# **Topological Groups and Rings**

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# **1** Topological Groups

A **topological monoid** is a topological space with a continuous monoid operation,  $(x, y) \mapsto xy$  (in particular  $x \mapsto ax, x \mapsto xa$ ),

$$\lim_{i} (x_i y_i) = \lim_{i} x_i \lim_{i} y_i.$$

A **topological group** is a  $(T_0)$  topological monoid with continuous inversion  $x \mapsto x^{-1}$ ,

$$\lim_{i} x_i^{-1} = (\lim_{i} x_i)^{-1}.$$

(Note: there are groups in which multiplication, but not inversion, is continuous, e.g. ordered groups with Alexandroff topology; other groups have topologies with multiplication that is continuous in each variable, but not jointly.)

The topology depends only on the neighborhood base of 1, since by translation,  $\mathcal{N}_x = x\mathcal{N}_1 = \mathcal{N}_1 x$ . In fact,  $\mathcal{N}_1$  presents a uniform structure with  $B_U(x) := xU$  for any  $U \in \mathcal{N}_1$  (i.e.,  $1 \in U, U^{-1} \in \mathcal{N}_1, \exists V \in \mathcal{N}_1, VV \subseteq U$ by continuity of \*) (or  $B_U(x) := Ux$  or  $xU \cap Ux$  or UxU).

Morphisms are the continuous group-morphisms; it is enough to check continuity at 1. Examples of morphisms are  $n \mapsto a^n$ ,  $\mathbb{Z} \to G$ ; conjugation  $x \mapsto a^{-1}xa$  is an *inner* automorphism.

Examples:

- $\mathbb{R}^{\times} \times \mathbb{R}$  with (i)  $\binom{x}{y}\binom{a}{b} := \binom{xa}{xb+y/a}$ ; (ii)  $\binom{x}{y}\binom{a}{b} := \binom{xa}{xb+y}$  ( $\cong$  Affine( $\mathbb{R}$ )).
- Sequences of integers with pointwise convergence.
- $\mathbb{Z}$  with the 'evenly spaced topology' of arithmetic sequences  $a + b\mathbb{Z}$ .
- Any group with topology generated from a family  $\mathcal{N}$  of normal subgroups such that  $\bigcap \mathcal{N} = \{1\}$  and their cosets; e.g. the discrete topology.
- Free topological groups: The free group  $A^*$  on any topological space A, with topology generated by multiplication and inversion.
- The permutations S(A) of a discrete topological space A, with composition and pointwise convergence (induced by  $A^A$ ). Every topological group can be embedded in some such group.

- The isometries of a metric space, with composition and pointwise convergence. Every topological group can be embedded in Iso(X) for some Banach space X.
- The automorphisms  $\operatorname{Aut}(K)$  of a compact  $T_2$  topological space, with composition and the compact-open topology of C(K, K). Every topological group can be embedded in some such group.

	Finite	Top.FinitelyGenerated with $(2^{nd})$ CountableBase	2 <sup>nd</sup> Countable	Separable	
Topological Groups	/////	$\mathbb{Z}_{a+b\mathbb{Z}}$	$\mathbb{Q}^n, \mathbb{Z}^{\mathbb{N}}, \mathbb{R}^{\mathbb{N}}, \ell^2$	$\mathbb{Z}^{\mathbb{R}},  \mathbb{R}^{\mathbb{R}},  C[0,1]$	$\mathbb{Z}^{2^{\mathbb{R}}},\ell^{\infty}$
Locally Compact Groups	/////	$ \mathbb{Z}^n, \ \mathbb{R}^n, \ GL(n), \\ H_3(\mathbb{R}), \ \{ a, b \}^* $	$\mathbb{Q}_p, 2^{(\mathbb{N})}$	$2^{\mathbb{R}} \times \mathbb{Z},  \mathbb{T}^{\mathbb{R}} \times \mathbb{R}$	Discrete $\mathbb{R}$
Compact Groups	$S_n$	SO(n)	$S_n^{\mathbb{N}}$	$S_n^{\mathbb{R}}$	$S_n^{2^{\mathbb{R}}}$
Abelian Compact Groups	$C_n$	$\mathbb{T}^n,\mathbb{T}^{\mathbb{N}}$	$2^{\mathbb{N}}$	$2^{\mathbb{R}},  \mathbb{T}^{\mathbb{R}}$	$2^{2^{\mathbb{R}}}, \mathbb{T}^{2^{\mathbb{R}}}$

Subgroups, products  $X \times Y$ , sums  $\sum_i X_i$  (a subgroup of  $\prod_i X_i$ ), functions  $X^A$ , its subgroup of continuous functions C(A, X) when A is a topological space, and  $X \rtimes_{\phi} Y$  are also topological groups.

1. Translations  $L_a : x \mapsto ax$ ,  $R_a : x \mapsto xa^{-1}$ , and inversion  $x \mapsto x^{-1}$  are homeomorphisms (hence preserve closed, open, connected, compact, ... subsets), and  $L_{ab} = L_a L_b$ ,  $R_{ab} = R_a R_b$ .

If U is open in X, and  $A \subseteq X$ , then  $AU (= \bigcup_{a \in A} aU = B_U(A))$ , UA, and  $U^{-1}$  are also open.  $\mathcal{N}_1$  is closed under products and inversion of its sets.

- 2. (a)  $\forall U \in \mathcal{T}_1, \exists V \in \mathcal{T}_1, xU = Vx$ , (similarly)  $xyU \supseteq xVyV$ . (In particular,  $VxV \subseteq xU, VV \subseteq U; V^{-1}x \subseteq xU, V^{-1}xV \subseteq xU$ ).
  - (b) Every  $U \in \mathcal{T}_1$  contains a "symmetric" open set, i.e.,  $V^{-1} = V$ , e.g.  $U \cap U^{-1}$ .
  - (c)  $\overline{A}\overline{B} \subseteq \overline{AB}, \ \overline{A}^{-1} = \overline{A^{-1}}, \ \overline{xA} = x\overline{A}, \ (xA)^{\circ} = xA^{\circ}; \ \overline{A} = \bigcap_{U \in \mathcal{T}_1} AU$ ( $\Rightarrow \ \overline{U} \subseteq UU$ ).

- (d) If A, B are connected/compact/totally bounded, then so is AB. (But  $\mathbb{Z} \times 0 + \{ (n, \frac{1}{n}) : n \in \mathbb{Z} \}$  is not closed in  $\mathbb{Q}^2$ .)
- (e) If K is compact and F closed then KF is closed. Proof: If  $x \notin KF$ , then  $UK \cap xF^{-1} = \emptyset$  (since  $T_3$ ), so  $U^{-1}x \subseteq (KF)^{\mathsf{c}}$ (since  $A \cap BC = \emptyset \Leftrightarrow AC^{-1} \cap B = \emptyset$ ).
- (f) Disjoint compact and closed subsets can be separated using the same open set:  $KU \cap CU = \emptyset$ . Proof: For  $x \in K$ ,  $\exists V, xV \cap C = \emptyset$ ; let  $WWW \subseteq V$ , so  $xWW \cap CW = \emptyset$  and  $K \subseteq x_1W_1 \cup \cdots \cup x_nW_n$ ;  $U := \bigcap_i W_i$ ; then  $KU \cap CU \subseteq (\bigcup_i x_iW_iW_i) \cap CW_i = \emptyset$ .
- 3. (a) A subgroup is either clopen (no boundary), or has no interior.
  Proof: If a, b ∈ H and aU ⊆ H (U ∈ N<sub>1</sub>), then bU ⊆ ba<sup>-1</sup>H = H; its complement of cosets is also open.
  For example, 2Z is clopen in Z; Q is neither closed nor open in R; R is a boundary set in C.
  - (b) The closure of a (normal/abelian) subgroup is a (normal/abelian) subgroup.

Proof: If  $x_n, y_n \in H$ ,  $x_n \to x$ ,  $y_n \to y$ , then  $x_n y_n \to xy \in \overline{H}$ ,  $x_n^{-1} \to x^{-1} \in \overline{H}$ .

- (c)  $\overline{H} \searrow H$  is either  $\varnothing$  or dense in  $\overline{H}$  (since if  $y \in \overline{H} \searrow H$  and  $x \in H$ , then yU contains some  $a \in H$ ; so  $xy^{-1}a \in xU \searrow H$ ).
- 4. Denote the subgroup generated by A by  $\llbracket A \rrbracket = \bigcup_{n \in \mathbb{N}} (A \cup A^{-1})^n$ . Every set ('topologically') generates a closed subgroup  $\overline{\llbracket A \rrbracket}$ . A 'basis' is a minimal topologically generating set of G.

The subgroup generated by

- (a) a non-empty open set is clopen
- (b) a compact set is  $\sigma$ -compact
- (c) a connected set containing 1 is connected
- (d) a finite set is separable, and  $\overline{[a_1, \ldots, a_n]}$  is second countable.

 $\llbracket A \rrbracket$  has a (non-compatible) left-invariant metric  $d(x, y) := \min\{n : x \in y(A \cup A^{-1})^n\}$ . Note that if  $1, a \in A$ , then  $\llbracket a^{-1}A \rrbracket = \llbracket A \rrbracket$ .

- 5. (a) The kernel of a morphism is a closed normal subgroup. Closed normal subgroups give quotients that are topological groups.
  - (b) Stabilizers of some group action, e.g. the centralizer and normalizer of any subset, are closed subgroups.
  - (c) Discrete subgroups are closed.

Proof: There is  $U \in \mathcal{T}_1$  such that  $U^{-1}U \cap H = \{1\}$ ; if  $h_i \to x$ , then  $x^{-1}h_i \in U$  eventually; but  $x^{-1}h_i, x^{-1}h_j \in U$  implies  $h_i^{-1}h_j \in U^{-1}U \cap H$ , so  $h_i$  is eventually constant; hence  $x \in H$ . 6. The topology is homogeneous  $T_{3.5}$ ; so a topological group with an isolated point is discrete (e.g. finite groups); the connected components are either uncountable or points, so the only countably infinite groups are discrete or have the topology of  $\mathbb{Q}$ . Note that  $\mathbb{Z}^{\mathbb{R}}$  is not  $T_4$ .

(Markov) Every  $T_{\rm 3.5}$  topological space is embedded in some topological group.

7. A filter is Cauchy when

$$\forall U \in \mathcal{T}_1, \ \exists A \in \mathcal{F}, \ x, y \in A \ \Rightarrow \ x^{-1}y \in U.$$

If  $\mathcal{F}$  is Cauchy and  $\mathcal{F} \subseteq \mathcal{G} \to x$  then  $\mathcal{F} \to x$ .

Proof: Let  $U \in \mathcal{T}_1$ ,  $VV \subseteq U$ , then  $xV \in \mathcal{G}$  and there is  $A \in \mathcal{F}$  with  $AA^{-1} \subseteq V$ ; so  $a \in A \cap xV \in \mathcal{G}$ , and  $A \subseteq aV \subseteq xVV \subseteq xU$ , so  $xU \in \mathcal{F}$ , i.e.,  $\mathcal{N}_x \subseteq \mathcal{F}$ .

