

# Ordered Groups

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## 1 Ordered Monoids

An **ordered monoid** is a set with a monoid operation  $\cdot$  and an order relation  $\leq$ , such that the operation is monotone:

$$x \leq y \Rightarrow ax \leq ay, xa \leq ya$$

Hence if  $x \leq y, a \leq b$ , then  $ax \leq by$ .

The morphisms are the monotone group morphisms (preserve both  $\cdot$  and  $\leq$ ).  
(*Left-ordered* monoids only have left multiplication being monotonic.)

Examples:

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$0 < 2 < 1$	$\begin{array}{c cc} & a & b \\ \hline a & a & ab \\ b & ab & b^2 \\ \hline & ab^2 = 0 & \\ 0 & \leq x & \leq 1 \end{array}$	$\begin{array}{c cc} & a & b \\ \hline a & a & ab \\ b & ab & b \\ \hline & a < 1 < b & \end{array}$	$\begin{array}{c cc} & a & b \\ \hline a & a & ab \\ b & ba & b \\ \hline & 1 \leq x & \\ & ab < ba & \end{array}$
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Other finite examples:

- $0 = a^n < a^{n-1} < \dots < a < 1$
- $0 < a < 1 < \top, a < b < \top$  with  $a^2 = 0 = ab, b^2 = a = ba$
- $0 < a < b < c < \top, a < 1 < \top, xy = 0$  except  $c^2 = a, x1 = x = 1x, x\top = x = \top x$ .

- $\mathbb{Z}$  with addition and  $\leq$ .
- $\mathbb{N}^\times$  with multiplication and  $\leq$  (but not  $\mathbb{Z}^\times$  since  $(-1)(-1) \not\leq (-1)1$ ).
- The endomorphism monoid of an ordered space with  $\phi \leq \psi \Leftrightarrow \phi(x) \leq \psi(x), \forall x$ . Every ordered monoid is embedded in some such space (e.g. via  $x \mapsto f_x$ , where  $f_x(y) := xy$ ).
- *Free monoid*: Words with the operation of concatenation and  $u \leq v$  if letters of  $u$  are in  $v$  in the same order, e.g.  $abc \leq axaxbxcx. 1 \leq X$ .

- *Divisibility monoids*: Any pure monoid modulo a normal subgroup of invertibles,  $X/G$ , with  $xG \leq yG \Leftrightarrow x|y$ , meaning  $ax = y$  and  $xb = y$  for some  $a, b$ . For example,  $\mathbb{N}$  with  $+$  and  $\leq$ ; any  $\vee$ -semi-lattice with multiplication  $\vee$  (so  $y = a \vee x \Leftrightarrow y \geq x$ ); any integral domain with a field of fractions  $F$  and invertibles  $G$  induce the abelian ordered group  $F^\times/G$ . Satisfies  $1 \leq X$ .

More generally, any cancellative monoid with a sub-monoid  $P$  which is central and whose only invertible is 1; let  $x \leq y \Leftrightarrow y = xa$ ,  $\exists a \in P$ . For example,  $X^Y$  where  $X$  is a commutative ordered group and  $P$  is the set of monotonic functions which fix 1;  $\mathbb{F}[x]$  with  $P$  the monic polynomials. Or any monoid with  $P$  the sub-monoid of central idempotents.

- *Generalized Minkowski space*:  $\mathbb{R}^n$  with  $\mathbf{x} \leq \mathbf{y} \Leftrightarrow \mathbf{y} - \mathbf{x} \in P$  where  $P$  is (a)  $\mathbb{N} \times \mathbf{0}^{n-1}$ , or in general (b) any sub-monoid generated from  $A \subseteq \mathbb{R}^+ \times \mathbb{R}^{n-1}$  such as any convex rayed subset (e.g. cones).
- Any monoid with a zero and the inequalities  $0 \leq x$ .

Sub-monoids, products, and  $X^A$  are also ordered monoids.  $X \times 1$  and  $1 \times X$  are convex sub-monoids of  $X \times Y$ .

An ordered monoid can act on an ordered set, in which case  $a \leq b$ ,  $x \leq y$  implies  $a \cdot x \leq b \cdot y$ . If a monoid  $X$  acts on another  $Y$ , then their semi-direct (or ordinal) product is  $X \rtimes Y$  with  $(a, b)(x, y) := (a(a \cdot y), by)$  and the product or lexicographic order. In particular, the lex product  $X \times Y$  with  $(a, b)(x, y) := (ax, by)$ .

Since the intersection of convex sub-monoids is again of the same type, a subset  $A$  generates a unique smallest convex sub-monoid  $\text{Convex}(A)$ . Any morphism pulls convex normal subgroups  $N$  to convex normal subgroups  $\phi^{-1}N$ . The map  $x \mapsto a^{-1}xa$  is an automorphism.

*Proposition 1*

**The completion of an ordered monoid is again an ordered monoid.**

PROOF: Recall the Dedekind-MacNeille completion, where  $A^{LU} := LU(A)$  and  $x^{LU} = \downarrow x$ . It is easy to prove  $A^{LU}x = (Ax)^{LU}$ , so  $A^{LU}B \subseteq (AB)^{LU}$  and  $(A^{LU}B)^{LU} = (AB)^{LU}$ . On the completion  $\bar{X}$  consisting of the 'closed' subsets  $A^{LU} = A$ , define  $A \cdot B := (AB)^{LU}$ . Then  $(A \cdot B) \cdot C = ((AB)^{LU}C)^{LU} = (ABC)^{LU} = A \cdot (B \cdot C)$ . The identity of  $\bar{X}$  is  $1^{LU} = \downarrow 1$  since  $A \cdot 1^{LU} = (A1)^{LU} = A$ .  $A \subseteq B \Rightarrow (AC)^{LU} \subseteq (BC)^{LU}$  is trivial.  $X$  is embedded in  $\bar{X}$  since  $(xy)^{LU} = x^{LU}y^{LU}$ .

□

### 1.0.1 Positive Cone

For any idempotent  $e$ , the subset  $\uparrow e$  is an upper-closed directed sub-monoid ( $x, y \geq e \Rightarrow xy \geq x, y$ )

In particular, the *positive cone* of  $X$  is  $X^+ := \uparrow 1 = \{x : x \geq 1\}$ ; it is a convex normal sub-monoid ( $a^{-1}xa \geq 1$ ). Similarly  $X^- := \downarrow 1 = \{x : x \leq 1\}$ .

