $A$  is called *projective* when every morphism  $f : A \rightarrow B$  factors through any epimorphism  $g : C \rightarrow B$ ,  $f = gh$ . Dually,  $A$  is called *injective* when  $f : B \rightarrow A$  factors through any monomorphism  $f = hg$ .

### 1.3 Functors

Functors can be thought of as higher-morphisms acting on objects and morphisms; or as a model of  $\mathcal{C}$  in  $\mathcal{D}$ .

(Examples: the *constant* functor, mapping objects to a single one, and morphisms to its identity; the mapping from a subcategory to the parent category; *forgetful functor* (when structure is lost) and *inclusion functor* (when structure is added, minimally); the mapping which sends  $A$  to the set  $\text{Hom}(B, A)$  and a morphism  $f$  to the function  $g \mapsto f \circ g$  is a functor from any category to the category of sets; similarly for  $A \mapsto \text{Hom}(A, B)$  and  $f \mapsto (g \mapsto g \circ f)$  (contra-variant).)

A functor is called *faithful* when it is 1-1 on morphisms (and hence objects) It is *full* when it is onto all morphisms in  $\text{Hom}(FA, FB)$ ; it is called *dense*, when it is onto all objects up to isomorphism. It is an *isomorphism* on categories when it is bijective on the morphisms  $\text{Hom}(FA, FB)$ . A dense isomorphism is called an *equivalence*, and the two categories are said to be equivalent  $\mathcal{A} \sim \mathcal{B}$ .

A (left) **adjoint** of a functor is  $F^* : \mathcal{D} \rightarrow \mathcal{C}$  with natural isomorphisms  $e, i$  such that  $e : FF^* \rightarrow 1, i : 1 \rightarrow F^*F$  and  $\text{Hom}(F^*A, B) \sim \text{Hom}(A, FB)$ ; hence  $(FG)^* = G^*F^*$ . (For example, a forgetful functor and inclusion functor are adjoints, with  $i$  being the embedding)

**2-Categories:** Categories with functors as morphisms form a Category; the identity functor is the one which leaves objects and morphisms untouched; (there is an initial object namely  $\emptyset$ , and a terminal object,  $\{.\}$ ) It has the additional structure of a 2-functor, called a “**natural transformation**” (or ‘homotopy’), between functors on the same categories,  $\tau : F \rightarrow G$ ; two such functors map an object  $A \in \mathcal{C}$  to two objects  $FA$  and  $GA$  in  $\mathcal{D}$ , and a natural transformation determines a morphism  $\tau_A : FA \rightarrow GA$  between the two, such that  $\forall f : A \rightarrow B, (Gf)\tau_A = \tau_B(Ff)$  (so  $Ff \sim Gf$ ). A *natural isomorphism* is a natural transformation for which  $\tau_A$  are isomorphisms.

With these notions, two categories are equivalent when there are functors  $F$  and  $F^*$  such that  $F^*F \sim 1, FF^* \sim 1$  (or equivalently when  $F$  and  $F^*$  are isomorphisms with  $F^*F \sim 1$ ). The auto-equivalences of a category form a symmetric monoidal category.

More generally, a 2-category is a set of objects  $A$ , with morphisms  $f : A \rightarrow B$ , and 2-morphisms  $\tau : f_1 \rightarrow f_2$  (for some  $f_1, f_2 \in \text{Hom}(A, B)$ ); 2-morphisms can be combined either “vertically” by composition  $\tau_2\tau_1$ , (and must be associative,

with an identity), or “horizontally”  $\sigma \circ \tau: gf \mapsto \sigma(g)\tau(f)$ , such that

$$\begin{array}{ccc} \xrightarrow{f} & \xrightarrow{g} & \\ \tau_1 \downarrow & \downarrow \sigma_1 & \\ \xrightarrow{\quad} & \xrightarrow{\quad} & \\ \tau_2 \downarrow & \downarrow \sigma_2 & \\ \xrightarrow{\quad} & \xrightarrow{\quad} & \end{array}$$

$$\tau_2\tau_1 \circ \sigma_2\sigma_1 = (\sigma_2 \circ \tau_2)(\sigma_1 \circ \tau_1).$$

A 2-category with 1 object gives rise to a monoidal category (of the morphisms and 2-morphisms of the object); a 2-category with 1 object and 1 morphism gives a commutative monoid (of 2-morphisms).

The functors themselves form a category  $\mathcal{D}^{\mathcal{C}}$  where morphisms are the natural transformations.  $\mathcal{C}^1 \sim \mathcal{C}$ ;  $\mathcal{C}^2$  is the category of arrows on  $\mathcal{C}$ .