8. A function is uniformly continuous when  $\forall U \in \mathcal{T}_1, \exists V \in \mathcal{T}_1, f(xV) \subseteq f(x)U$ , e.g. morphisms; preserve Cauchy filters, total boundedness.

Proof: By continuity at 1, for any  $U \in \mathcal{T}_1$ , there is  $V \in \mathcal{T}_1$ ,  $\phi V \subseteq U$ ; hence  $\phi(xV) \subseteq \phi(x)U$ .

- 9. The following are closed characteristic subgroups (hence normal):
  - (a) The center Z(G)
  - (b)  $G_1$ , the connected component of 1; the other components are its homeomorphic cosets; contains the connected subgroup  $[G, G_1]$ . For any clopen set  $U, UG_1 = U$ . The component of  $\prod_i G_i$  is  $\prod_i G_{i1}$ .
  - (c)  $Q(G):=\bigcap\{\,A:1\in A \text{ clopen in }G\,\},$  the quasi-connected component of 1
  - (d)  $\operatorname{Core}(G) := \bigcap \{ H \leq G : \operatorname{clopen} \}$ , here called the "core"; any neighborhood of 1 generates a subgroup that covers it. The clopen subgroups form a filter in the lattice of subgroups.
  - (e)  $\operatorname{NCore}(G) := \bigcap \{ H \leq G : \operatorname{clopen} \}$ , the "normal core"; any normal neighborhood of 1 eventually covers it; the clopen normal subgroups form a monoid with  $\operatorname{NCore}(G)$  as identity.

 $G_1 \subseteq Q(G) \subseteq \operatorname{Core}(G) \subseteq \operatorname{NCore}(G)$ 

In a locally connected group,  $G_1$  is normal clopen, so NCore $(G) = G_1$ . (f) The *polycompact radical*, PRad $(G) := \overline{\bigcup \{H \leq G : \text{compact}\}}$ 

Proof:  $G_1G_1, G_1^{-1}, \phi^{-1}G_1$  are connected and contain 1. The map  $x \mapsto [y, x]$  is continuous, so  $[y, G_1]$  are connected and generate the connected subgroup  $[G, G_1]$ . For  $u \in U$  clopen,  $uG_1$  is connected, so  $uG_1 \subseteq U$ .

For any clopen subset F and  $a \in Q$ , then  $Fa^{-1}$ ,  $F^{-1}$ , and  $\phi^{-1}F$  are clopen and contain 1, so QQ (since  $Q \subseteq Fa^{-1}$ ),  $Q^{-1}$ , and  $\phi Q$  are subsets of F.

Similarly, for any (normal) clopen subgroup H, the (n)core  $C \subseteq \phi^{-1}H$ , so  $\phi C \subseteq H$ ; for any neighborhood of 1,  $\llbracket U \rrbracket$  is clopen, so contains  $\operatorname{Core}(G)$ . If  $H \leq K$  and  $x \in H$  then  $xK \subseteq H$  is open, so H is open.

10. A normal subgroup that is totally disconnected, 0-D, or discrete, commutes with  $G_1$ , Q(G), or Core(G), respectively.

Proof:  $f: x \mapsto [a, x]$  is continuous, and  $G \to H$  when  $a \in H$ ;  $f^{-1}1$  is the centralizer c(a);  $fG_1$  is connected in H, hence  $fG_1 = \{1\}$ . For any  $b \in H \setminus 1$ , there is  $U_b$  clopen on 1 not containing b, so  $Q \subseteq f^{-1}U_b$  and  $Q \subseteq \bigcap_b f^{-1}U_b = f^{-1}1$ . If H discrete,  $f^{-1}1$  is a clopen subgroup, so  $\operatorname{Core}(G) \subseteq c(a)$ .

A discrete normal subgroup is called a *lattice* of G.

11. Series or products: By  $\prod_i x_i$  is meant the net of finite products  $(\prod_{\substack{i \in A \\ A \in \mathcal{A}}} x_i)$ .

So a product is Cauchy when for any  $U \in \mathcal{T}_1$ , there is a finite set I such that for any finite set J,  $\prod_{i \in J \searrow I} x_i \in U$ .

For sequences,  $\prod_{n \in \mathbb{N}} x_n$  is Cauchy when  $\prod_n^m x_i \in U$  for n, m large enough. (In additive notation, products are written as  $\sum$ .)

For abelian groups,  $\prod_i (x_i y_i) = (\prod_i x_i)(\prod_i y_i)$  and  $\prod_i x_i^{-1} = (\prod_i x_i)^{-1}$ .

Topological groups can *act* on topological spaces (where the action  $(g, x) \mapsto g \cdot x$  is required to be continuous), and on measure spaces (where the action must preserve measurable (and null) sets). For example, G acts on the cosets of a closed subgroup by  $x \cdot yH := xyH$ . A function on X is left-*invariant* when  $f(x_1, \ldots, x_n) = f(g \cdot x_1, \ldots, g \cdot x_n)$  for any  $g \in G$ . For example, a measure is left-invariant when  $\mu(xE) = \mu(E)$ .

A local group is a topological space with a partial group structure, i.e., there is a 1, left/right translations by a neighborhood of 1 are defined, as well as inverses, and continuity and associativity hold whenever possible; local groups are locally isomorphic when a neighborhood of 1 in X is homeomorphic to a neighborhood of 1 in Y and  $\phi(xy) = \phi(x)\phi(y), \phi^{-1}(xy) = \phi^{-1}(x)\phi^{-1}(y)$  hold whenever possible.

#### Structure of G

1. For H a closed normal subgroup, G/H is a topological group and the morphism  $\pi: G \to G/H$  is an open map (since  $\pi U = UH$ ).

For an open morphism, the map  $x \ker \phi \mapsto \phi(x)$  is an isomorphism

 $G/\ker\phi\cong\mathrm{im}\,\phi$ 

Also,  $(G/K)/(H/K) \cong G/H$ , but  $HK/K \cong H/H \cap K$  may be false, e.g. for  $a \in \mathbb{Q}^{c}$ ,  $a\mathbb{Z}/(a\mathbb{Z} \cap \mathbb{Z}) \cong \mathbb{Z}$  but  $(a\mathbb{Z} + \mathbb{Z})/\mathbb{Z}$  is not discrete.  $\prod_i (G_i/H_i) \cong (\prod_i G_i)/(\prod_i H_i)$  via the open morphism  $(x_i) \mapsto (x_i H_i)$ .

2. If H is clopen then G/H is discrete.

If H is compact, then  $\pi: G \to G/H$  is a closed (and open) map (F closed in G is compact, so FH is closed).

3. If H and G/H are both connected/compact/separable, then so is G.

Proof: xH is connected, so if F is clopen, then  $xH \subseteq F$  or  $xH \subseteq F^{c}$ ; so  $\pi F = G/H$  as it is clopen. Given an open cover  $U_{\alpha}$  of G, each xH is covered by a finite number of them; of these,  $\pi U_{\alpha}$  cover G/H.

4. Recall from topology, that  $G/G_1$  is totally disconnected and G/Q(G) is completely disconnected. The clopen subsets of G/Q(G) correspond to those in G.

 $G/\mathrm{NCore}(G)$  has trivial NCore, so it is embedded in a product of discrete groups.

For G locally connected,  $G/G_1$  is discrete.

Proof: If H is a normal clopen subgroup, then G/H is discrete; so  $x \mapsto (xH)$  for all such H is a 1-1 open morphism.

5. The simple topological groups are either connected or totally disconnected, have no clopen subgroups or are discrete, are abelian or have trivial center, etc.

#### 1.0.1 Normed Groups

Given a left-invariant metric, define the norm  $||x|| := d(1, x), G \to \mathbb{R}^+$ , so that  $d(x, y) = d(1, x^{-1}y) = ||x^{-1}y||$ ; then the metric properties become:

$$\begin{split} \|xy\| \leqslant \|x\| + \|y\| & \quad d(a,c) \leqslant d(a,b) + d(b,c) \\ \|x^{-1}\| &= \|x\| & \quad d(b,a) = d(a,b) \\ \|x\| &= 0 \Leftrightarrow x = 1 & \quad d(a,b) = 0 \Leftrightarrow a = b \end{split}$$

Then  $||x|| \ge \frac{1}{2} ||xx^{-1}|| = 0$ ,  $||x_1 \cdots x_n|| \le ||x_1|| + \cdots + ||x_n||$ ,  $|||x|| - ||y||| \le ||x^{-1}y||$ (so the norm is continuous);  $B_r(x) = xB_r$  (where  $B_r := B_r(1)$ ),  $B_rB_s \subseteq B_{r+s}$ ,  $B_r^{-1} = B_r$ .

The metric is compatible with the topology of G if  $B_r$  are open and form a base (" $V \subseteq B_r \subseteq U$ "); the boundary of  $B_r$  need not be  $S_r := \{x : ||x|| = r\}$ .

A metric is left-right invariant  $\Leftrightarrow ||yx|| = ||xy|| \Leftrightarrow B_r x = xB_r \Leftrightarrow \text{the topology is 'balanced', i.e., there is a base of normal open sets.}$ 

Proposition 1

G is normable  $\Leftrightarrow$  it is first countable.

PROOF: Given any bounded  $f: G \to \mathbb{R}$  (can assume f(1) = 0), a semi-norm can be created by  $|a| := \sup_x |f(xa) - f(x)| \ge |f(a)|$ . For first countable spaces, there exist compatible norms: Let  $V_{1/2^n}$  be a nested sequence of symmetric 1neighborhoods such that  $V_{1/2^{n+1}}^2 \subseteq V_{1/2^n}$ ; for any dyadic rational  $p = 0 \cdot r_1 \dots r_n$  $(r_i = 0, 1)$ , let  $V_p := V_{r_1/2} \cdots V_{r_n/2^n}$ , so that  $V_p V_q \subseteq V_{p+q}$ ; let  $f(a) := \inf\{t: a \in V_t\}$  (extended by 1 in  $V_1^c$ ); hence  $f(a) \le t \Leftrightarrow a \in V_t$ .  $B_t \subseteq V_t \subseteq B_{t+\epsilon}$ , since for any  $a \in V_t$ ,  $\epsilon > 0$ ,  $x \in G$ , there is a dyadic p such that  $p \le f(x) ;$  $so <math>xa, x^{-1}a \in V_{p+\epsilon}V_t \subseteq V_{t+p+\epsilon}$ , so  $|a| = \sup_x |f(xa) - f(x)| \le t + \epsilon$ .