1.  $a > 1$  AND  $b \geq 1 \Rightarrow ab > 1$ ;  $a, b \geq 1$  AND  $ab = 1 \Rightarrow a = 1 = b$ .
2. Any element of  $X^+$  or  $X^-$  is either aperiodic or has period 1.  
Proof:  $x^n \leq x^{n+1} \leq \dots \leq x^{n+m} = x^n$ .
3. The sub-monoid generated from  $X^+ \cup X^-$  is connected.  
Proof:  $x = a_+ b_- c_+ \dots \geq b_- c_+ \dots \leq c_+ \dots \leq 1$ .
4. If  $\phi : X \rightarrow Y$  is a morphism then  $\phi X^+ \subseteq Y^+$ . For a sub-monoid  $Y^+ = X^+ \cap Y$ .
5. If  $x_i y_j \leq y_j x_i$  then  $x_1 \dots x_n y_1 \dots y_m \leq y_1 \dots y_m x_1 \dots x_n$ . In particular, if  $xy \leq yx$  then  $x^n y^n \leq (xy)^n \leq (yx)^n \leq y^n x^n$ .
6. A top  $\top$  or bottom  $\perp$  of the space are idempotents, but need not be the same as any of 1 and 0. However, if  $0 < 1$  or  $1 < 0$  holds, then 0 is the bottom or top (by duality, one can assume 0 to be the bottom).
7. If  $0 < 1$  then  $X^+$  has no zero divisors; dual statements hold.
8. The relation  $x \prec y \Leftrightarrow \exists n \in \mathbb{N}^+, x \leq y^n$  is a pre-order relation on  $X^+$ ; it induces an equivalence relation  $x \prec y$  AND  $y \prec x$  with equivalence classes called *Archimedean components*; 1 is its own equivalence class; one can define  $[x] \prec [y]$  when  $x \prec y$ . Note that  $x \prec y \Rightarrow \phi(x) \prec \phi(y)$ ,  $x^n \in [x]$ ,  $x, y \prec a \Rightarrow xy \prec a$ . One also writes  $x \ll y$  for  $x \prec y$  but  $y \not\prec x$ , meaning  $x$  is “infinitesimal” compared to  $y$ .  
 $X$  is called “isolating” when  $1 \prec y \Leftrightarrow 1 \leq y$ .
9. When  $X$  is commutative, each Archimedean component together with 1 is a sub-monoid. An *Archimedean monoid* is the case when there is only one non-trivial component, so

$$1 < x \leq y \Rightarrow \exists n \in \mathbb{N}, y < x^n$$

i.e.,  $x^{\mathbb{N}}$  is unbounded for  $x > 1$ .

## 1.1 The Group $\mathcal{G}(X)$ of Invertibles

1.  $\mathcal{G}(X)$  is either trivial  $\{1\}$  or it has no maximum and minimum.

Proof: If  $a \geq 1$  is a maximum then  $a \leq a^2 \leq a$ , so  $a = 1$ .

2. If  $a > 1$  is invertible, then it is aperiodic  $\dots < a^{-1} < 1 < a < a^2 < \dots$ . Periodic invertibles are incomparable to 1; so  $\mathcal{G}^{+/-}$  are torsion-free:  $x^n = 1 \Leftrightarrow x = 1$  ( $n \geq 1$ ).

If  $a$  is invertible then  $\uparrow a = aX^+ = X^+a$  is order-isomorphic to  $X^+$  (via  $x \mapsto a^{-1}x$ ).

Thus finite ordered groups have trivial order.

3. The order structure of  $\mathcal{G}$  is determined by  $\mathcal{G}^+$ ,  $x \leq y \Leftrightarrow x^{-1}y \in \mathcal{G}^+$ .  $\mathcal{G}^+$  and  $\mathcal{G}^-$  are closed under multiplication and conjugation. (Hence  $\mathcal{G}X^+ = X^+\mathcal{G}$  and  $\mathcal{G}X^- = X^-\mathcal{G}$  are sub-monoids.)

(For any group, one can pick any sub-monoid for  $\mathcal{G}^+$  with the property that if  $x \in \mathcal{G}^+$ ,  $x \neq 1$ , then  $x^{-1} \notin \mathcal{G}^+$ , and define  $x \leq y \Leftrightarrow ax = y, xb = y$  for some  $a, b \in \mathcal{G}^+$ .)

4.  $\mathcal{G}^-$  is a mirror image of  $\mathcal{G}^+$  via the quasi-complement map  $x \mapsto x^{-1}$ ,

$$x \leq y \Leftrightarrow y^{-1} \leq x^{-1}$$

so  $x \in \mathcal{G}^+ \Leftrightarrow x^{-1} \in \mathcal{G}^-$ ;  $\mathcal{G}^+ \cap \mathcal{G}^- = \{1\}$ .

5. A subgroup  $Y$  is convex  $\Leftrightarrow Y^+$  is convex in  $X^+$ .

The kernel of an ordered-group morphism  $\phi : G \rightarrow H$  is a convex normal subgroup. Conversely, for  $Y$  a convex normal subgroup,  $G/Y$  is a left-ordered group, with

$$gY.hY := (gh)Y, \quad gY \leq hY \Leftrightarrow gy_1 \leq hy_2, \exists y_1, y_2 \in Y$$

(anti-symmetry requires convexity); then  $G/\ker \phi \cong \text{im } \phi$ .

6.  $[x_i, y_j] > 1 \Rightarrow [x_1 \cdots x_n, y_1 \cdots y_m] > 1$  (since  $[x, ab] = [x, b]b^{-1}[x, a]b$ ).
7. (Rhemtulla) The ordered group  $G$  is determined by its group ring  $\mathbb{Z}G$  (which can be embedded in a division ring).

## 1.2 Residuated Monoids

are ordered monoids such that for every pair  $x, y$ , there are elements  $x \rightarrow y$  and  $x \leftarrow y$ ,

$$xw \leq y \Leftrightarrow w \leq (x \rightarrow y)$$

$$wx \leq y \Leftrightarrow w \leq (y \leftarrow x)$$

equivalently the maps  $x*$  and  $*x$  have adjoints  $x \rightarrow$  and  $\leftarrow x$ ; equivalently  $x \rightarrow y$  is the largest element such that  $x(x \rightarrow y) \leq y$ , and similarly  $(y \leftarrow x)x \leq y$ .