## 2 Limits

When a category maps under a functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  to another category, the image of an object may have morphisms that were not present in  $\mathcal{C}$ ; an object  $A \in \mathcal{D}$  may sometimes determine a unique (up to isomorphism) object (called a **universal**)  $U_A$  in  $\mathcal{C}$ , which makes  $F(U_A)$  closest to  $A$  in the sense that there is a unique morphism  $\phi_A : F(U_A) \rightarrow A$ , such that

$$\forall f : F(B) \rightarrow A, \exists! g : B \rightarrow U_A, f = \phi_A F(g).$$

$$\begin{array}{ccc} U_A & & F(U_A) \\ \uparrow & & \uparrow \\ | & & | \\ g \downarrow & & \phi_A \searrow \\ | & & A \\ | & & \nearrow \\ B & & F(B) \end{array}$$

*(Note: In the original image, the arrow from F(B) to A is dashed and labeled f.)*

A *co-universal* is similarly an object  $U_A \in \mathcal{C}$  with a morphism  $\phi_A : A \rightarrow F(U_A)$  such that  $\forall f : A \rightarrow F(B), \exists! g : U_A \rightarrow B, f = F(g)\phi_A$ .

In particular, sub-categories  $\mathcal{C}$  may have universal properties:

**Terminal object** 1:  $\forall A, \exists! f : A \rightarrow 1$  (for the empty sub-category). **Initial object** 0:  $\forall A, \exists! f : 0 \rightarrow A$ .

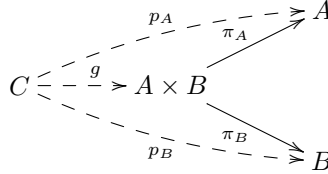
$$0 \longrightarrow A \longrightarrow 1$$

$(0, 0)$  is an initial object in  $\mathcal{C} \times \mathcal{D}$ . For example,  $\{0\}$  and  $\emptyset$  are the terminal and initial objects of sets; TRUE and FALSE are the ones for logic.

**Isomorphism** The closest objects for an object  $A$  with its identity morphism (the category 1), are its isomorphic copies. For example, sets with the same cardinality are isomorphic, while statements  $A \Leftrightarrow B$  are so in logic.

**Products:** For the subcategory 2 (with only the identity morphisms), the closest object of  $A$  and  $B$  is  $A \times B$ , with morphisms  $\pi_A : A \times B \rightarrow A, \pi_B :$

$A \times B \rightarrow B$  such that any other morphisms  $p_A : C \rightarrow A, p_B : C \rightarrow B$  factor out through a unique morphism  $g : C \rightarrow A \times B, p_A = \pi_A g, p_B = \pi_B g$ .



$1 \times A \cong A; A \times B \cong B \times A; (A \times B) \times C \cong A \times (B \times C)$ .

For example, the usual product  $A \times B$ , and the statement  $A$  AND  $B$  are the products for sets and logic respectively.

More generally, starting with a discrete category, the closest object of  $A_i$  is  $\prod_i A_i$ , with  $\pi_i : \prod_i A_i \rightarrow A_i$  i.e., if  $p_i : X \rightarrow A_i$  are morphisms then there is a morphism  $h : X \rightarrow \prod_i A_i$  with  $p_i = \pi_i h$ . A repeated product gives  $A^C$  (starting with a constant functor from a discrete category).

A **relation** on objects  $A, B$  is a monomorphism  $R : \rho \rightarrow A \times B$ .

**Sums** (or Co-products):  $\coprod_i A_i$  is the dual of the product in the dual category i.e., it is the closest object with morphisms  $\pi_i : A_i \rightarrow \coprod_i A_i$ . For example,  $A+B$  (disjoint union) and  $A$  OR  $B$ .

**Equalizer**: starting from the category with two objects  $A, B$ , and morphisms  $f_i : A \rightarrow B$ , their equalizer is the closest object  $E$  with (extremal mono-)morphism

$$\text{eq} : E \rightarrow A, \quad \forall i, j, f_i \text{eq} = f_j \text{eq}.$$

$$E \xrightarrow{\text{eq}} A \begin{matrix} \xrightarrow{f_1} \\ \xrightarrow{f_2} \end{matrix} B$$

For example, for Sets,  $\{x : f_1(x) = f_2(x)\}$ .

Equalizers are monomorphisms: let  $e = \text{eq}$ , if  $xe = ye$  then  $xe f = yef = xeg$ , so  $\exists! u, xe = ue, x = u$ ; similarly  $y = u = x$ .