Examples:

- Linearly ordered groups with the interval topology, since  $|x'x^{-1}| < \delta$ ,  $|y^{-1}y'| < \delta \Rightarrow \delta^{-1}(xy)^{-1}\delta^{-1} < x'y' < \delta xy\delta$ . Examples:  $\mathbb{Z}+$ ,  $\mathbb{Q}+$ ,  $\mathbb{R}^{\times} \cdot$ .
- Subgroups; open images using

$$\|\pi(x)\| := \inf_{\pi(y)=\pi(x)} \|y\| = \inf_{\pi(y)=1} \|y^{-1}x\| = d(x, \pi^{-1}1)$$

hence quotients (||xH|| = d(x, H))

- Countable products of normed groups (since first countability is preserved).
- $\ell^{\infty}(G)$  with  $(a_n)(b_n) := (a_n b_n), ||(a_n)|| := \sup_n ||a_n||.$
- 1. A morphism is Lipschitz when  $||f(x)|| \leq c ||x||$ .
- 2. A subset is bounded iff  $\forall x \in A$ ,  $||x|| \leq c$ , i.e.,  $A \subseteq B_c$ . If A, B bounded then so is AB.
- 3.  $\|\prod_n x_n\| \leq \sum_n \|x_n\|$ ; so in a complete normed group, if  $\sum_{n \in \mathbb{N}} \|x_n\|$  converges ('absolutely'), then so does  $\prod_{n \in \mathbb{N}} x_n$ ; moreover sub-'series' (even rearrangements, and inverses) converge as well.

Proof:  $\left\|\prod_{n=M}^{N} x_n\right\| \leq \sum_{n=M}^{N} \|x_n\|;$   $\sum_{n=M}^{N} \|x_{\sigma(n)}^{\pm 1}\| \leq \sum_{n=\min\{\sigma(M),\dots,\sigma(N)\}}^{\max\{\sigma(M),\dots,\sigma(N)\}} \|x_n\| \to 0.$ However  $\sum_n \frac{(-1)^n}{n}$  converges but  $\sum_n \frac{1}{n}$  doesn't.

4. Root test: If  $r := \lim_{n \to \infty} ||x_n||^{1/n} < 1$  then  $\prod_n x_n$  is Cauchy (e.g. when  $||x_n|| \leq \frac{c}{2^n}$ ); if r > 1 then it diverges. Ratio test:  $r = \lim_{n \to \infty} \frac{||x_{n+1}||}{||x_n||}$  if it converges.

Proof:  $d(x_1 \cdots x_n, x_1 \cdots x_m) = ||x_{n+1} \cdots x_m|| \leq (r+\epsilon)^{m-n}$ ; else  $||x_n|| \geq (r-\epsilon)^n > 1$  for infinitely many *n*. For *n* large enough,  $(r-\epsilon)^{n-n_0} \leq ||x_n||/||x_{n_0}|| \leq (r+\epsilon)^{n-n_0}$ .

- 5. Separable first countable groups and  $\sigma$ -compact metric groups are second countable (from topology).
- 6. The norm induced on an open image of a complete group is complete. So quotients are complete if G is complete.

Proof: Let  $\phi(x_n)$  be absolutely convergent in  $\dim \phi$ , so  $\sum_n \|\phi(x_n)\|$  converges. For each *n*, there is a  $v_n$ ,  $\|v_n\| \leq \|\phi(x_n)\| + 2^{-n}$ ,  $\phi(x_n) = \phi(v_n)$ , so  $\sum_n \|v_n\|$  converges as does  $\sum_n v_n = v$ . Hence  $\sum_n \phi(x_n) = \sum_n \phi(v_n) = \phi(\sum_n v_n) \to \phi(v)$ .

- 7. If  $\phi: X \to Y$  is a morphism with X is complete, and  $\|\phi(x)\| \ge c \|x\|$  then  $\phi$  is 1-1 and has a closed image.
- 8. Proposition 2

**Open Mapping Theorem** 

An onto morphism from a complete separable normed group to a non-meagre group is open.

Examples of non-meagre groups are complete metric groups and locally compact groups.

**PROOF:**  $\phi B_r$  is not nowhere dense since *H* is not meagre:

$$H = \phi G = \phi \overline{A} = \phi(AB_r) = \bigcup_n \phi(a_n)\phi B_r$$

 $\overline{\phi B_r}$  form a base for H: there is an interior point  $aV \subseteq \overline{\phi B_{r/2}}$ , so

$$1 \in W_r := V^{-1}V \subseteq \overline{\phi B_{r/2} \phi B_{r/2}} \subseteq \overline{\phi B_r}$$

 $\forall U \in \mathcal{T}_1(H), \exists \overline{V} \subseteq U, \exists r, \ \phi B_r \subseteq V \text{ by continuity of } \phi, \text{ so } \overline{\phi B_r} \subseteq \overline{V} \subseteq U.$ 

 $W_{r/3} \subseteq \overline{\phi B_{r/3}} \subseteq \phi B_r$ : Let  $y \in \overline{\phi B_{r/3}}$ ; then there is an  $x_1 \in B_{r/3}$  with  $\phi(x_1) \in y\overline{\phi B_{r/9}}$ ; so  $\phi(x_1)^{-1}y \in \overline{\phi B_{r/9}}$ ; continuing by induction

 $\exists x_n \in B_{r/3^n}, \quad \phi(x_n) \in \phi(x_{n-1})^{-1} \cdots \phi(x_1)^{-1} y \overline{\phi B_{r/3^{n+1}}}$ 

Thus  $\phi(x_1 \cdots x_n) \in y \overline{\phi B_{r/3^{n+1}}}$ , and  $\prod_n x_n$  is a Cauchy sequence in G; so  $\prod_n x_n \to x \in \overline{B_{r/2}}$  since  $||x_1 \cdots x_n|| \leq ||x_1|| + \cdots + ||x_n|| \leq r/2$ , and

$$y = \lim_{n \to \infty} \phi(x_1 \cdots x_n) = \phi(x) \in \phi \overline{B_{r/2}} \subseteq \phi B_r$$

Finally,  $\phi B_r(x)$  is open since  $\phi(x)W_{r/3} \subseteq \phi(x)\phi B_r = \phi B_r(x)$ , enough to show  $\phi$  is an open mapping.

9. Closed Graph Theorem: A group-morphism between complete separable normed groups with a graph  $\operatorname{Graph}(\phi) = \{(x, \phi(x)) : x \in G\}$  that is closed in  $G \times H$ , is continuous.

Proof: Graph( $\phi$ ) is a complete separable normed group and  $\pi_G$ : Graph  $\rightarrow$  G is a bijective morphism, hence an isomorphism; thus  $\phi = \pi_H \circ \pi_G^{-1}$  is continuous.

10. Every balanced topological group can be embedded in a product of normed groups.

Proof: For normal  $U \in \mathcal{T}_1$ , can form a semi-norm  $|\cdot|_U$ ; hence a norm on the metric group  $G/Z_U$  where  $Z_U = \{x : |x|_U = 0\}$ ; consider  $\phi : X \to \prod_U G/Z_U, x \mapsto (xZ_U)_{U \in \mathcal{T}_1}$ , a 1-1 morphism.

11. The completion of a normed abelian group has a product  $[a_n][b_n] := [a_n b_n]$ and a norm  $||[a_n]|| := \lim_{n \to \infty} ||a_n||$ , where  $[a_n]$  is an equivalence class of the Cauchy sequence  $(a_n)$ . Any morphism (being uniformly continuous) can be extended to the completions.

# 1.1 Locally Compact Groups

Examples

• 
$$\mathbb{C}+, \mathbb{C}^{\times} \cdot, \mathbb{H}^{\times}.$$

• The Heisenberg group 
$$\begin{pmatrix} 1 & \mathbb{R} & \mathbb{R} \\ 0 & 1 & \mathbb{R} \\ 0 & 0 & 1 \end{pmatrix}$$
; the Weil-Heisenberg group  $\begin{pmatrix} 1 & \mathbb{R} & \mathbb{T} \\ 0 & 1 & \mathbb{R} \\ 0 & 0 & 1 \end{pmatrix}$ .

• Lie groups, i.e., topological groups that are locally isomorphic to  $\mathbb{R}^n$ .

Closed subgroups, quotients, and finite products are again locally compact groups.

Proposition 3

Haar measure

There is a positive left-invariant Radon measure on Borel sets, which is unique up to a constant.

PROOF: For K compact and  $U \in \mathcal{N}_1$ , let

$$m_U(K) := \min\{ n \in \mathbb{N} : K \subseteq \bigcup_{i=1}^n a_i U, a_i \in K \}$$

then  $m_U(\emptyset) = 0$ ,  $m_U$  is increasing,  $m_U(K_1 \cup K_2) \leq m_U(K_1) + m_U(K_2)$ , with equality if  $K_1 U \cap K_2 U = \emptyset$ ;  $m_U(xK) = m_U(K)$ .

Let  $K_0$  be a compact neighborhood of 1, and

$$\mu_U(K) := m_U(K)/m_U(K_0),$$

then  $m_U(K_0) = 1$ ;  $0 \leq \mu_U(K) \leq m_{K_0}(K)$  for all U since K is covered by  $m_{K_0}(K)$  translates of  $K_0$ , which in turn are covered by  $m_U(K_0)$  translates of U. Hence  $\mu_U \in \prod_K [0, m_{K_0}(K)]$ , a compact  $T_2$  space; but as  $\mathcal{N}_1 \to 1$ , the filter  $\mu_{\mathcal{N}_1} \to \mu$  uniquely. By continuity of  $f \mapsto f(K)$ ,  $\mu(\emptyset) = 0$ ,  $\mu(K_0) = 1$ ,  $\mu$  is increasing and finitely sub-additive, translation-invariant, and  $K_1 \cap K_2 = \emptyset \Rightarrow \exists U \in \mathcal{T}_1, K_1U \cap K_2U = \emptyset \Rightarrow \mu(K_1 \cup K_2) = \mu(K_1) + \mu(K_2)$ . It can thus be extended to a translation invariant Borel measure.

Each left-Haar measure has an associated right measure  $\mu_r(E) := \mu(E^{-1})$ ; the left and right-Haar measures need not be the same.

1. Any compact neighborhood K of 1 generates a clopen  $\sigma$ -compact subgroup that is finitely generated modulo K:  $\llbracket K \rrbracket = K \llbracket a_1, \ldots, a_n \rrbracket$ . So, topologically, G is the countable sum of  $\sigma$ -compact cosets (including  $G_1$ ), hence paracompact  $T_2$ .

Proof: Let  $L := K \cup K^{-1}$ ; then  $LL \subseteq Ka_1 \cup \cdots \cup Ka_n$   $(a_i \in LL)$ ;  $F := [\![a_1, \ldots, a_n]\!]$ ; then  $LLF \subseteq KF \subseteq LF$ , so  $[\![K]\!] = [\![L]\!] = LF = KF$ . Every coset xH has a locally finite refinement of a given open cover.

Note that picking a nested sequence of open subsets  $U_n \subseteq K$ , and  $V_{n+1}^2 \subseteq U_n \cap V_n$  with  $\forall x \in K, x^{-1}V_{n+1}x \subseteq V_n$ , gives a compact normal subgroup  $H := \bigcap_n V_n$ , so  $[\![K]\!]/H$  is a  $\sigma$ -compact normed group (hence separable).