(Dual relations:  $xw \geq y \Leftrightarrow w \geq (x \setminus y)$ , etc.)

Examples:

- Ordered groups, with  $x \rightarrow y = x^{-1}y$ ,  $y \leftarrow x = yx^{-1}$ ,  $x^{-1} = x \rightarrow 1$ . A residuated monoid is a group when  $x(x \rightarrow 1) = 1 = (x \rightarrow 1)x$ .

- The subsets of any monoid with  $AB := \{ab : a \in A, b \in B\}$  and  $A \subseteq B$ ; then  $A \rightarrow B = \{x : Ax \subseteq B\}$ ,  $B \leftarrow A = \{x : xA \subseteq B\}$ . It has a zero  $\emptyset$  and an identity  $\{1\}$  (the order is Boolean but it need not be a lattice monoid).
- The additive subgroups of a unital ring with  $A*B := \llbracket AB \rrbracket = \{\sum_{i=1}^n a_i b_i : a_i \in A, b_i \in B\}$  and  $A \subseteq B$ ; has a zero 0, an identity  $\llbracket 1 \rrbracket$ , is modular;  $A \rightarrow B = \{x : Ax \subseteq B\}$ .
- *Bicyclic Monoid*  $\llbracket a, b : ba = 1 \rrbracket$  with free monoid order; then  $a^m b^n \leq a^{m+r} b^{n+r}$ , idempotents are  $a^n b^n$ . Equivalently,  $\mathbb{N}^2$  with  $(m, n)(i, j) := (m - n + \max(n, i), j - i + \max(n, i))$ .

In what follows, every inequality has a dual form in which every occurrence of  $x \rightarrow y$  and  $xy$  are replaced by  $y \leftarrow x$  and  $yx$ .

1. By the general results of adjoints,  $x*$  and  $*x$  preserve  $\leq$ , and

$$x(x \rightarrow y) \leq y \leq x \rightarrow (xy), \quad x(x \rightarrow xy) = xy,$$

$$x \rightarrow x(x \rightarrow y) = x \rightarrow y$$

$$y \leq z \Rightarrow x \rightarrow y \leq x \rightarrow z$$

$$y \leq z \Rightarrow y \rightarrow x \geq z \rightarrow x$$

Proof: If  $y \leq z$  then  $w \leq (x \rightarrow y) \Leftrightarrow xw \leq y \Rightarrow xw \leq z \Leftrightarrow w \leq (x \rightarrow z)$ .

2.  $1 \rightarrow x = x = x \leftarrow 1$ ,  $x \rightarrow x \geq 1$ ,  $x(x \rightarrow x) = x$ .
3.  $(z \rightarrow y)x \leq (z \rightarrow yx)$ ,  $x \rightarrow y \leq zx \rightarrow zy$ ,  $(x \rightarrow 1)y \leq x \rightarrow y$ .  
(since  $z(z \rightarrow y)x \leq yx$ )
4. (a)  $x \rightarrow (y \rightarrow z) = (yx) \rightarrow z$ , hence  $x \rightarrow y \leq (z \rightarrow x) \rightarrow (z \rightarrow y)$   
(b)  $x \rightarrow y \leftarrow z$  is unambiguous.  
(c)  $x \leq y \leftarrow (x \rightarrow y)$

Proof:  $w \leq x \rightarrow (y \leftarrow z) \Leftrightarrow xw \leq y \leftarrow z \Leftrightarrow xwz \leq y \Leftrightarrow w \leq (x \rightarrow y) \leftarrow z$

5.  $(x \rightarrow y)(y \rightarrow z) \leq (x \rightarrow z)$ ,  $(x \rightarrow x)(x \rightarrow x) = x \rightarrow x$   
Hence  $x \rightarrow y \leq (x \rightarrow z) \leftarrow (y \rightarrow z)$
6. If a bottom 0 exists, then it is a zero  $x0 = 0 = 0x$ ; there would also be a top  $\top = 0 \rightarrow 0 = 0 \leftarrow 0$ , so  $0 \rightarrow x = \top = x \rightarrow \top$ .  $x \rightarrow 0 \neq 0$  iff  $x$  is a divisor of zero.

7. When 1 is the top of the order,  $\leftarrow, \rightarrow$  are implications,

$$x \leq y \Leftrightarrow x \rightarrow y = 1$$

in particular  $(x \rightarrow 1) = 1 = (x \rightarrow x) = (0 \rightarrow x)$ .

8. When  $*$  is commutative,  $x \rightarrow y = y \leftarrow x$ .

## 2 Lattice Monoids

are sets with a monoid operation and a lattice order such that multiplication is a lattice morphism,

$$\begin{aligned} x(y \vee z) &= (xy) \vee (xz) & x(y \wedge z) &= (xy) \wedge (xz) \\ (y \vee z)x &= (yx) \vee (zx) & (y \wedge z)x &= (yx) \wedge (zx) \end{aligned}$$

They are ordered monoids since  $x \leq y \Leftrightarrow x \vee y = y \Rightarrow ax \vee ay = ay \Leftrightarrow ax \leq ay$ . But, conversely, an ordered monoid whose order is a lattice can only guarantee  $x(y \vee z) \geq (xy) \vee (xz)$ , etc.

Examples:

- The endomorphisms of a lattice with composition and

$$(\phi \vee \psi)(x) = \phi(x) \vee \psi(x).$$

- Any distributive lattice with  $\wedge$  as the operation.
- Free monoids of words from a finite alphabet with operation of joining and linearly ordered according to first how many a's, then b, ab, ba, aab, etc.,

$$\begin{aligned} & \dots < \mathbf{b} < \mathbf{bb} < \dots < \mathbf{a} < \mathbf{ba} < \mathbf{ab} < \mathbf{bba} < \mathbf{bab} < \mathbf{abb} < \mathbf{aa} < \\ & \mathbf{baa} < \mathbf{aba} < \mathbf{aab} < \mathbf{baaa} < \mathbf{baba} < \mathbf{abba} < \mathbf{baab} < \dots \end{aligned}$$

Equivalently, replace  $a$  by  $(1 + a)$ , etc., expand the resulting polynomials, and compare using first degrees then lexicographic (for same degree).