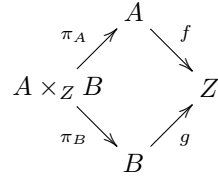
**Co-equalizer**: similarly an (extremal epi-)morphism

$$\text{coeq} : Y \rightarrow E, \quad \forall i, j, \text{coeq} f_i = \text{coeq} f_j.$$

For example, the co-equalizer of a relation on a set  $X$  is the partition on it (for an equivalence relation, this partition is compatible with the relation).

**Pullback** (fibre product): starting from the category with objects  $X_i$  and morphisms  $f_i : X_i \rightarrow Z$ , then the pullback is the (unique...) closest object  $\prod_Z X_i$  with morphisms

$$\pi_i : \prod_Z X_i \rightarrow X_i, \quad f_i \pi_i = \pi_Z.$$



The equalizer is a special case when the morphisms start from the same object. If  $Z$  is the terminal object, then  $\prod_Z X_i = \prod_i X_i$ . For example, the pullback on sets is  $X \times_Z Y = \{(x, y) : f(x) = g(y)\}$ ; in particular when  $g$  is the identity,  $X \times_Z Y = f^{-1}Y$ .

Pullback lemma: pullbacks form squares  $(X \times_Z Y, X, Z, Y)$ ; if two adjacent squares form pullbacks, then so does the outer rectangle; if the outer rectangle and the right (or bottom) square are pullbacks, then so is the left (or upper) square.

Pullbacks preserve monomorphisms: If  $fu = vg$  with  $f$  mono, and  $gx = gy$ , then  $fulx = vgx = vgy = fuy$ , so  $ux = uy$  and  $x = y$  by uniqueness of pullbacks.

**Push-out** is that closest object  $\coprod_Z X_i$  with

$$\pi_i : X_i \rightarrow \coprod_Z X_i, \quad \pi_i f_i = \pi_Z.$$

For example, for sets, the push-out  $X \cup_Z Y$  is the set  $X \cup Y$  with the elements  $f(z) \in X$  and  $g(z) \in Y$  identified.

**Inverse Limit:** starting from the subcategory of a chain of objects  $A_i$  with morphisms  $f_{j,i}$  (such that  $f_{k,i} = f_{k,j}f_{j,i}$ ), the inverse limit is the closest object  $\lim_{\leftarrow} A_i$  with morphisms

$$\begin{aligned}
 \pi_i : \lim_{\leftarrow} A_i &\rightarrow A_i, & \pi_j &= f_{j,i} \pi_i. \\
 \lim_{\leftarrow} A_i &\xrightarrow{\pi_i} \dots A_3 \xrightarrow{f_{23}} A_2 \xrightarrow{f_{12}} A_1
 \end{aligned}$$

(More generally, can start with a topology of objects rather than a chain.) The pullback is a special case. For example, the inverse limit of sets  $X_i$  is the set of sequences  $x_i \in X_i$  such that  $x_j = f_{j,i}(x_i)$ .

**Co-limit** (Direct Limit) is similar with  $\lim_{\rightarrow} A_i$  and morphisms

$$\pi_i : A_i \rightarrow \lim_{\rightarrow} A_i, \quad \pi_i = \pi_j f_{j,i}.$$

More generally, for any subcategory, or any functor,  $F : \mathcal{C} \rightarrow \mathcal{D}$  there may be a **limit** object  $\lim F$  in  $\mathcal{D}$  with (unique) morphisms  $\pi_A : \lim F \rightarrow A$  ( $A \in \mathcal{C}$ ) such that for any  $f : A \rightarrow B$ ,  $A, B \in \mathcal{C}$ ,

$$\begin{array}{ccc}
 & f\pi_A = \pi_B & \\
 \lim F & \xrightarrow{\pi_A} A & \xrightarrow{f} B \\
 & \searrow \pi_B & \nearrow
 \end{array}$$

and it is the closest such object in the sense that for any other  $C \in \mathcal{D}$  with  $f p_A = p_B$  then  $\exists! u : C \rightarrow \lim F, \pi_A u = p_A$ . A limit, if it exists, is unique up to isomorphism.

A **co-limit** is similar with  $f_A : F(A) \rightarrow \operatorname{colim} F$  such that

$$\forall f : A \rightarrow B, \quad f_B F(f) = f_A.$$

In general, any functor from a category with an initial object to  $\mathcal{C}$  has a limit; and any functor from a category with a terminal object has a co-limit.