2.  $\operatorname{Core}(G) = G_1$  (compactly generated).

Proof: From 7,  $G/G_1$  is 0-D; for any  $x \notin G_1$ , there is  $K \subseteq G/G_1$  compact open subgroup not containing  $xG_1$ , so  $G_1 \subseteq \pi^{-1}K \subseteq \{x\}^c$  (a clopen subgroup).

(Hence groups such that  $G/G_1$  is compact are compactly generated.)

3. The abelian subgroup  $\overline{[a]}$  is either discrete ( $\cong \mathbb{Z}$ ) or compact.

Proof: If not discrete, then any neighborhood of 1 contains infinitely many  $a^{\pm n}$ ; so for any compact neighborhood,  $a^n K$  (n > 0) cover K (since  $a^n \in xK^{-1}$  for arbitrarily large n); hence  $K \subseteq aK \cup \cdots \cup a^N K$ . For any n, pick the first  $m \ge 0$  with  $a^{n+m} \in K$ ; then  $a^{n+m} \in a^k K$ ; for i := k - m,  $0 < i \le N$  and  $a^n = a^{(n+m-k)+i} \in a^i K$ ; so  $a^i K$  cover  $[\![a]\!]$ , which is thus totally bounded.

4. Every automorphism has a modular form,  $\delta(\tau) := \mu(\tau E)/\mu(E) \in \mathbb{R}^+$ ;

$$\delta\tau\sigma = \delta(\tau)\delta(\sigma)$$

In particular, the *modular* function  $\Delta(a)$  is the determinant of the inner automorphism  $x \mapsto a^{-1}xa$ ; then

$$\mu(Ex) = \mu(x^{-1}Ex) = \Delta(x)\mu(E)$$
$$\Delta(xy) = \Delta(x)\Delta(y)$$

Thus  $\Delta$  is a morphism, so  $\Delta(x^{-1}yx) = \Delta(y)$ ,  $[G, G] \subseteq \ker \Delta$ . Proof: Let  $\mu(EU) \leq \mu(E) + \epsilon$  and  $\mu(ExU) \leq \mu(Ex) + \epsilon$ ; the

Proof: Let  $\mu(EU) < \mu(E) + \epsilon$  and  $\mu(ExU) < \mu(Ex) + \epsilon$ ; then for  $x \in U \cap U^{-1}$ ,  $\mu(Ex) - \epsilon < \mu(E) < \mu(Ex) + \epsilon$ ; so  $|\mu(Ex) - \mu(E)| < \epsilon$  and  $\Delta$  is continuous at 1.

For a closed normal subgroup,  $\Delta_H = \Delta$ .

5. Proposition 4

Gleason-Yamabe

There is a clopen subgroup H containing a compact normal subgroup K, such that H/K is locally Euclidean.

6. (Cartan-Iwasawa-Malcev) A connected locally compact group has a maximal compact normal subgroup K, all such subgroups are conjugates, and G/K is topologically  $\mathbb{R}^n$ ; the intersection of these maximal compact subgroups is the (poly)compact radical. G is thus a 'projective limit' of Lie groups  $\lim_{K\to 1} G_1/K$ .

A general locally compact group contains a compact subgroup such that G/K is topologically  $D \times \mathbb{R}^n$  with D a discrete space.

7. Totally disconnected locally compact groups have a base of compact open subgroups; hence are 0-dimensional.

Any image G/H is again totally disconnected. There is no classification of them, although the characteristically simple ones are nearly so (Caprace).

Proof: G is 0-D (see Topology), with a base of compact open subsets K. For each  $x \in K$ , there is  $V_x \subseteq K$  such that  $V_x x V_x \subseteq K$ ; so  $K \subseteq x_1 V_1 \cup \cdots \cup x_n V_n$ ;  $V := V_1 \cap \cdots \cap V_n$ ; then  $VK \subseteq \bigcup_i Vx_i V_i \subseteq K$ ; hence  $\llbracket V \rrbracket \subseteq K$  is a clopen subgroup.

8. A locally compact normed group is complete.

Proof: If  $(x_n)$  is Cauchy, then  $||x_m^{-1}x_n|| \to 0$ , so  $x_n \in x_m K$  for m, n large enough; thus  $x_n \to x \in x_m K$ .

9. A morphism from a  $\sigma$ -compact to a locally compact group is an embedding. (The image of X is  $\sigma$ -compact, hence locally compact, hence there is a compact subset with non-empty interior.)

## 1.1.1 $\sigma$ -Compact Locally Compact Groups

1. Open mapping theorem: An onto morphism  $\phi : G \to H$  from a  $\sigma$ clc group to a non-meagre group is open (so  $G/\ker \phi \cong H$ ).

Proof: Let  $VVV \subseteq U$ ,  $\bar{V}$  compact; then  $G = \bigcup_{n \in \mathbb{N}} x_n V$  (since  $\sigma$ -compact); so  $\phi G = \bigcup_n \phi(x_n \bar{V}) = \bigcup_n \phi(x_n) \phi \bar{V}$ ; hence  $\phi V$  has a non-empty interior W, so  $1 \in w^{-1}W \subseteq \phi(v^{-1})\phi \bar{V} \subseteq \phi V \bar{V} \subseteq \phi U$ .

The closed graph theorem follows since the closed Graph of a group morphism is  $\sigma$ -compact locally compact.

- 2. If H, K are commuting normal subgroups of a  $\sigma$ clc group, with  $H \cap K = 1$ , then  $HK \cong H \times K$ .
- 3. Any clopen subgroup has a countable number of cosets.
- 4. There is a compact normal subgroup whose quotient is a separable normed group.

Proof:  $G = \bigcup_n K_n$  with  $K_n \subseteq K_{n+1}$ ; start with  $U_0$  an open subset of a compact neighborhood. Let  $f(a, x) := a^{-1}xa$ ; by continuity at 1, for any  $a \in K_n$ , there are V, W open with  $f(aV, W) \subseteq U_{n-1}$ . Then  $K_n \subseteq$  $a_1V_1 \cup \cdots \cup a_kV_k$ ; let  $W_n := W_1 \cap \cdots \cap W_k \in \mathcal{T}_1$ , reduced to  $U_n \subseteq W_n$  such that  $U_n^2, U_n^{-1} \subseteq U_{n-1}$ ; so  $f(K_n, U_n) \subseteq U_{n-1}$ . Thus  $K := \bigcap_n U_n = \bigcap_n \bar{U}_n$ is a closed subgroup; it is normal since for any  $a \in K_N$ ,  $n \ge N$ ,

$$a^{-1}Ka \subseteq f(K_N, U_n) \subseteq f(K_n, U_n) \subseteq U_{n-1}$$

Moreover, G/K is first countable (by  $U_n$ ) and  $\sigma$ -compact.

5. Compactly generated locally compact groups: so are open images; if H, G/H are compactly generated l.c. groups and G is l.c. then G is compactly generated (by  $K_H K_{G_1} F$  where F finite set,  $K_{G/H} \subseteq \pi K_G F$ ).

 $G = \bigcup_n K^n$ , so  $K^n$  has non-empty interior for some n.

An **amenable** group is a locally compact group that has a left-invariant finitely-additive measure on  $2^G$  with  $\mu(G) = 1$ . Examples include locally compact abelian groups and compact groups. Closed subgroups, finite products, quotients are again amenable.

#### 1.2 Unimodular Groups

are locally compact groups whose left and right Haar measures are the same, thus  $\Delta = 1$ :

$$\mu(Ex) = \mu(xE) = \mu(E^{-1}) = \mu(E)$$

equivalently, when there is a normal compact neighborhood of 1 (since  $\mu(K) = \mu(x^{-1}Kx) = \Delta(x)\mu(K)$ ).

Examples:

- Discrete groups (with counting measure). Countable locally compact groups are discrete (since homogeneous  $T_{3.5}$  spaces are discrete or  $\mathbb{Q}$ ).
- Compact groups (since  $\Delta G$  is a compact subgroup of  $\mathbb{R}$ )
- Topologically simple locally compact groups, since  $\overline{[G,G]} = G$  or 1 (abelian).
- $GL(\mathbb{R}^n)$ , the invertible  $n \times n$  matrices, with the induced metric from  $\mathbb{R}^{n^2}$ , and measure  $\mu(T)/|\det T|^n$ .
- 1. The space of compact open normal (con) subgroups form a metric group.  $f(H, K) := |H/H \cap K|$  satisfies the multiplicative triangle inequality, so  $d(H, K) := \ln f(H, K) + \ln f(K, H)$  is a metric on the set of con subgroups.

#### 1.2.1 Locally Compact Abelian Groups

Examples:

- $\mathbb{R}^n$  with translations (the Haar measure is called Lebesgue measure  $d\boldsymbol{x}$ , generated from  $K_0 = [0, 1]^n$ )
- $\mathbb{R}^{\times}$  with scalings (measure dx/x)
- $\mathbb{S}$  with rotations (measure  $d\theta$ )
- $\mathbb{Z}^{(\mathbb{N})}$ , the finite integer sequences
- Groups topologically generated by one element ('monothetic')
- 1. They are unimodular.
- 2. Topologically finitely generated subgroups are the product of a discrete subgroup and a compact one, since  $[\![a_1, \ldots, a_n]\!] = [\![a_1]\!] \cdots [\![a_n]\!]$ , with each subgroup either discrete or totally bounded.
- 3. Hence a compactly generated abelian group contains a subgroup  $H \cong \mathbb{Z}^n$  such that G/H is compact.

Proof:  $\llbracket K \rrbracket = K \llbracket a_1, \ldots, a_n \rrbracket = K \llbracket a_1 \rrbracket \cdots \llbracket a_n \rrbracket$ ; distinguish between  $\llbracket a_i \rrbracket$  that are compact or discrete  $(\cong \mathbb{Z})$ , hence  $\llbracket K \rrbracket / H \cong K \overline{\llbracket a_{m+1} \rrbracket} \cdots \overline{\llbracket a_n \rrbracket$  compact.

- 4. NCore $(G) = \text{Core}(G) = G_1$ ; so when totally disconnected, can be embedded in a product of discrete abelian groups.
- 5. Dual space of Characters:  $G^* := \text{Hom}(G, \mathbb{S})$  is a locally compact abelian group with the compact-open topology generated by the base  $\Phi_{\epsilon}(K) := \{\phi \in G^* : \phi K \in e^{2\pi i] - \epsilon, \epsilon}\}$  where K is any compact neighborhood of 1 (uniform convergence on compact sets). (Note: for non-abelian locally compact  $T_2$  groups,  $G^*$  need not be a group.)

'Proof':  $\Phi_{\epsilon}(K^n) \subseteq \Phi_{\epsilon/n}(K)$ ;  $\Phi_{\epsilon}(K)$  is totally bounded.