- Factorial monoids (i.e., those that have unique factorizations into irreducibles) with  $x \leq y \Leftrightarrow x|y$ , e.g.  $\mathbb{Q}[x]$ .

A lattice-sub-monoid is a subset that is closed under  $1, *, \wedge, \vee$ .  $X \times Y$  and  $X^A$  are lattice monoids. Morphisms need to preserve both the monoid and lattice structure.

- (a)  $(x \vee y)(a \vee b) = (xa) \vee (ya) \vee (xb) \vee (yb)$ ,  
 (b)  $(x \vee y)(a \wedge b) = (xa \wedge xb) \vee (ya \wedge yb) = (xa \vee ya) \wedge (xb \vee yb)$ .  
 (c)  $xa \wedge yb \leq (x \vee y)(a \wedge b) \leq xa \vee yb$   
 (d) If  $x, y$  commute then  $xy = (x \vee y)(x \wedge y)$ , and

$$(x \vee y)^n = x^n \vee x^{n-1}y \vee \dots \vee y^n, \quad (x \wedge y)^n = x^n \wedge \dots \wedge y^n.$$

Note, in general,  $x \vee (yz) \neq (x \vee y)(x \vee z)$ .

- $X^+, X^-$  are sub-lattice-monoids that generate  $X$ :  
 Let  $x_+ := x \vee 1$ ,  $x_- := x \wedge 1$ ;

- (a)  $x_- \leq x \leq x_+$  with  $x_{\pm} \in X^{\pm}$ .  
 (b)  $x = x_+x_- = x_-x_+$ .  
 (c)  $x \mapsto x_+$  is a  $\vee$ -morphism and a closure map

$$x \leq y \Rightarrow x_+ \leq y_+, \quad (x \vee y)_+ = x_+ \vee y_+, \quad (x \wedge y)_+ \leq x_+ \wedge y_+$$

Dually,  $x \mapsto x_-$  is a  $\wedge$ -morphism,

$$x \leq y \Rightarrow x_- \leq y_-, \quad (x \wedge y)_- = x_- \wedge y_-, \quad x_- \vee y_- \leq (x \vee y)_-$$

$$x_{++} = x_+, \quad x_{+-} = 1 = x_{-+}, \quad x_{--} = x_-$$

- (d)  $x_-y_- \leq x_- \wedge y_- \leq x \wedge y \leq x_+y_- \leq x \vee y \leq x_+ \vee y_+ \leq x_+y_+$   
 $x_-y_- \leq (xy)_- \leq (x_+y_-) \leq x_+y_- \leq (xy_-)_+ \leq (xy)_+ \leq x_+y_+$   
 (e) If  $x, y$  commute, then so do  $x_{\pm}, y_{\pm}$ .  
 (f) Morphisms preserve  $x_{\pm}$ , e.g.  $(a^{-1}xa)_{\pm} = a^{-1}x_{\pm}a$ .

Proof:  $(x \vee 1)(x \wedge 1) = x1$  by 1(d).  $x_+y_- = (1 \vee x)(1 \wedge y) = (1 \wedge y) \vee (x \wedge yx) = y_-x_+$ .

3.  $x^n \geq 1 \Leftrightarrow x \geq 1$ ,  $x^n = 1 \Leftrightarrow x = 1$ ,  $x^n \leq 1 \Leftrightarrow x \leq 1$ .

Proof: If  $x^n \geq 1$  then  $x_-^{n+1} = x_-(1 \wedge \dots \wedge x_-^{n-1}) = x_-^n$  so  $x_-^{n+1} = x_-^n x_+ \geq 1$ .  
 If  $x^2 \geq 1$  then  $x = x_+x_- = (1 \vee x) \wedge (x \vee x^2) = (1 \vee x) \wedge (1 \vee x)^2 = x_+ \wedge x_+^2 \geq 1$ ; thus  $x^{2^n} \geq 1 \Rightarrow x \geq 1$ .

So every invertible element, except 1, is aperiodic; its generated subgroup is isomorphic to  $\mathbb{Z}$  as  $\dots < a^{-2} < a^{-1} < 1 < a < a^2 < \dots$  or they are mutually incomparable.

4.  $x^n a \leq ay^n \Leftrightarrow xb \leq by$  for some  $a, b$ .

Proof: Let  $b := x^{n-1}a \vee x^{n-2}ay \vee \dots \vee ay^{n-1}$ .

5.  $\mathcal{G}(X)$  is a lattice subgroup, since for invertible elements,

$$(x \vee y)^{-1} = x^{-1} \wedge y^{-1}, \quad x \vee y = x(x \wedge y)^{-1}y,$$

$$(x^{-1})_+ = (x_-)^{-1}, \quad (x^{-1})_- = (x_+)^{-1}$$

$$x \vee x^{-1} \geq 1$$

Proof:  $1 \leq (x \vee y)(x^{-1} \wedge y^{-1}) \leq 1$ .  $(x \vee x^{-1})^2 = x^2 \vee 1 \vee x^{-2} \geq 1$ .

6. In  $X^+$ ,  $x$  and  $y$  are said to be *orthogonal*  $x \perp y$  when  $x \wedge y = 1$  and  $xy = yx$ . For  $x \perp y$ ,

- (a)  $(xy)_- = x_-y_-$   
 (b)  $1 \leq z \Rightarrow x \wedge (yz) = x \wedge z$   
 (c)  $x \perp z \Rightarrow x \perp (yz)$

(d)  $x^n \perp y^m$  ( $n, m \geq 1$ )

(e)  $1 \leq z \prec y \Rightarrow x \perp z$

Proof:  $x \wedge yz = x(x \wedge y \wedge z) \wedge yz = (x \wedge y)(x \wedge z) = x \wedge z$ .

Mutually orthogonal positive elements generate a free abelian group.

Proof: If  $p \cdots = q \cdots$ , then  $1 = p \wedge (q \cdots) = p \wedge (p \cdots) = p$ .

7.  $a$  is cancellative iff  $ax \leq ay \Rightarrow x \leq y$ .