A **complete** category is one in which every subcategory (or functor) has a limit. For example, the category of sets is complete and co-complete.

So, every functor has an adjoint  $F^* : \mathcal{D} \rightarrow \mathcal{C}$  mapping  $A \mapsto U_A$  and  $f \mapsto g$ ; so that  $FF^* \sim 1$ , and similarly  $F^*F \sim 1$ .

A functor is said to be **continuous** when it preserves limits (e.g. right-adjoints) i.e.,  $\forall G, \lim(FG) = F(\lim G)$ . It is *co-continuous* (e.g. left-adjoints) when it preserves co-limits.

The existence of products  $A \times B$  and equalizers implies the existence of all finite limits. The  $\operatorname{Hom}(A, \cdot)$  functor is continuous, so it represents these limits by sets (and  $\operatorname{Hom}(\cdot, A)$  takes colimits to limits).

A family of **zero morphisms**  $0$  are such that

$$\forall f, g, \quad 0f = g0$$

for example, when  $0 \cong 1$  (called a *zero object*),  $0 : A \rightarrow 0 \rightarrow B$  are zero morphisms.

$$\begin{array}{ccc} & f & \\ & \rightarrow & \\ 0 \downarrow & \searrow 0 & \downarrow 0 \\ & g & \end{array}$$

In this case, the *kernel* of a morphism is the equalizer of  $f$  and  $0$  i.e., the closest (mono)morphism  $k : K \rightarrow A$  such that  $fk = 0$ .

$$K \xrightarrow{k} A \begin{array}{c} \xrightarrow{f} \\ \xrightarrow{0} \end{array} B$$

The co-kernel is the co-equalizer i.e the closest (epi)morphism  $k' : B \rightarrow K'$  such that  $k'f = 0$ .

A **pre-sheaf** is a contra-variant functor from a pre-order (or topology) to a category  $F : \mathcal{O} \rightarrow \mathcal{C}$  (the  $F(x)$  are called *sections* of  $F$  over  $x$ ) such that  $x \leq y \Rightarrow$  there is a restriction morphism  $F(x) \rightarrow F(y)$  with  $\operatorname{res}_{x,x} = \iota_{F(x)}$  and  $x \leq y \leq z \Rightarrow \operatorname{res}_{y,x} \operatorname{res}_{z,y} = \operatorname{res}_{z,x}$ .

A **sheaf** is a continuous pre-sheaf (preserves limits). On a topological space  $X$ , the *stalk* at  $x \in X$  is the direct limit of the open neighborhoods of  $x$ . So there is a morphism  $F(U) \rightarrow F_x$  for  $x \in U$  open (if the morphism is a function  $f \mapsto f_x$ , where  $f_x$  is called the germ at  $x$ ). The *etale* space  $E$  is the space of stalks, with the continuous map  $E \rightarrow X, F_x \mapsto x$ . (the set of sheaves form



a topos, with  $\Omega$  = the disjoint union of all open sets) The space  $E$  is locally homeomorphic to  $X$  (i.e., there are isomorphic open sets in  $E$  and  $X$  that cover  $F_x$  and  $x$ ).

For example, a sheaf of sets is a *bundle*, i.e., a collection of disjoint sets  $A_i$  with a map  $\pi : \bigcup_i A_i \rightarrow I$ ,  $\pi^{-1}(i) = A_i$ ; the category of bundles over  $I$  is the same as the comma category.

## 2.1 Monoidal Categories

Objects have an associative functor *tensor* product  $A \otimes B$  and an object  $I$  (called *unit*) such that

$$\begin{aligned} I \otimes A &\cong A \cong A \otimes I \\ (A \otimes B) \otimes C &\cong A \otimes (B \otimes C) \\ (A \otimes I) \otimes B &\cong A \otimes B \cong A \otimes (I \otimes B) \end{aligned}$$

(the isomorphisms in the first two lines are called the two *unitors* and one *associator* natural isomorphisms; more generally, any product of  $n$  objects are isomorphic to each other). Product of morphisms  $f \otimes g : A \otimes B \rightarrow C \otimes D$ .

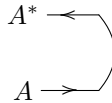
The tensor product is like treating two objects in parallel; so a morphism  $f : A \otimes \dots \otimes B \rightarrow C \otimes \dots \otimes D$  takes  $n$  objects and “maps” them to  $m$  objects, and looks like a Feynman diagram. The unit object is null, so  $f : I \rightarrow A$  “creates” one object. The tensor product is different from the categorical product in that there need not be projections.