- (a)  $G^*$  separates points of G (i.e.,  $\forall x \neq 1, \exists \phi \in G^*, \phi(x) \neq 1$ );
- (b)  $(G \times H)^* \cong G^* \times H^*$ , via  $J(\phi, \psi)(x, y) := \phi(x)\psi(y)$  (onto since  $\chi(x, y) = \chi(x, 1)\chi(1, y)$ , continuous since  $J(\Phi_{\epsilon/2}(\pi_G K) \times \Phi_{\epsilon/2}(\pi_H K)) \subseteq \Phi_{\epsilon}(K) \subseteq (G \times H)^*$ );
- (c) The dual of a morphism  $\phi : G \to H$  is  $\phi^* : H^* \to G^*$ ,  $\phi^*(\psi) := \psi \circ \phi$ ; The annihilator and pre-annihilator of subsets of  $G, G^*$  are the closed subgroups

$$A^{\perp} := \{ \phi \in G^* : \phi A = 1 \}$$
$$^{\perp} \Phi := \{ x \in G : \Phi x = 1 \}$$

 $A^{\perp} \cong (G/\overline{\llbracket A \rrbracket})^*$  via  $\phi \mapsto \phi \circ \pi$ .

- (d) If H is a clopen subgroup, then  $G^* \to H^*$  is an open map and  $H^* \cong G^*/H^\perp.$
- (e)  $H := \bigcap_{\phi \in G^*} \ker \phi = \overline{[G,G]}$ ; hence  $G^* \cong (G/H)^*$ .
- 6. Pontryagin duality:  $G^{**} \cong G$  via  $x^{**}(\phi) := \phi(x)$ .

Proof: For continuity, take K compact in  $G^*$ , L compact in G,  $K \subseteq A\Phi_{\epsilon}(L)$  with  $A = \{\phi_1, \ldots, \phi_n\}$ ; by continuity,  $\phi_i \in \Phi_{\epsilon}(V_i)$ ; let  $V := L^{\circ} \cap \bigcap_i V_i$ ; then for any  $\phi \in K$ ,  $x \in V$ ,  $\phi = \phi_i \psi$ , so  $\phi V \subseteq \phi_i V \psi V \subseteq U_{2\epsilon}$ .

7. There is therefore a correspondence between LCA groups and their duals:

G is compact	$\leftrightarrow$	$G^*$ is discrete
normed		$\sigma$ -compact
connected compact		torsion free
compact totally disconnected		torsion discrete

(So even compact abelian groups cannot be classified.)

Proof: If G discrete, then  $G^* = \overline{\Phi_{\epsilon}(1)}$  is compact; if G is compact then  $\{1\} = \Phi_{\epsilon}(G)$  is open. If G is first countable with compact base  $K_n$ , then any  $\phi \in \Phi_{\epsilon}(K_n)$  for some n by continuity, so  $G^* = \bigcup \overline{\Phi_{\epsilon}(K_N)}$ ; if  $G = \bigcup_n K_n, K_n \subseteq K_{n+1}$ , then  $\Phi_{\epsilon}(K_n) \supseteq \Phi_{\epsilon}(K_{n+1})$  is a countable base.

- 8. Every locally Euclidean abelian group is of the type  $\mathbb{R}^n \times \mathbb{T}^m \times D$  where D is a discrete abelian group.
- 9. Every compactly generated LCA group is isomorphic to  $\mathbb{R}^n \times K$ , with K compact. In particular,  $G_1 \cong \mathbb{R}^m \times K$  where K is a connected compact abelian group.

Proof: Any compact neighborhood generates H with  $H/\mathbb{Z}^m \cong K$ ; dually,  $H^*/K^* \cong \mathbb{T}^m$ . As  $K^*$  is discrete,  $H^*$  is locally isomorphic to  $\mathbb{T}^m$ , hence isomorphic to  $\mathbb{R}^{n_1} \times \mathbb{T}^{n_2} \times D$  with D discrete. Thus  $H^{**} \cong \mathbb{R}^{n_1} \times \mathbb{Z}^{n_2} \times K$ .

# **1.3 Compact Groups**

Examples:

- $\mathbb{S}$ , the complex numbers of unit length
- $\mathbb{S}^3$ , the quaternions of unit length
- Finite groups. Countable compact groups are finite.
- The Cantor set 2<sup>N</sup> (embedded in ℝ) with addition of binary sequences. More generally, Boolean groups 2<sup>A</sup>.

Products, closed subgroups and images are again compact groups.

Slightly more general are the totally bounded groups: images and products are again totally bounded. Every totally bounded group can be completed (embedded in) to a compact group.

- 1. Clopen subgroups have a finite number of cosets.
- 2. There is a neighborhood base of normal open sets. So any norm is biinvariant.

Proof: Let  $U \in \mathcal{T}_1$  and  $VVV \subseteq U$ ; then  $G = a_1V \cup \cdots \cup a_nV$ ; let  $W := a_1Va_1^{-1} \cap \cdots \cap a_nVa_n^{-1} \in \mathcal{T}_1$ ; then for any  $x = av \in aV$ ,  $x^{-1}Wx = v^{-1}a^{-1}Wav \subseteq v^{-1}Vv \subseteq VVV \subseteq U$ ; so  $W \subseteq \bigcap_x xUx^{-1} \subseteq U$  a normal open subset.

3. NCore(G) =  $G_1$ , since any clopen set on 1 contains a compact open normal subgroup.

Proof: In  $G/G_1$ , any clopen U contains a compact open subgroup H; then  $\bigcap_x x^{-1}Hx = \bigcap_{i=1}^n x_i^{-1}Hx_i$  is a consubgroup of H.

- 4. Every onto morphism between compact groups is open; every bijective morphism is an isomorphism.
- 5. Connected compact groups: Every neighborhood of 1 contains a con subgroup H such that  $G/H \subseteq GL(\mathbb{R}^n)$ . Therefore, by taking a base, G is embedded in a product of  $GL(\mathbb{R}^n)$  as an inverse limit.
- 6. Totally disconnected compact groups (called *profinite*) have bases of con subgroups; hence they can be embedded in a product of (discrete) finite groups via the map  $G \to \prod_K G/K$ ,  $x \mapsto (xK)_{K \in \mathcal{N}}$ . (From topology, a totally disconnected compact group is either finite or has the Cantor set topology.)
- 7. Every connected compact abelian group can be embedded in some  $\mathbb{T}^A$ .

Every locally connected compact abelian group is of the type  $G_1 \times F$  (*F* finite group).

Every connected compact separable group is monothetic; more generally, every compact separable group such that  $G/G_1$  is monothetic, is monothetic.

Every compact second countable group is topologically generated by at most 2 elements.

# 1.4 Examples

# Finite Groups

 $\mathbb{Z}_n$ : the characters are  $k \mapsto e^{2\pi i k/n}$ .

#### **Euclidean Groups**

Integers  $\mathbb{Z}$ : discrete, abelian, linearly ordered; the characters are  $n \mapsto e^{int}$  $(0 \leq t < 2\pi)$ , so  $\mathbb{Z}^* \cong \mathbb{S}$ .

 $\mathbb{Z}^{(\mathbb{N})}$  is second countable, abelian, not locally compact.

- Rationals  $\mathbb{Q}$ : abelian, totally disconnected, linearly ordered, not locally compact. The norms on  $\mathbb{Q}$  are either the Euclidean one |x - y| or a *p*-adic norm for *p* prime. The *p*-adic field  $\mathbb{Q}_p$  is totally disconnected locally compact containing the compact open subgroup of the *p*-adic integers.
- Reals  $\mathbb{R}$ : abelian, connected, locally connected, locally compact, linearly ordered. The morphisms  $\mathbb{R} \to \mathbb{C}^{\times}$  are  $t \mapsto e^{zt}$  ( $z \in \mathbb{C}$ ); in particular, the characters  $\mathbb{R} \to \mathbb{S}$  are  $z \in i\mathbb{R}$ , i.e.,  $x \mapsto e^{ixy}$  (since ker  $\phi = 0, y\mathbb{Z}$ , or  $\mathbb{R}$ ). The Haar measure on  $\mathbb{R}$  is called Lebesgue measure. The closed subgroups are  $\mathbb{R}$  and  $a\mathbb{Z}$  (discrete).

 $\mathbb{R}^{\times} \cong 2 \times \mathbb{R}$  (via  $t \mapsto e^{at}$ ); abelian, disconnected; its Haar measure is dt/|t|; contains the discrete subgroup  $\{|x|_p : x \in \mathbb{Q}\}.$ 

Measures come from increasing functions  $\mu(a, b] = f(b) - f(a)$  (the number of discontinuities of an increasing function is countable, so  $f = f_{\text{cont}} + f_{\text{discrete}}$ ;  $\mu$  is continuous since  $A_n \to \emptyset$  open sets  $\Rightarrow \mu(A_n - K_n) \to 0$ for f right-continuous, so  $\bigcap_n K_n = \emptyset$  and  $\bigcap_n^N K_n = \emptyset$ , so  $\mu(A_N) = \emptyset$ 

$$\mu(A_N - \bigcap_n^N K_n) \leqslant \mu(A_N - K_N) \to 0; \text{ now let } f(x) := \begin{cases} \mu(0, x] & x > 0\\ -\mu(x, 0] & x \leqslant 0 \end{cases}$$

then  $\mu$  is continuous implies f is right-continuous, and  $\mu \ge 0$  implies f is increasing; so  $\mu(a, b] = \mu(0, b] - \mu(0, a]$  or  $\mu(a, 0] - \mu(b, 0]$  or  $\mu(0, b] + \mu(a, 0])$ .  $\mathbb{R}^n$ : The only morphisms  $\mathbb{R}^n \to \mathbb{C}^{\times}$  are  $\mathbf{a} \mapsto e^{\mathbf{z} \cdot \mathbf{a}}$  (since  $\phi(\mathbf{a}) = \phi_1(a_1) \cdots \phi_n(a_n)$ ),

so  $\mathbb{R}^{n*} \cong \mathbb{R}^{n}$ . Its closed subgroups are isomorphic to  $\mathbb{R}^{m} \times \mathbb{Z}^{k}$  (the former are the only connected ones, the latter the only totally disconnected ones); every quotient of  $\mathbb{R}^{n}$  is isomorphic to  $\mathbb{R}^{m} \times \mathbb{T}^{k}$ .

Complex  $\mathbb{C} \cong \mathbb{R}^2$ .

 $\mathbb{C}^{\times} \cong \mathbb{S} \times \mathbb{R}$  (via  $(\theta, a) \mapsto e^{a+i\theta}$ ); the morphisms  $\mathbb{C}^{\times} \to \mathbb{R}^{\times}$  are  $z \mapsto |z|^{a}$ .  $\mathbb{H}^{\times} \cong \mathbb{S}^{3} \times \mathbb{R}$ . Torus  $\mathbb{S} \cong \mathbb{R}/\mathbb{Z}$ : compact, connected, abelian group; the morphisms  $\mathbb{S} \to \mathbb{C}^{\times}$  are the characters  $e^{i\theta} \mapsto e^{in\theta}$   $(n \in \mathbb{Z})$  (since  $\phi(1) = 1 = e^{2\pi i n}$ ), so  $\mathbb{S}^* \cong \mathbb{Z}$ ; the only morphism  $\mathbb{S} \to \mathbb{R}$  is trivial.