8. The center  $Z(X)$  is a sub-lattice-monoid.

9. An element in  $X^+$  is called *irreducible* when for any  $x, y \geq 1$ ,

$$a = xy \Rightarrow a = x \text{ OR } a = y.$$

In particular are the *primes*, when for any  $x, y \geq 1$ ,

$$a \leq xy \Rightarrow a \leq x \text{ OR } a \leq y.$$

For example, atoms of  $X^+$ .

Proof:  $x, y \leq xy = a \leq x$  or  $y$ . If  $a \wedge x, a \wedge y < a$  then  $a \wedge x = 1 = a \wedge y$ , so  $a \wedge xy = 1$ .

## 2.1 Residuated Lattice Monoids

are residuated monoids which are lattice ordered. They are lattice monoids.

Examples:

- $\mathbb{N}$  with  $m \rightarrow n = \text{quotient}(n/m)$ .
- $[0, 1]$  with  $xy := \max(0, x + y - 1)$ ; then  $x \rightarrow y = \min(1, 1 - x + y)$ .
- The set of relations on  $X$  with the operation of composition and  $\cap, \cup$ . Then  $\rho \rightarrow \sigma = \{(x, y) : \rho x \subseteq \sigma y\}$  and  $\rho \leftarrow \sigma = \{(x, y) : \rho^{-1}y \subseteq \sigma^{-1}x\}$ .
- The ideals of a ring; the modules of a ring; complete lattice monoids. Much of the theory of ideals of rings generalizes to residuated lattice monoids.
- *Brouwerian algebra*: residuated lattice monoids in which  $xy = x \wedge y$ ; they are commutative and distributive lattices with  $X \leq 1$ ; a Heyting algebra is the special case of a bounded Brouwerian algebra, while a generalized Boolean algebra is the special case where  $(x \rightarrow y) \rightarrow y = x \vee y$ . Such examples can act as generalizations of classical logic.
- Matrices with coefficients from a Boolean algebra, with  $A \leq B \Leftrightarrow \forall i, j, a_{ij} \leq b_{ij}$  and  $AB = [\bigvee_k a_{ik} \wedge b_{kj}]$ ; then  $A \wedge B = [a_{ij} \wedge b_{ij}]$ ,  $A' = [a'_{ij}]$ ,  $A \rightarrow B = (A^\top B')'$ ,  $B \leftarrow A = (B' A^\top)'$ .



1.  $x(y \vee z) = (xy) \vee (xz)$ ; more generally,  $(\bigvee A)(\bigvee B) = \bigvee_{a \in A, b \in B} ab$ .  
Proof:  $xy, xz \leq x(y \vee z)$ ;  $xy, xz \leq xy \vee xz =: w$ , so  $y, z \leq x \rightarrow w$  and  $x(y \vee z) \leq x(x \rightarrow w) \leq w$ .
2.  $x \rightarrow, \leftarrow x$  are  $\wedge$ -morphisms;  $x \leftarrow, \rightarrow x$  are anti- $\vee$ -morphisms,

$$\begin{aligned} x \rightarrow (y \wedge z) &= (x \rightarrow y) \wedge (x \rightarrow z) \\ (y \vee z) \rightarrow x &= (y \rightarrow x) \wedge (z \rightarrow x) \end{aligned}$$

Proof:  $w \leq x \rightarrow (y \wedge z) \Leftrightarrow xw \leq y \wedge z \Leftrightarrow xw \leq y, z \Leftrightarrow w \leq x \rightarrow y$  AND  $w \leq x \rightarrow z$ .

More generally,  $(\bigvee A) \rightarrow x = \bigvee_{a \in A} (a \rightarrow x)$ ,  $x \rightarrow (\bigwedge A) = \bigwedge_{a \in A} (x \rightarrow a)$ .

3.  $X^-$  is again residuated with  $x \rightarrow_- y = (x \rightarrow y)_-$ ,  $x \leftarrow_- y = (x \leftarrow y)_-$ .
4. Left/right conjugates of  $x$  by  $a$  are defined as  $(a \rightarrow xa)_-$ ,  $(ax \leftarrow a)_-$ .
5.  $a$  is left cancellative iff  $a \rightarrow ax = x$  (in particular  $a \rightarrow a = 1$ ).

Proof:  $w \leq a \rightarrow ax \Leftrightarrow aw \leq ax \Leftrightarrow w \leq x$ .

A *basic logic algebra* is a bounded residuated lattice monoid such that  $x(x \rightarrow y) = x \wedge y = (x \leftarrow y)x$  and  $(x \rightarrow y) \vee (y \rightarrow x) = 1$  (hence distributive and  $X \leq 1$ ). A *GMV-algebra* is a bounded residuated lattice monoid such that  $y \leftarrow x \rightarrow y = x \vee y$ .

## 2.2 Lattice Monoids with $X^- \subseteq \mathcal{G}(X)$

Example: A residuated lattice monoid that satisfies  $x(x \rightarrow y)_+ = x \vee y = (y \leftarrow x)_+ x$  (since if  $x \leq 1$  then  $x \rightarrow 1, 1 \leftarrow x \geq 1$ , so  $x(x \rightarrow 1) = x \vee 1 = 1 = (1 \leftarrow x)x$ ).

1.  $x_+ \wedge (x_-)^{-1} = 1$ ; hence  $(x_+)^n \perp (x_-)^{-m}$ .  
Proof: If  $y \leq x_+, (x_-)^{-1}$ , then  $x_- y \leq 1$  and  $x_- y \leq x$ , so  $x_- y \leq x_-$ .
2. The decomposition  $x = x_+ x_-$  is the unique one such that  $x_+ \in X^+$ ,  $x_- \in X^-$ ,  $x_+ \perp x_-^{-1}$ .  
Proof: If  $x = ab$ , then  $b = (a \wedge b^{-1})b = x_-$ , so  $a = x_+ x_- b^{-1} = x_+$ .
3. The *absolute value* of an element is  $|x| := x_+ x_-^{-1} = x_+ \vee x_-^{-1}$ .
  - (a)  $1 \leq |x|, |x| = 1 \Leftrightarrow x = 1$ ,
  - (b)  $x \leq |x|, |x| = \begin{cases} x & \text{when } x \geq 1 \\ x^{-1} & \text{when } x \leq 1 \end{cases}$
  - (c)  $a \leq x \leq b \Rightarrow |x| \leq |a| \vee |b|$
  - (d)  $|xy| \leq x_+ |y| x_-^{-1}$ ; if  $x, y$  commute, then  $|xy| \leq |x| |y|$ .
  - (e)  $|x \wedge y|, |x \vee y| \leq |x| \vee |y| \leq |x| |y|$ .