The morphisms  $Hom(I, I)$  now have two operations:  $(f \otimes g)(h \otimes k) = (fh) \otimes (gk)$ ; but from universal algebras, this implies that  $f \otimes g = fg$  and is commutative.

Set with  $\times$  is monoidal (in fact cartesian-closed); Set with disjoint union is also monoidal.

The (right) **dual** of an object  $A$  is another object  $A^*$  (unique up to isomorphism), such that there are “annihilation/creation” morphisms

$$A \otimes A^* \rightarrow I, \quad I \rightarrow A^* \otimes A,$$

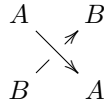


called the *co-unit* of  $A$  and the *unit* of  $A$ , respectively, satisfying the zig-zag equations, i.e., creating then annihilating  $A$  and  $A^*$  leaves nothing  $I$ ; ( $A^*$  can be represented as a line in the opposite direction of  $A$ ;  $A$  is called the left dual of  $A^*$ ).

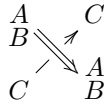
### 2.1.1 Braided Monoidal categories

A monoidal category in which there is a natural isomorphism that switches objects around,

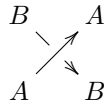
$$A \otimes B \cong B \otimes A,$$



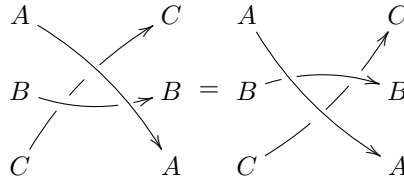
such that all permutations of products become isomorphic, e.g.  $(A \otimes B) \otimes C \cong C \otimes (B \otimes A)$ , i.e.,



It need not be its own inverse! Its inverse is:



The Yang-Baxter equation states



Left duals are duals.

A braided monoidal category is called *symmetric* when the switching isomorphism is its own inverse.

### 2.2 Closed Monoidal Categories

A monoidal category is **closed** when every set of morphisms  $\text{Hom}(A, B)$  has an associated object  $B^A$ , with

$$\text{Hom}(A \otimes B, C) \cong \text{Hom}(B, C^A)$$

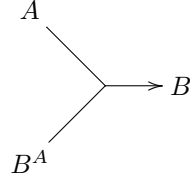
(or alternatively  $\text{Hom}(A \otimes B, C) \cong \text{Hom}(A, C^B)$ ) (via “currying” natural isomorphisms). That is, every morphism can be treated as an object (without inputs). In particular  $f : A \rightarrow B$  is associated to  $I \rightarrow B^A$ .

For example, in sets, the powerset axiom asserts that  $\text{Hom}(A, B)$  is a set  $B^A$ ; in logic the distinction is between the morphism  $A \vdash B$  and the object  $A \Rightarrow B$ .

A monoidal category is **compact** (or *autonomous*) when every object has a dual and a left dual. In this case it is closed, with  $A^B := B^* \otimes A$ , i.e.,  $A^* \cong \text{Hom}(A, I)$ ; in particular the unit  $I$  corresponds to a unit inside  $A^* \otimes A$ .

The reverse of currying, changing an object into a morphism, is an *evaluation* morphism

$$\text{eval} : A \otimes B^A \rightarrow B, \quad \text{eval}(f \otimes \iota_A) = f.$$



(So morphisms of two variables become morphisms of one variable.)

For example, in sets (and functional programming languages),  $\text{eval}(f, x) = f(x)$ ; in logic, it is modus ponens,  $A$  and  $A \Rightarrow B$  gives  $B$ .

### 2.3 Cartesian-closed categories

Finite products exist and are closed, i.e., every functor  $\times A$  has a right-adjoint  ${}^A$ , called exponentiation,

$$\text{Hom}(A \times B, C) \cong \text{Hom}(B, C^A)$$

This means that every morphism  $f : \prod_i A_i \rightarrow C$  can be represented by an ordered set of morphisms  $f_i : A_i \rightarrow C$ .

It is thus symmetric braided monoidal, with  $\otimes$  being  $\times$  and the unit being the terminal object  $1$ ; but has more properties in that it can *duplicate* objects via  $\Delta : A \rightarrow A \times A$ ; and *delete* objects by mapping to  $1$ , i.e.,  $! : A \mapsto 1$ ; every morphism  $f : 1 \rightarrow A \times B$  is of the type  $(1, 1) : 1 \rightarrow A, 1 \rightarrow B$ .

(e.g. the adjoint of  $X \mapsto (X, X)$  is  $(X, Y) \mapsto X \times Y$ .)