 $\mathbb{T}^{\mathbb{N}}$ : 1-top-generated, locally Euclidean;  $\mathbb{T}^2$  contains the dense additive subgroup  $(1, \alpha)\mathbb{R}$  (modulo 1,  $\alpha \in \mathbb{Q}^c$ ), which is second countable, but not isomorphic to  $\mathbb{R}$  (isomorphic only as algebraic groups).

 $\mathbb{T}^{\mathbb{R}}$ : 1-top-generated by  $(e^{2\pi i r_{\alpha}})_{\alpha \in \mathbb{R}}$  where  $r_{\alpha}$  are independent irrationals.

Torus solenoid  $\mathbb{T}_p$ : compact connected abelian.

- Unit Quaternions  $\mathbb{S}^3$ : compact, connected group; its center is the only normal subgroup  $\{\pm 1\}$ ; the cosets of the subgroup  $\mathbb{S}$  form the *Hopf* fibration.
- Cantor group  $2^{\mathbb{N}}$ : compact, totally disconnected, abelian.

# Matrix Groups

Heisenberg group  $\mathbb{R}^{2n+1}$  with  $\begin{pmatrix} a \\ b \\ c \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix} := \begin{pmatrix} a+x \\ b+y+a \cdot z \\ c+z \end{pmatrix}$ : connected, locally Euclidean; center is  $(\mathbf{0}, x, \mathbf{0})$ ; contains the discrete Heisenberg group

 $H_3(\mathbb{Z})$ , which is 2-generated. There are various versions depending on the interpretation of the dot product.

Affine( $\mathbb{R}$ ): (a, v)(b, w) := (ab, v + aw), where  $a, b \neq 0$ ; disconnected, locally Euclidean but not unimodular; trivial center; contains the normal subgroup  $(1, \mathbb{R})$ .

# p-adic Groups

The Prüfer  $p^{\infty}$ -group  $\mathbb{Z}[1/p]/\mathbb{Z} = \mathbb{Q}_p/\mathbb{Z}_p$ : countable, discrete, abelian subgroup of  $\mathbb{Q}/\mathbb{Z}$ ; contains a tower of subgroups  $p^{-n}\mathbb{Z}/\mathbb{Z}$ ; its dual is  $\mathbb{Z}(p)$ , and conversely.

# 2 Topological Rings and Modules

A **topological ring** is a ring with a topology such that addition and multiplication are continuous. (Note that  $x \mapsto -1x$  is then continuous.)

A **topological module** is a topological ring acting continuously on a topological abelian group,

$$\lim_{n} a_n x_n = (\lim_{n} a_n)(\lim_{n} x_n).$$

A topological algebra is a topological ring with a chosen subfield in its center. The morphisms are the maps that preserve  $+, \cdot, \rightarrow$ .

Examples:

- Linearly ordered rings with the interval topology. (They are either discrete or order-dense; if 0 < b < a < 1 then  $\epsilon := \min(b, a b)$  satisfies  $2\epsilon < a$ ; similarly  $4\eta < a$ , so  $(1 + \eta)^2 < 1 + a$ .)
- $\mathbb{Q}$  with topology generated by the filter base of sets  $m\mathbb{Z}$   $(m \neq 0)$ .
- Rings with an ideal I, and the natural I-adic topology generated by the filter base consisting of  $I^n$   $(n \in \mathbb{N})$ . If  $\bigcap_n I^n = 0$  then the topology is Tychonov.
- Products of modules and rings  $X^A$  are again topological (with pointwise convergence).
- Matrices  $M_n(R)$  are again topological (with topology induced from  $R^{n^2}$ ).
- The endomorphisms of an abelian topological group, with the topology generated by  $U_K := \{ \phi : \phi K = 0 \}$  for finite subsets K.

The (Cauchy) completion is still a topological ring with

$$[x_n] + [y_n] := [x_n + y_n], \quad [x_n][y_n] := [x_n y_n],$$

An invertible morphism between complete modules is automatically an isomorphism. For example,  $x \mapsto a^{-1}xa$  is a ring automorphism.

1. Recall from topological groups that for any open set U about 0  $B_U(x) = x + U = U + x; -U \in \mathcal{N}$   $\exists V \in \mathcal{N}, \quad V + V \subseteq U$   $\exists V \subseteq U, -V = V$ For any  $A \subseteq X, A + U$  is open. In addition,  $\exists V \in \mathcal{T}_0, \quad VV \subseteq U$  (continuity of  $\cdot$ )  $\exists V \in \mathcal{T}_0, \quad xV \subseteq U$  (continuity at (x, 0))  $x \mapsto ax$  (or xa) is a homeomorphism if a is invertible. A, B compact/connected  $\Rightarrow A + B, AB$  compact/connected. 2. The closure of a sub-module, sub-ring, or ideal is again the same. So a set A generates the closed sub-module  $\overline{\llbracket A \rrbracket}$  and closed ideal  $\overline{\langle A \rangle}$ .

Centralizers Z(A), such as the center, are closed subrings.

- 3.  $\sum_{n,m} a_n x_m = (\sum_n a_n) (\sum_m x_m)$ ; in particular  $\sum_n a x_n = a \sum_n x_n$ .
- 4. R connected  $\Rightarrow X$  connected (since  $X = \bigcup_{x \in X} Rx$ ). For example, any topological ring that contains a connected subring is connected.
- 5. A subset B of a topological module is *bounded* when

$$\forall U \in \mathcal{T}_0(X), \exists V \in \mathcal{T}_0(R), \quad VB \subseteq U,$$

A subset B of a topological ring is *bounded* when

$$\forall U \in \mathcal{T}_0, \exists V \in \mathcal{T}_0, \quad VB \cup BV \subseteq U$$

Subsets, finite unions, closures, M + N, BM, are again bounded. For example, compact subsets and Cauchy sequences. Morphisms preserve bounded sets. X is bounded if R is discrete.

Proof:  $\overline{B} = \bigcap_{U \in \mathcal{T}_0} (B + U)$  so  $V\overline{B} \subseteq VB + VV \subseteq W + W \subseteq U$ .  $(V_1 \cap V_2)(M + N) \subseteq V_1M + V_2N \subseteq W + W \subseteq U$ . For each  $x \in K$ ,  $V_xx \subseteq U$ ;  $x+V_x$  cover K; take  $V := \bigcap_{i=1}^n V_{x_i}$ . For any  $W, n \ge N \Rightarrow (x_n - x_N) \in W$ ; for each  $x_i, V_ix_i \subseteq W$ ;  $V := \bigcap_{i=1}^N V_i \cap W$ ; then  $Vx_n \subseteq V_Nx_N + VW \subseteq W + WW \subseteq U$ . If  $VA \subseteq T^{-1}U$  then  $VTA \subseteq U$ .

- 6. An idempotent on X,  $P^2 = P$ , called a *projection*, has a closed image im  $P = \ker(1 P)$ .
- 7. (a) If  $\{x : \bigcup_i T_i x \text{ bounded}\}$  is not meagre then  $T_i$  are equicontinuous.
  - (b) If  $T_n$  are equicontinuous morphisms and  $T_n x \to T x$  for all x, then T is a morphism.

Proof: Let  $A := \{x : \forall i, T_i x \in \overline{W}\} = \bigcap_i T_i^{-1} \overline{W}$  closed; then  $\{x : \bigcup_i T_i x \text{ bdd}\} \subseteq \bigcup_n nA$ , so nA contains an open set, i.e.,  $x + V \subseteq A$ ; thus  $T_i V \subseteq -T_i x + T_i A \subseteq \overline{W} + \overline{W} \subseteq U$ .  $\forall U, \exists W, V, \forall n, T_n V \subseteq W \subseteq \overline{W} \subseteq U$ ; hence  $TV \subseteq U$ .

8. If  $a_n \to 0$  and  $x_n$  bounded then  $a_n x_n \to 0$ . If  $(a_n)$ ,  $(x_n)$  are Cauchy, then so is  $(a_n x_n)$ .

Proof:  $a_n x_n - a_m x_m = a_n (x_n - x_m) + (a_n - a_m) x_m \in B_1 V_1 + V_2 B_2 \subseteq W + W \subseteq U.$ 

9. If M is a clopen sub-module and  $B \subseteq X$  is bounded, then  $[M : B] := \{a \in R : aB \subseteq M\}$  is a clopen left ideal of R.

Proof: If  $a \in [M : B]$  and  $VB \subseteq M$ , then  $(x + V)B \subseteq xB + VB \subseteq M$ .

10. A (left) topological divisor of zero satisfies  $ax_i \to 0$  for some  $x_i \not\to 0$ . Not invertible.

A topological nilpotent satisfies  $a^n \to 0$ .

An ideal is topologically nilpotent when  $I^n \to 0$ , i.e.,  $I^n \subseteq U$  for any  $U \in \mathcal{T}_0$ .

An element is a (left) topological quasi-regular when for every  $U \in \mathcal{T}_0$  there is a b such that  $(1-a)b \in 1+U$ , i.e.,  $1 \in \overline{(1-a)R}$ .

A topological quasi-nilpotent is such that xa is a topological quasi-regular for all x.

11. The kernel of a morphism is a closed submodule/ideal. Closed submodules and closed ideals give quotients.

Isomorphism theorems:  $(R/I)/(J/I) \cong R/J$ .  $(\prod_i R_i)/(\prod_i I_i) \cong \prod_i R_i/I_i$ .

- 12. The following are closed sub-modules/ideals:
  - (a) The connected component of 0.
  - (b) The module core  $\bigcap \{ M : \text{clopen sub-module} \}$ . The clopen submodules form a filter  $\mathcal{I}$  in the lattice of sub-modules. The ring core is  $\bigcap \{ I : \text{clopen ideal} \}$ .

Proof:  $aC_0$  is connected and contains 0, so  $aC_0 \subseteq C_0$ .

- 13. Hence simple modules are either connected or totally disconnected. For example, topological division rings.
- 14. If R is complete with Core  $\rightarrow 0$ , and  $a^n \rightarrow 0$ , then a is quasi-regular,

$$(1-a)^{-1} = \sum_{n=0}^{\infty} a^n$$

Proof:  $\sum_{n} a^{n}$  converges to some *s* since for any  $U \in \mathcal{T}_{0}$ ,  $\exists V \subseteq U$  clopen subgroup, and  $n \ge N \Rightarrow a^{n} \in V$ , so  $\sum_{n} a^{n}$  is Cauchy; s = 1 + as.

# 2.1 Normed Modules/Rings

A normed module is one that has a translation-invariant metric (1st countable), acted upon by a normed ring, such that

$$\begin{aligned} \|x+y\| &\leqslant \|x\| + \|y\|, & \|-x\| = \|x\|, \\ \|x\| &\ge 0, & \|x\| = 0 \Leftrightarrow x = 0, \\ \|ax\| &\leqslant \|a\| \|x\|. \end{aligned}$$

Examples:

•  $\mathbb{R}^n$  with product multiplication and  $||(a_i)|| = \max_i |a_i|$ .