(f) If  $x, y$  are invertible, then

- i.  $|x| = x \vee x^{-1} = |x^{-1}|$ ,
- ii.  $|x|^{-1} = x \wedge x^{-1}$ , so  $|x|^{-1} \leq x \leq |x|$ ,
- iii.  $|xy| = (x \vee y^{-1})(x^{-1} \vee y)$ .

(g) Morphisms preserve  $|\cdot|$ ,  $\phi(|x|) = |\phi(x)|$ , in particular  $|x^{-1}yx| = x^{-1}|y|x$ .

Proof: If  $x_+ \leq y$ ,  $1 \leq x_-y$ , then  $x_+ \leq y \wedge xy = x_-y$ .  $a \leq x \leq b$  implies  $x_+ \leq b_+$ ,  $x_-^{-1} \leq a_-^{-1}$ , so  $|x| = x_+ \vee x_-^{-1} \leq |b| \vee |a|$ .  $|x \vee y| = (x \vee y)_+ \vee (x \vee y)_-^{-1} \leq x_+ \vee y_+ \vee (x_-^{-1} \wedge y_-^{-1}) \leq |x| \vee |y|$ . For  $x$  invertible,  $|x| = x_+x_-^{-1} = (1 \vee x)(1 \wedge x)^{-1} = (1 \vee x)(1 \vee x^{-1}) = x \vee x^{-1} \geq 1$ .  $(x \vee y^{-1})(x^{-1} \vee y) = 1 \vee xy \vee (xy)^{-1} = 1 \vee |xy|$ .

4.  $(x^n)_+ = (x_+)^n$ ,  $(x^n)_- = (x_-)^n$ ,  $|x^n| = |x|^n$ .

Proof:  $(x_-)^n = (x_+^n \wedge x_-^{-n})x_-^n = x_-^n \wedge 1 = (x_-^n)_-$ ;  $x_+^n = x_+^n x_-^{-n} x_-^n = (x_+^n \vee x_-^{-n})x_-^n = x_+^n \vee 1$ .

5. (Riesz Decomposition) For  $a_i \in X^-$ ,  $[a_1 \cdots a_n, 1] = [a_1, 1] \cdots [a_n, 1]$ , i.e.,

$$ab \leq x \leq 1 \text{ AND } a, b \leq 1 \Rightarrow x = cd \text{ where } a \leq c \leq 1, b \leq d \leq 1$$

Proof: Given  $ab \leq x \leq 1$ ,  $a, b \in X^-$ , let  $b := a \vee x$  and  $d := xb^{-1} = x(x^{-1} \wedge a^{-1}) \geq 1 \wedge b = b$ .

6. For  $x_i, y_j \leq 1$ ,  $\prod_{i,j} (x_i \vee y_j) \leq (x_1 \cdots x_n) \vee (y_1 \cdots y_m)$ .

Proof: It is enough to show  $(x \vee y)(x \vee z) \leq x \vee yz =: s$ ;  $yz \leq s \leq 1$ , so  $s = ab$  with  $y \leq a \leq 1$ ,  $z \leq b \leq 1$ ; so  $x \leq ab \leq a$ , hence  $x \vee y \leq a$ ; similarly,  $x \vee z \leq b$ , and  $(x \vee y)(x \vee z) \leq ab = s$ .

7. If  $a_i, b_j \leq 1$  and  $a_1 \cdots a_n = b_1 \cdots b_m$ , then there are unique  $c_{ij} \leq 1$  such that  $a_i = c_{i1} \cdots c_{im}$ ,  $b_j = c_{1j} \cdots c_{nj}$ ,  $c_{i+1,j} \cdots c_{n,j} \perp c_{i,j+1} \cdots c_{i,m}$ .

Proof: For  $a_1 a_2 = b_1 b_2$ , let  $c_{11} := a_1 \vee b_1$ ,  $c_{12} := c_{11}^{-1} a_1$ ,  $c_{21} := c_{11}^{-1} b_1$ ,  $c_{22} := a_1^{-1} c_{11} b_2 = a_2 \vee b_2$ . Then  $c_{21} c_{22} = c_{11}^{-1} b_1 (a_2 \vee b_2) = a_2$ .

8. A sub-monoid is a convex lattice-sub-monoid when  $|x| \leq |h| \Rightarrow x \in H$  for any  $h \in H$ . Its convex closure is thus

$$|H| := \{x : |x| \leq |h|, \exists h \in H\}.$$

Proof:  $|h_+| \leq |h|$ ,  $|h_-^{-1}| \leq |h|$ , and  $|h \vee g| \leq |h||g| = ||h|||g||$ , so  $h_\pm, |h|, h \vee g \in H$ ; if  $h \leq x \leq g$  then  $|x| \leq |h| \vee |g|$ .  $1 \leq x_+ \vee x_-^{-1} = |x| \leq h \in H$ , so  $x = x_+ x_- \in H$ .

9. An *ultrametric* valuation is one which satisfies  $|xy| \leq |x| \vee |y|$ ; so  $|x^n| = |x|$ .

### 2.3 Lattice Groups

are ordered groups whose order is a lattice. They are residuated, hence satisfy  $x(y \vee z) = xy \vee xz$ , but also  $x(x \rightarrow y) = x$  and  $x(x \rightarrow y)_+ = x \vee y$ .

Examples:

- $\mathbb{Q}^\times$  with multiplication and  $p \leq q \Leftrightarrow q/p \in \mathbb{N}$ . It is Archimedean.
- The automorphism group of a lattice, e.g.  $\mathbb{Z}$  with  $+$ ,  $\leq$ ;  $\text{Aut}_{\leq}(\mathbb{Q})$ ;  $\text{Aut}[0, 1]$  is simple. Every lattice group is embedded in an automorphism group of some linear order.
- $C(X, Y)$  where  $Y$  is a lattice group; also measurable functions  $X \rightarrow \mathbb{R}$ .
- $X \rtimes_{\phi} Y$  is a lattice group if  $X$  is a lattice group and  $Y$  is a linearly ordered group.