$f \times g : A \times B \rightarrow C \times D$  can be defined as that unique morphism induced by  $f\pi_A, g\pi_B$ . In particular,  $(1_a, 1_b) = 1_{a \times b}$ . Similarly, can define the sum  $f + g$ .

#### 2.3.1 Evaluation

$$\text{eval} : A \times B^A \rightarrow B, \quad \text{eval}(f \times \iota_A) = f.$$

An *element* or *point* of  $A$  is a morphism  $x : 1 \rightarrow A$ ; so  $\text{eval}(f, x) = fx$ .

In particular a morphism  $f : A \rightarrow B$  corresponds to an element  $1 \rightarrow B^A$  (called the *name* of  $f$ ).

In such categories, dual concepts lose their symmetry:

There are no morphisms  $A \rightarrow 0$  unless  $A \cong 0$ , in particular if  $0 \cong 1$ , then all objects are isomorphic;  $0 \rightarrow A$  is monic.

$$0 \times A \cong 0, \quad A^1 \cong A, \quad A^0 \cong 1, \quad 1^A \cong 1$$

(proofs: there is only one morphism  $0 \rightarrow B^A$ , so only one morphism  $0 \times A \rightarrow B$  so  $0 \cong 0 \times A$ , and  $A \rightarrow 0 \times A \cong 0 \rightarrow A$  forces them to be isomorphisms;  $\text{eval} : A^1 \rightarrow A$  is an isomorphism;  $1 \rightarrow A^0$  corresponds to  $0 \cong 1 \times 0 \rightarrow A$  which is unique, so  $1 \rightarrow A^0$  and  $A^0 \rightarrow 1$  are inverses;  $1^A \rightarrow 1$  must be  $\iota$  and  $1 \rightarrow 1^A$  corresponds to  $A \rightarrow 1$  also unique; any map  $B \rightarrow 0$  is a unique isomorphism so  $fg = fh \Rightarrow g = h$ )

$$X^{A+B} \cong X^A \times X^B, \quad (A \times B)^C \cong A^C \times B^C,$$

$$(C^A)^B \cong C^{A \times B}, \quad X \times (A + B) \cong X \times A + X \times B$$

(Proofs: the inclusions  $A, B \rightarrow A + B$  give  $X^{A+B} \rightarrow X^A \times X^B$ ; conversely,  $X^A \times X^B \rightarrow X^{A+B}$  correspond to  $A + B \rightarrow X^{X^A \times X^B}$  i.e., to two inclusion maps, and hence the projections  $X^A \times X^B \rightarrow X^A, X^B$ ;

The projections  $A \times B \rightarrow A, B$  give rise to a map  $(A \times B)^C \rightarrow A^C \times B^C$ ; its inverse is  $A^C \times B^C \rightarrow (A \times B)^C$  which corresponds to  $C \times A^C \times B^C \rightarrow A \times B$  i.e., to  $C \times A^C \times B^C \rightarrow A, B$ , i.e., the projections  $A^C \times B^C \rightarrow A^C, B^C$ ;

$C^{A \times B} \rightarrow (C^A)^B$  corresponds to  $B \times C^{A \times B} \rightarrow C^A$ , i.e., the evaluation map  $A \times B \times C^{A \times B} \rightarrow X$ , similarly  $(C^A)^B \rightarrow C^{A \times B}$  corresponds to the double evaluation  $B \times A \times (C^A)^B \rightarrow C$ ;

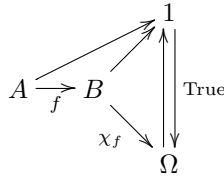
The maps  $A + B \rightarrow (X \times A + X \times B)^X$  correspond to the inclusions  $X \times A, X \times B \rightarrow X \times A + X \times B$  There is a functor mapping morphisms  $f : X_1 \rightarrow X_2$  to  $Ff : X_1^Y \rightarrow X_2^Y$  defined by  $(Ff)g = fg$  for  $g : Y \rightarrow X_1$ . There is another contra-variant functor (restriction?) mapping morphisms  $f : Y_1 \rightarrow Y_2$  to  $Ff : X^{Y_2} \rightarrow X^{Y_1}$ , defined by  $(Ff)g = gf$ .

## 2.4 Topos

A category with finite limits, exponentials (i.e., cartesian-closed), and a sub-object classifier.