• 
$$\mathbb{R}^2$$
 with  $\binom{a}{b}\binom{x}{y} := \binom{ax}{(ay+bx)/2}$ , or  $\frac{1}{2}\binom{ax\pm by}{ay+bx}$ , or  $\binom{ax}{(ay+bx+by)/3}$ .  
 $\mathbb{R}^3$  with  $\binom{a}{c}\binom{x}{y}_z := \binom{ax}{by}_{(az+cy)/2}$ 

- $\ell^{\infty}(R)$  with addition, multiplication and  $||(a_n)|| := \sup_n ||a_n||$ ; if R is complete, so is  $\ell^{\infty}(R)$ ; not separable unless R = 0. Contains the closed subring of convergent sequences, and its closed maximal ideal  $c_0$  of those that converge to 0.
- The set of bounded functions  $A \to \mathbb{R}$  with the supremum norm; its subring of continuous functions  $C_b(A)$ , when A is a topological space, and its closed ideal  $C_0(A)$  when A is locally compact  $T_2$ .
- $\ell^1(R)$  with addition, convolution and  $||(a_n)|| := \sum_{n=0}^{\infty} ||a_n||$ . It is complete if R is.
- $\ell^1(G, R)$  for any (finite) group, with  $e_g * e_h := e_{gh}$ . For example,  $\ell^1(\mathbb{Z})$ .
- Products are again normed,  $||(x, y)|| := \max(||x||, ||y||)$ .

The completion of a normed module is again normed,  $||[x_n]|| := \lim_{n \to \infty} ||x_n||$ , so can assume complete. Any morphism between modules extends uniquely to the completions (including the completion of the ring).

- 1.  $1 \leq ||1||$  and  $||n|| \leq n||1||$ ;  $||a^n|| \leq ||a||^n$ .
- 2.  $B_rB_s \subseteq B_{rs}$  so a set is metrically bounded iff bounded;  $aB_r \subseteq B_{||a||r}$ .  $A \subset X$  is balanced when  $B_1[0]A \subseteq A$ , i.e.,  $||a|| \leq 1, x \in A \Rightarrow ax \in A$ , e.g.  $B_r$ .
- 3. For any a,  $||a^n||^{1/n} \to \rho(a) \leq ||a||$  (from above).
  - (a)  $\rho(1) = 1$ ,  $\rho(ab) = \rho(ba)$ ,  $\rho(a^{-1}xa) = \rho(x)$ .
  - (b)  $\rho(a^n) = \rho(a)^n, \ \rho(a) = ||a|| \iff ||a^n|| = ||a||^n.$
  - (c)  $\rho(a) < 1 \Rightarrow a^n \to 0$  (topological nilpotent)  $\rho(a) > 1 \Rightarrow a^n \to \infty.$
  - (d) If ab = ba then  $\rho(ab) \leq \rho(a)\rho(b)$ , so  $\rho(a^{-1})^{-1} \leq \rho(a)$ .

Proof: Let  $\rho(a) := \inf_n \|a^n\|^{1/n}$ . The division  $n = q_n n_0 + r_n$  satisfies  $r_n/n \to 0$ ,  $q_n/n \to \frac{1}{n_0}$ , so  $\rho(a) \leq \|a^n\|^{1/n} \leq \|a^{n_0}\|^{q/n} \|a\|^{r/n} \to \|a^{n_0}\|^{1/n_0} < \rho(a) + \epsilon$ .

- 4. In a complete normed ring, the power series  $\sum_{n=0}^{\infty} a_n x^n$  converges absolutely if  $\rho(x) < 1/\limsup_n \|a_n\|^{1/n}$  (by the root test).
- 5.  $(1-a)^{-1} = 1 + a + a^2 + \cdots$  for  $\rho(a) < 1$ , since  $(1-a) \sum_{n=0}^{N} a^n = 1 a^{N+1} \to 1$ .

- 6. x axb = c has the solution  $x = \sum_{n=0}^{\infty} a^n c b^n$  if  $\rho(a)\rho(b) < 1$ .
- 7. The invertible elements form an open topological group, since  $x \mapsto x^{-1}$  is continuous and the distance between an invertible a and a non-invertible b is at least  $||a^{-1}||^{-1}$ .

Proof:  $||x^{-1} - y^{-1}|| \leq ||x^{-1}|| ||y - x|| ||y^{-1}||$ 

$$(a+h)^{-1} = (1+a^{-1}h)^{-1}a^{-1} = a^{-1} - a^{-1}ha^{-1} + \cdots$$

when  $||h|| \leq ||a^{-1}||^{-1}$ .

Example: Invertible Matrices  $GL(R^n) := \{ A \in M_n(R) : \det A \text{ invertible} \}.$ 

8. The closure of a proper left-ideal is proper. So maximal ideals are closed.

#### 2.1.1 Valued Rings

An **absolute value** is a norm with |ax| = |a||x|. Then |1| = 1 (unless R = 0); |-x| = |x| is redundant;  $|x^{-1}| = 1/|x|$ .

Examples

- Euclidean value:  $\mathbb{Z}$ ,  $\mathbb{R}$ ,  $\mathbb{C}$  with  $|x|_{\infty} := \sqrt{x^*x}$ .
- Discrete rings with  $|x|_0 := \begin{cases} 1 & x \neq 0 \\ 0 & x = 0 \end{cases}$ .
- $\mathbb{Q}(\sqrt{2})$  with  $|a + b\sqrt{2}| := \operatorname{abs}(a b\sqrt{2}).$
- R[x] with  $|p| := 2^{\deg(p)}$ .
- R[[x]] with  $\left|a_nx^n + a_{n+1}x^{n+1} + \cdots\right| := \frac{1}{2^n}$ .
- There are no proper topological divisors of zero since |ax<sub>n</sub>| → 0 ⇒ a = 0. Hence a commutative valued ring extends to its field of fractions. The topological nilpotents are B<sub>1</sub>. The unit group is { x : |x| = 1 }.
- 2. The radius of convergence of a power series  $\sum_{n} a_n x^n$  is  $R = 1/\limsup_n ||a_n||^{1/n}$ , i.e., it diverges if  $\rho(x) > R$ .
- 3. If there are |ab| = 1 with |a| > 1, then absolute values are equivalent  $\Leftrightarrow ||x|| = |x|^{\alpha} \ (\exists \alpha > 0).$

Proof: If non-discrete then  $||x|| < 1 \Leftrightarrow x^n \to 0 \Leftrightarrow |x| < 1$ ; similarly  $||x|| > 1 \Leftrightarrow |x| > 1$ .  $||x|| = ||a||^{s(x)} \Leftrightarrow |x| = |a|^{s(x)}$  since  $||x|| \le ||a||^{m/n} \Leftrightarrow ||x^n b^m|| \le ||ab||^m = 1 \Leftrightarrow |x^n b^m| \le 1 \Leftrightarrow |x| \le |a|^{m/n}$ . But  $||a|| = |a|^{\alpha}$ , so  $||x|| = ||a||^{s(x)} = |a|^{s(x)\alpha} = |x|^{\alpha}$ .

4. 
$$1 \leq \sup_{|x| \leq 1} |1+x| \leq \sup_{x,y \neq 0} \frac{|x+y|}{|x| \lor |y|} \leq 2.$$

 $\sup_{|x| \leq 1} |1 + x| = 1$  iff  $|n| \leq 1$  for all  $n \in \mathbb{N}$  (non-Archimedean).

Proof: If  $|x| \leq 1$  then  $|1+x|^n = |(1+x)^n| = |1+nx+\dots+x^n| \leq (n+1)$ , so  $|1+x| \leq (1+n)^{1/n} \to 1$ . (Similarly, for commutative rings or division rings, an absolute value is an ultrametric  $\Leftrightarrow$  non-Archimedean  $\Leftrightarrow \mathbb{N}$  is bounded.)

Proposition 5

#### <u>Ostrowski</u>

The absolute values on  $\mathbb{Z}$  are the discrete, the Euclidean, and the *p*-adic values (for each prime *p*).

They extend to  $\mathbb{Q}$  by |a/b| = |a| / |b|.

PROOF: One type is discrete. Another is the Euclidean value |n|. Let  $1 < ||a|| (\leq |a|)$ . For any |x| > 1,  $|a|^n = r_0 + r_1 |x| + \dots + r_m |x|^m \leq (m+1) |x|^{m+1}$  (base-|x| expansion) with  $0 \leq r_i < |x|$ . Then  $||a||^n \leq (m+1)||x||^{m+1}$ ; but  $||a||^n$  grows faster if  $||x|| \leq 1$ ; so ||x|| > 1. In fact,  $||a|| \leq (m+1)^{\frac{1}{n}} ||x||^{(m+1)/n} \rightarrow ||x||^{\log_{|x|}|a|}$ , so  $\frac{\log ||a||}{\log |a|} \leq \frac{\log ||x||}{\log |x|}$ . Hence  $\frac{\log ||x||}{\log |x|} = \alpha < 1$  by symmetry of x and a, i.e.,  $||x|| = |x|^{\alpha}$ .

Otherwise for all n,  $||n|| \leq 1$  and there is ||a|| < 1. There must be a prime factor of a with ||p|| < 1. If q is another prime with ||q|| < 1 then  $\exists a_n, b_n \in \mathbb{Z}$ ,  $a_n p^n + b_n q^n = 1$ , so  $1 = ||1|| \leq ||p||^n + ||q||^n \to 0$  as  $n \to \infty$ , a contradiction. So p is unique with ||p|| < 1, the rest satisfy ||q|| = 1. Hence  $||n|| = ||p^k q^r \dots || = ||p||^k = |n|_p^{\alpha}$  where  $||p|| = p^{-\alpha}$  for some  $\alpha > 0$ .

#### 2.1.2 Non-Archimedean Valued Division Rings

Examples:

- $\mathbb{Q}$  with the *p*-adic value.
- Any division ring with finite characteristic, i.e., n1 = 0 (since N is then bounded).
- *p*-adic value: PID with  $|p|_p := \frac{1}{2}$  for some fixed prime p, and  $|q|_p := 1$  for all other primes, extended to its field of fractions by |x/y| := |x| / |y|. In particular for  $\mathbb{Z}$  and  $\mathbb{Q}[x]$ . It is an ultrametric  $|x+y| \leq |x| \vee |y|$ .
- F(x) with  $|\sum_n a_n x^n| := \max_n |a_n|$ .

- 1. Recall that an ultrametric space is 0-D, hence totally disconnected (balls are clopen).
- 2. (a) If  $|a| > |x_n|$  then  $|a + \sum_n x_n| = |a|$ . Proof:  $|x| < |a| \le |a + x| \lor |x| = |a + x|$ , so  $|a| = |a + x_1| = |a + x_1 + x_2| = \cdots$ .
  - (b)  $\sum_{n \in \mathbb{N}} a_n$  is Cauchy  $\Leftrightarrow a_n \to 0$  (since  $|a_n + \dots + a_m| \leq |a_n| \vee \dots \vee |a_m| \to 0$ .
- 3. F contains the valuation ring  $B_1[0] := \{x : |x| \leq 1\}$ , which is a local ring with its unique (clopen) maximal ideal  $B_1$ . Its ideals contain whole balls  $\{x : |x| \leq r\}$ . When commutative,  $B_1[0]/B_1$  is called the *residue class field* of F.