Lattice groups are infinite, torsion-less,  $\top$ -less and  $\perp$ -less (except for the trivial group). (Strictly speaking, a lattice must have a top/bottom, but these cannot be invertible.) There is no equational property that characterizes lattice groups among groups, or among lattices.

1. A subgroup is a lattice when it is closed under  $\vee$ , or even just  $x \mapsto x_+$ , since  $x \wedge y = (x^{-1} \vee y^{-1})^{-1}$ ,  $x \vee y = x(x^{-1}y)_+$ .
2.  $x \mapsto ax$  is a  $(\vee, *)$ -automorphism, so the lattice is homogeneous.  
 $\bigvee_i ax_i = a \bigvee_i x_i$  (since  $ax_i \leq b \Leftrightarrow \bigvee_i x_i \leq a^{-1}b$ ).
3. The lattice is distributive,  $x \wedge (y \vee z) = (x \wedge y) \vee (x \wedge z)$ .

Hence

$$\begin{aligned} (x \vee y)_{\pm} &= x_{\pm} \vee y_{\pm}, & (x \wedge y)_{\pm} &= x_{\pm} \wedge y_{\pm}, \\ x_+ \wedge y &= (x \wedge y) \vee y_-, & x_- \vee y &= (x \vee y) \wedge y_+ \end{aligned}$$

Proof:  $x \wedge (y \vee z) \leq (y \vee z)y^{-1}x \wedge (y \vee z) = (y \vee z)(y^{-1}x \wedge 1) = (y \vee z)y^{-1}(x \wedge y)$ . Hence  $(x \wedge (y \vee z))((x \wedge y)^{-1} \wedge (x \wedge z)^{-1}) \leq (y \vee z)(y^{-1} \wedge z^{-1}) = 1$ , so  $x \wedge (y \vee z) \leq (x \wedge y) \vee (x \wedge z)$ .

By the same argument,  $x \wedge \bigvee_i x_i = \bigvee_i (x \wedge x_i)$  for complete lattice groups.

4.  $x = ab$ , where  $b \leq 1 \leq a$ , iff  $a = x_+t$ ,  $b = t^{-1}x_-$  (since  $t := x_+^{-1}a = x_-b^{-1}$ ).
5.  $x_i \wedge y_j \leq 1 \Rightarrow (x_1 \cdots x_n) \wedge (y_1 \cdots y_m) \leq 1$

Proof: It is enough to show  $x \wedge y \leq 1$ ,  $x \wedge z \leq 1$  imply  $x \wedge yz \leq 1$ . Let  $a := y \vee z$ ; then  $(1 \vee ax)^{-1}(x \wedge a^2) = x \wedge x^{-1}a^{-1}x \wedge x^{-1}a \wedge a^2 \leq 1 \wedge a^2 \leq 1$  (using  $s \wedge t \leq (st)_+$ ), so  $x \wedge a^2 \leq 1 \vee ax$ ; so  $x \wedge yz \leq x \wedge a^2 = (x \wedge a^2) \wedge (1 \vee ax) = (x \wedge a^2)_- \vee (x \wedge a(x \wedge a)) \leq 1$ .

6.  $(xy)_+ = x_+(x_- \vee y_+^{-1})(x_+^{-1} \vee y_-)y_+$ .  
 $|x \vee y| = (x \vee |y|) \wedge (|x| \vee y)$ .

7. If  $x, y$  commute, then

(a)  $x^n \leq y^n \Rightarrow x \leq y$ .

(b)  $(x \vee y)^n = x^n \vee y^n$ ,  $(x \wedge y)^n = x^n \wedge y^n$ .

Proof:  $(x \vee y)^n = (x(x^{-1}y)_+)^n = x^n(x^{-n}y^n)_+ = x^n \vee y^n$ .

8.  $x, y \in G^+$  are orthogonal when

$$x \wedge y = 1 \Leftrightarrow x \vee y = xy$$

(since  $xy = x(x \wedge y)^{-1}y = x \vee y$ ).

More generally, for mutually orthogonal elements,  $x_1 \cdots x_n = x_1 \vee \cdots \vee x_n$  (by induction, since  $xy \wedge z = (x \vee y) \wedge z = 1$ ).

9. If  $|x| \perp |y|$  then  $yx = xy$ ,  $(xy)_+ = x_+y_+$ ,  $(xy)_- = x_-y_-$ ,  $|xy| = |x||y| = |x| \vee |y|$ .

Proof:  $1 \leq x_+ \wedge y_-^{-1} \leq |x| \wedge |y| = 1$ , etc., so  $x_{\pm}, y_{\pm}$  commute.  $xy = x_+y_+x_-y_-$ , but  $(x_+y_+) \wedge (x_-y_-)^{-1} = (x_+ \vee y_+) \wedge (x_-^{-1} \vee y_-^{-1}) = 1$ , so by uniqueness,  $(xy)_+ = x_+y_+$ ,  $(xy)_- = x_-y_-$ ; thus  $|xy| = (xy)_+(xy)_-^{-1} = x_+y_+x_-^{-1}y_-^{-1} = |x||y|$ .

10. (a) The  $\vee$ -irreducible elements of  $G^+$  are those  $a$  such that  $[1, a]$  is a chain.

(b) The prime elements of  $G^+$  are its atoms. They are mutually orthogonal and generate a free abelian normal convex lattice subgroup ( $\cong \mathbb{Z}^{(A)}$ ).

Proof:  $a = x(x \vee y)^{-1}a \vee y(x \vee y)^{-1}a$ , so  $a = x(x \vee y)^{-1}a$ , say, i.e.,  $y \leq x$ . If  $1 \leq x \leq a$  then  $a = xx^{-1}a$ , so  $a \leq x$  or  $a \leq x^{-1}a$ , i.e.,  $x = a$  or  $x = 1$ .

11. A group morphism which preserves  $\phi(x_+) = \phi(x)_+$ , or equivalently orthogonality, is a morphism (since  $\phi(x \vee y) = \phi(x)\phi(x^{-1}y)_+ = \phi(x) \vee \phi(y)$ ;  $1 = x \wedge y = x(x^{-1}y)_+, x_+ \perp x_-^{-1}$ ).

A morphism  $G^+ \rightarrow H^+$  extends uniquely to  $G \rightarrow H$  via  $\phi(x) := \phi(x_+)\phi(x_-^{-1})^{-1}$ .