A **sub-object classifier** is an object  $\Omega$  (unique up to isomorphism) and a morphism  $\text{True} : 1 \rightarrow \Omega$  such that monomorphisms  $f : A \rightarrow B$  (“sub-objects”) correspond to unique morphisms

$$\chi_f : B \rightarrow \Omega, \quad \chi_f f = A \rightarrow 1 \rightarrow \Omega$$



In particular  $\text{True}$  corresponds to  $\chi_{\text{True}} = \iota_\Omega$ , and the unique monomorphism  $0 \rightarrow \Omega$  corresponds to a morphism  $\neg : \Omega \rightarrow \Omega$ ; hence  $\text{False} := \neg \text{True} : 1 \rightarrow \Omega$ .

For example, for sets  $\Omega = 2$ ; sub-objects  $B : I \rightarrow X$  correspond to subsets  $B \subseteq X$ ; subsets are maps  $A \rightarrow 2$  and correspond to the characteristic maps  $\chi_A : 1 \rightarrow 2^A$ ; a *singleton* is a map  $A \rightarrow 2^A$ .

Other logical connectives are defined in terms of their characteristic maps:

$\text{AND} : \Omega \times \Omega \rightarrow \Omega$	$(\text{True}, \text{True}) : 1 \rightarrow \Omega \times \Omega$
$\text{OR} : \Omega \times \Omega \rightarrow \Omega$	$(\text{True}_\Omega, \iota_\Omega), (\iota_\Omega, \text{True}_\Omega) : \Omega + \Omega \rightarrow \Omega \times \Omega$
$\Rightarrow : \Omega \times \Omega \rightarrow \Omega$	$2 \rightarrow \Omega \times \Omega$ (where 2 is the category $0 \leq 1$ )
<i>complement of f</i>	$\neg \chi_f$
<i>intersections f ∩ g</i>	$\chi_{f \cap g} := \chi_f \text{ AND } \chi_g$
<i>unions f ∪ g</i>	$\chi_{f \cup g} := \chi_f \text{ OR } \chi_g$

But there may be several truth values, i.e.,  $\Omega$  may have several elements  $1 \rightarrow \Omega$ , not just True and False.

$\Omega$  is injective, i.e., for any monomorphism  $f : A \rightarrow B$  and any morphism  $g : A \rightarrow \Omega$ , there is a morphism  $\bar{g} : B \rightarrow \Omega$  such that  $g = \bar{g}f$ .  $\Omega^A$  can be thought of as a “dual” of  $A$ ; the Fourier map  $\hat{\cdot} : A \rightarrow \Omega^{\Omega^A}$  defined by  $\hat{x}(f) = fx$ ;

$f \cong g \Leftrightarrow \chi_f = \chi_g$ ; the sub-objects of  $A$  form a bounded lattice,  $Sub(A) \cong Hom(A, \Omega)$ . A morphism is an isomorphism  $\Leftrightarrow$  it is both mono and epi (called a bi-morphism) (since an epi monomorphism  $f : A \rightarrow B$  is the equalizer of  $\chi_f$  and  $True_{\ell_B}$ ). Every morphism factors as  $f = gh$  where  $h$  is epi and  $g$  is mono (via the object  $fA$  obtained by the pushout of  $f$  with itself). The pull-back of an epimorphism is also epi. Coproducts preserve pullbacks. (implies finite co-limits also exist)

Every category can be extended to a topos. The product of topoi is a topos. A comma category  $\mathcal{C}/A$  of a topos is also a topos; its elements are bundles of elements (i.e., sections) of  $A$ .

Every topos has power objects  $P(A) := \Omega^A$ , meaning objects  $P(A)$  and  $\epsilon_A$  and a monomorphism  $\epsilon : \epsilon_A \rightarrow P(A) \times A$  such that every *relation* (i.e., monomorphism)  $r : R \rightarrow B \times A$  has an associated unique morphism  $f_r : B \rightarrow P(A)$  such that  $R \rightarrow B \times A \rightarrow P(A) \times A = R \rightarrow \epsilon_A \rightarrow P(A) \times A$ .

$$\begin{array}{ccc} \epsilon_A & \xrightarrow{\epsilon} & A \times P(A) \\ \uparrow & & \uparrow \\ R & \xrightarrow{r} & A \times B \end{array}$$

$\Omega \cong P(1)$ . Conversely every category with finite limits and power objects is a topos.

#### 2.4.1 Well-pointed topos

A topos that satisfies the extensionality axiom, elements are epi:

$$\forall x : 1 \rightarrow A, fx = gx \Rightarrow f = g.$$

A morphism is mono  $\Leftrightarrow$  it is 1-1, i.e.,  $fx = fy \Rightarrow x = y$  for all  $x, y : 1 \rightarrow A$ .