Proof: If  $x \in I$  and  $|y| \leq |x|$ , then  $|x^{-1}y| \leq 1$  so  $y = xv \in I$ . In particular,  $I \subseteq B_1$  else  $1 \in I$ .

4. The valuation ring is Noetherian  $\Leftrightarrow$  ideals are principal  $\Leftrightarrow$  values of elements are discrete.

Proof: If R is Noetherian, then  $I = \langle a_1, \ldots, a_n \rangle$  with  $a_1$  of maximum value; then  $a_i \in \langle a_1 \rangle$ . If values are  $\alpha^n$ , then an ascending chain of ideals would have a maximum value  $\alpha^m$ .

5. The only valuations of a PID (and its field of fractions) which are bounded by 1 are the discrete and the *p*-adic ones.

Proof: The values on  $\mathbb{N}$  are bounded by 1, so  $R \cap B_1$  is an ideal in R, hence  $\langle p \rangle$  with p prime; as  $|x| < 1 \Leftrightarrow |x|_p < 1$ , the two values are equivalent.

6. The non-Archimedean valuations of F[t] which become discrete on F are the discrete,  $2^{\deg(q)}$ , and the *p*-adic ones (for some irreducible polynomial p). For example,  $\mathbb{F}_{q^n}[t]$ .

Proof: If neither discrete nor *p*-adic, then  $|t| = \alpha > 1$ , so  $|at^n| = |a| |t|^n = \alpha^n$  and  $|a_n t^n + \cdots | = \alpha^n$ .

7. An algebraic field extension of F has a non-Archimedean value that extends that of F.

# 2.2 Locally Compact Rings

Closed sub-rings, quotients and finite products are again so.

1. The connected component of 0 is the intersection of the clopen subrings.

Proof: Consider  $G/C_0$ ; there is a base of compact open subgroups K; as K is bounded,  $\exists U \subseteq K, UK \subseteq K$ , so  $U \cdots U \subseteq UUK \subseteq UK \subseteq K$ , so the subring  $\llbracket U \rrbracket \subseteq K$  is clopen hence compact.

2. (Kaplansky) The Jacobson radical is closed.

- 3. Recall from topological groups that locally compact normed rings are complete.
- 4. Connected locally compact rings are of the type  $R \times P$  where R is a finite-dimensional algebra over  $\mathbb{R}$  and P is a compact abelian group.

#### 2.2.1 Locally Compact Division Rings

also require that inversion  $x \mapsto x^{-1}$  is continuous, so it is a topological group for multiplication. A locally compact field is called a *local field*.

- 1. There is a compatible valuation  $|a| = \delta(a) = \frac{\mu(aE)}{\mu(E)}$  from the automorphism  $x \mapsto ax$ .
- 2.  $B_r[a] := \{ x : |x a| \leq r \}$  is compact.

Proof:  $\forall r, \exists s, B_s[0] \subseteq K \subseteq B_r[0]$ , pick  $x_0 \in B_s[0]$ ,  $y_0 \in B_r[0]$ ; then the homeomorphism  $x \mapsto y_0 x_0^{-1} x$  takes the compact set  $B_s[0]$  to  $B_r[0]$ .

3. The only connected locally compact division rings are  $0, \mathbb{R}, \mathbb{C}, \mathbb{H}$ .

Proof: If  $0 \neq 1$  then  $\mathbb{Q} \subseteq R$ , so it has one of three types of values. The discrete and *p*-adic values give totally disconnected completions of  $\mathbb{Q}$ , hence  $\mathbb{R}$  is embedded in R. As a locally compact vector space on  $\mathbb{R}$  it is finite dimensional. From Frobenius' theorem, it is one of  $\mathbb{R}$ ,  $\mathbb{C}$ ,  $\mathbb{H}$  (see Topological Vector Spaces).

- 4. (van Dantzig, Hasse, Jacobson) The disconnected ones are discrete or (ultrametric) division ring extensions of  $\mathbb{Q}_p$  or  $\mathbb{F}_{p^n}((x))$ .
- 5.  $GL(F^n)$  is a locally compact group if F is a locally compact field.
- 6. The roots of unity of a locally compact non-Archimedean field form a finite group.

# 2.3 Compact Rings

- 1. Compact rings are totally disconnected, since the only connected compact ring is 0.
- 2. Topological quasi-nilpotents are quasi-nilpotents.

Proof: (1-x)R is compact and  $1 \in \overline{(1-x)R}$ , so 1 = (1-x)(1-y).

3. The Jacobson radical is topologically nilpotent. They are a *J*-adic ring, i.e., there is a base of clopen ideals J, so  $R \subseteq \lim_{\leftarrow} R/J$  (with R/J discrete rings).

Proof: The open compact subgroups K form a base;  $\exists U \subseteq K, UR \subseteq K$ , so  $\exists V \subseteq U, VR \subseteq U$  and  $RVR \subseteq K$ , so  $\langle V \rangle$  is an open ideal in K.

4. Compact semi-simple rings are products of finite simple rings.

# 2.4 Examples

- 1.  $\mathbb{Q}/\mathbb{Z} \cong \sum_p \mathbb{Z}(p^{\infty})$ : totally disconnected, abelian, not locally compact; every element has finite order, the torsion subgroup of S.
- 2. *p-adic Numbers*  $\mathbb{Q}_p$ : the Cauchy-completion of  $\mathbb{Q}$  with the *p*-value  $|m/n| := 1/p^k$  where  $m/n = p^k r/s$ , an ultrametric; uncountable; topologically  $2^{\mathbb{N}} \setminus 0$ , so perfect, locally compact  $T_2$ , totally disconnected; has characteristic 0; it is a (non-ordered) field;  $(\cong \mathbb{Z}(p)[\frac{1}{p}])$ ; has many distinct algebraic closures. For any  $x \in \mathbb{Q} \setminus 0$ , write  $x = \frac{m}{n}p^r$ , so can find na = m (mod p); take  $x_1 := x ap^r$  and continue to get a sequence of a's with  $x = \sum_{n=r}^{\infty} a_n p^n$ .  $\mathbb{Q}_p$  is its own dual as a topological group. Equivalently, it is the field of quotients of the inverse limit of  $\mathbb{Z}/\langle p^n \rangle$ .

For  $x \in \mathbb{Q}$ ,  $x \neq 0$ , then  $\prod_p |x|_p = 1/|x|$  (over primes), i.e.,  $\prod_{val} |x|_v = 1$  (where  $v = 1, p, \infty$  are the discrete, *p*-adic, and Euclidean valuations.)

- 3. *p-adic Integers*  $\mathbb{Z}(p)$ : the Cauchy-completion of  $\mathbb{Z}$  with the *p*-adic topology generated by the ideal  $\llbracket p \rrbracket$ ; topologically  $2^{\mathbb{N}}$ ; it is the closed unit ball of  $\mathbb{Q}_p$ , a compact subring of  $\mathbb{Q}_p$ , which contains  $\mathbb{Z}$ , not locally connected.  $p\mathbb{Z}(p)$  is a maximal ideal in  $\mathbb{Z}(p)$ , and  $\mathbb{Z}(p)/p\mathbb{Z}(p) \cong \mathbb{Z}_p$ .  $\mathbb{Z}(p)$  contains a copy of  $\mathbb{Z}$  as  $(m+p^n)_{n\in\mathbb{N}}$ , so it is a compactification of it.
- 4.  $\mathbb{Z}[1/p]$  with the *p*-adic metric: locally compact, not locally connected;  $\mathbb{Z}[\frac{1}{p}] \subseteq \mathbb{R} \times \mathbb{Q}_p$ .
- 5. Real Numbers  $\mathbb{R}$ : completion of  $\mathbb{Q}$ .

$$\min(a,b,\ldots) \leqslant \left(\frac{\alpha}{a} + \frac{\beta}{b} + \ldots\right)^{-1} \leqslant a^{\alpha}b^{\beta} \ldots \leqslant \alpha a + \beta b + \ldots \leqslant (\alpha a^{p} + \beta b^{p} + \ldots)^{1/p} \leqslant \max(a,b,\ldots)$$

(the middle means are called harmonic, geometric, arithmetic and *p*-root mean square means) (proofs: Young - geometric  $\leq$  arithmetic since  $x^{\alpha} - 1 \leq \alpha(x-1)$  so take x = a/b, equivalently written as  $(ab)^r/r \leq a^p/p + b^q/q$  where 1/r = 1/p + 1/q; includes Cauchy's inequality  $ab \leq (a^2 + b^2)/2$ )

Every real number has a decimal expansion (essentially unique); every real number has a continued fraction expansion  $a_0 + 1/(a_1 + ...)$  with positive  $a_i$ , this expansion terminates for rational numbers and recurs for quadratic surds.

6. Complex Numbers  $\mathbb{C} := \mathbb{R} \oplus i\mathbb{R}$  with  $i^2 = -1$ , conjugate  $(a+ib)^* = a-ib$ (i.e.,  $j^* = -j$ ), value  $|z|^2 = z^*z = zz^*$ .

Contains the topological sub-field of definable numbers (those complex numbers which are characterized by some statement i.e.,  $y = x \Leftrightarrow \Phi(y)$ ), its sub-field of computable numbers (which can be generated by some algorithm), which contains the algebraic numbers; they are all countable and algebraically closed.

- 7. Quaternions  $\mathbb{H} := \mathbb{C} \oplus j\mathbb{C}$  with x + yj = (a+bi) + (c+di)j = a+bi+cj+dkwhere k = ij,  $(x + yj)^* = x^* - jy^*$  (i.e.,  $j^* = -j$ ), yj = -jy (ji = -k);  $j^2 = -1$ ; it has a value  $|z|^2 = z^*z = zz^*$ ,  $(zw)^* = w^*z^*$ ; a division ring with center  $\mathbb{R}$ .
- 8. Octonions  $\mathbb{O} := \mathbb{H} \oplus k\mathbb{H}$  with  $(a+bk)(c+dk) = (ac-d^*b) + (da+bc^*)k$  $(a(bk) = (ba)k, (ak)b = (ab^*)k, (ak)(bk) = -b^*a)$  and  $(a+kb)^* = a^* - kb;$  $(ijk)^* = -ijk$ ; not associative but alternative (i.e., associative on any two elements), has inverses.
- 9. Sedonians:  $\mathbb{S} := \mathbb{O} \oplus e\mathbb{O}$  (using  $a(eb) = e(a^*b)$ , (ea)b = e(ba),  $(ea)(eb) = -ba^*, e^* = -e$ ); it is power-associative  $(x^m x^n = x^{m+n})$  only; has zerodivisors.

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