A morphism is epi  $\Leftrightarrow$  it is onto, i.e.,  $\forall y : 1 \rightarrow B, \exists x : 1 \rightarrow A, fx = y$ .

The only non-empty object (i.e., without any elements  $1 \rightarrow A$ ) is the initial object (since  $\chi_{1_A} \neq \chi_{0_A}$ ). The only elements of  $\Omega$  are *True* and *False* (bivalent), and  $\Omega \cong 1 + 1$  (Boolean). In fact a topos is well-pointed  $\Leftrightarrow$  the only non-empty object is the initial one, and  $\Omega \cong 1 + 1$ .

The arrow category  $Set^{\rightarrow}$  is neither Boolean nor bivalent;  $Set^2$  is Boolean but not bivalent; the category of actions of a monoid (that is not a group) is bivalent but not Boolean.

#### 2.4.2 With Axiom of Choice

A category is called **balanced** when  $f$  is an isomorphism  $\Leftrightarrow$  it is a monomorphism and an epimorphism.

A category satisfies an **Axiom of Choice** when every epimorphism is right-invertible (splits). So balanced.

For example, in sets, every monomorphism has a left-inverse, except for  $0 \rightarrow A$ ; the axiom of choice says that every epimorphism has a right-inverse.

Strong Axiom of Choice:  $\forall f, \exists g, f = f g f$ .

A topos with the axiom of choice has the *localic* property:  $\exists i : C \rightarrow 1$  monomorphism and  $g_1 \neq g_2 \Rightarrow \exists f : C \rightarrow A, g_1 f \neq g_2 f$ .

Also every object has a complement  $X = A + A'$ .

## 2.5 Pre-additive Categories

When  $\text{Hom}(A, B)$  is an abelian group, distributive over composition of morphisms ie  $f(g + h) = fg + fh, (f + g)h = fh + gh$ . (then  $\text{Hom}(A, A)$  is a ring)

Can be extended to an Abelian category.

### 2.5.1 Additive Categories

A pre-additive category with finite products and sums;

### 2.5.2 Abelian Categories

an additive category in which every morphism has a kernel and a co-kernel (so there is a zero object), and every monomorphism is a kernel and every epimorphism is a co-kernel.

## 2.6 Concrete category

one in which the objects are sets and the morphisms are functions; ie a category which has a faithful functor  $\mathcal{C} \rightarrow \text{Sets}$  (called the forgetful functor).

### 2.6.1 Category of Sets

One can even consider set theory from the categorical point of view with the following axioms:

1. Sets and functions form a category;
2. Sets have finite limits and co-limits;
3. Sets allow exponentiation;
4. Sets have a sub-object classifier (so form a topos); this is a form of comprehension axiom;
5. With a morphism  $T : 1 \rightarrow 2$ ;
6. Sets are Boolean in the sense that the truth-value object 2 is given by  $1 + 1$ ;

7.  $2$  has two elements (up to isomorphism);
8. Axiom of Choice (every epimorphism has a right-inverse);
9. There is an infinite (inductive) set.

It then follows that for every  $A \neq 0$ ,  $\exists A \rightarrow 1$  epimorphism and  $\exists x : 1 \rightarrow A$  morphisms (since  $A \rightarrow 1$  is unique, which gives  $A \rightarrow B \rightarrow 1$  where  $A \rightarrow B$  is an epimorphism; but  $A \neq 0 \Rightarrow B \neq 0$ , so  $B = 1$ ; the axiom of choice gives a morphism  $x : 1 \rightarrow A$ ); every monomorphism  $A \rightarrow B$  induces a “complement” monomorphism  $A' \rightarrow B$  (the pullback of  $B \rightarrow \Omega$  along  $F : 1 \rightarrow \Omega$ ).

### 3 Research Questions

Most grand questions in pure mathematics are of the following type:

1. Syntax: given a set of mathematical structures/examples, to find a minimal set of axioms common to all.
2. Semantics: given a set of axioms, to discover all mathematical examples satisfying them; classify all possible spaces  $X$  in a category i.e., give a concrete description of the spaces, up to isomorphism.

This problem may be too hard or even impossible to answer, so the first attempt is to restrict  $X$  to the smaller ones, or else ask an easier question

- 2a. Find a way of distinguishing spaces: given any two spaces  $X, Y$  is there a way of showing whether they are isomorphic or not?
- 2b. Can one show whether  $X$  is isomorphic to a known space?
- 2c. In particular is  $X$  isomorphic to the trivial space?