Proof: By uniqueness,  $\phi(x_{\pm}) = \phi(x)_{\pm}$ , so  $\phi(x^{-1}) = \phi(x)^{-1}$ ;  $x_-^{-1}(xy)_+y_-^{-1} = x_+y_+ \vee x_-^{-1}y_-^{-1}$  implies  $\phi(xy)_+ = (\phi(x)\phi(y))_+$  and  $\phi(xy)_- = (\phi(x)\phi(y))_-$ , hence  $\phi(xy) = \phi(x)\phi(y)$ ; by the first part,  $\phi$  is a morphism.

12. The *polar* of a subset  $A$  is the convex lattice subgroup

$$A^{\perp} := \{x : |x| \wedge |a| = 1, \forall a \in A\}$$

It is a dual map, i.e.,  $A \subseteq B^{\perp} \Leftrightarrow B \subseteq A^{\perp}$ , hence  $A \subseteq B \Rightarrow B^{\perp} \subseteq A^{\perp}$ ,  $A \subseteq A^{\perp\perp}$ ,  $A^{\perp} = A^{\perp\perp\perp}$ . Also  $A \cap A^{\perp} \subseteq \{1\}$ ,  $(A \cup B)^{\perp} = A^{\perp} \cap B^{\perp}$ .

Proof: If  $|x| \perp |a|$ ,  $|y| \perp |a|$ , then  $|xy| \wedge |a| \leq |x||y| \wedge |a| = 1$ ; similarly for  $|x \vee y|$ ; if  $x \leq z \leq y$  then  $|z| \wedge |a| \leq (|x| \vee |y|) \wedge |a| = 1$ .

If  $A$  is normal, then so is  $A^{\perp}$  (since  $\phi(A^{\perp}) = (\phi A)^{\perp}$  for any automorphism).

13. The Dedekind completion of an ordered group is a (lattice) group iff it is integrally closed, i.e.,  $\forall n \in \mathbb{N}, x^n \geq c \Rightarrow x \geq 1$ .

Proof: For  $A \neq \emptyset, X$ , let  $x \in U(AL(A^{-1}))$ , i.e.,  $Ay \leq 1 \Rightarrow Ay \leq x$ , so  $Ayx^{-1} \leq 1$  and by induction,  $Ay \leq x^n$ ; hence  $x \geq 1$ , so  $1^{LU} \subseteq (AL(A^{-1}))^{LU}$ ; but  $Ay \leq 1 \Rightarrow Ay \subseteq L(1) = 1^{LU}$ , so  $A \cdot L(A^{-1}) = 1^{LU}$  (note  $L(A^{-1}) = LUL(A^{-1})$ ). Conversely, if  $G$  is complete, let  $a := \bigwedge_n x^n = 1 \wedge ax \leq ax$ , so  $x \geq 1$ .

14. If  $G$  is complete, then  $G = A^\perp \oplus A^{\perp\perp}$ .

Proof: Let  $B := A^{\perp\perp}$ ; for any  $x$ , let  $b := \bigvee(B^+ \wedge x_+) \in B^+$  and  $c := x_+ b^{-1} \geq 1$ ; for all  $a \in B^+$ ,  $1 \leq a \wedge c = (ab \wedge x_+) b^{-1} \leq 1$  since  $ab \in B^+$ , so  $c \in A^\perp$ ; similarly  $x_- = b'c'$ , so  $x = bcb'c' = (bb')(cc') \in B \oplus A^\perp$ .

15. There is an associated homogeneous topology generated by the open sets  $B_y(a) := \{x : |x^{-1}a| < y\}$  where  $y > 1$ . In this topology,

$$\mathcal{F} \rightarrow x \Leftrightarrow \forall y > 1, \exists A \in \mathcal{F}, z \in A \Rightarrow |z^{-1}x| < y$$

$$x_n \rightarrow x \Leftrightarrow \forall y > 1, \exists N, n \geq N \Rightarrow |x^{-1}x_n| < y$$

The topology is  $T_0$  when there is a sequence  $y_n \searrow 1$ .

### Convex Lattice Subgroups

1. For any convex lattice subgroup,  $x \in H \Leftrightarrow x_\pm \in H \Leftrightarrow |x| \in H$ .
2. A subgroup is a convex lattice iff  $x \wedge y, z \in H \Rightarrow x \wedge yz \in H$ .

Proof:  $x \wedge y \leq x \wedge yz_+ \leq (x \wedge y)z_+ \in H$ ; so  $(x \wedge yz_+)z_- \leq x \wedge yz_+z_- \leq x \wedge yz_+ \in H$ .

3. If  $H, K$  are convex lattice subgroups then

$$H \cap K = 1 \Leftrightarrow K \subseteq H^\perp \Leftrightarrow (1 \leq hk \Rightarrow 1 \leq h, k)$$

In this case,  $HK \cong H \times K$ . (If  $G = HK$ ,  $H \cap K = 1$ , then  $G \cong H \times H^\perp$ .)

Proof: For  $h \in H, k \in K$ ,  $1 \leq |h| \wedge |k| \leq |h| \in H$ , so  $|h| \wedge |k| \in H \cap K = 1$ , so  $h, k$  commute and  $K \subseteq H^\perp$ . In  $H \times K \rightarrow HK$ ,  $(h, k) \mapsto hk$ ; if  $1 \leq hk$  then  $1 \leq 1 \vee h^{-1} \leq 1 \vee k \in K$ , so  $1 \vee h^{-1} \in H \cap K = 1$  and  $1 \leq h$ . Conversely, if  $h \in H \cap K$ , then  $hh^{-1} = 1$ , so  $h, h^{-1} \geq 1$ .

4. The convex lattice subgroups of  $G$  form a (complete) Heyting algebra  $\mathcal{C}(G)$  with  $H \rightarrow K = \{x : \forall h \in H, |x| \wedge |h| \in K\}$  and a pseudo-complement  $H^\perp = H \rightarrow 1$ . A convex lattice subgroup is ‘closed’, i.e.,  $H^{\perp\perp} = H$ , iff  $H = K^\perp$ .
5. The smallest convex lattice subgroup generated by  $A$  is

$$[[A]] = \{x : |x| \leq |a_1| \cdots |a_n|, \exists a_i \in A, n \in \mathbb{N}\} = \bigvee_{a \in A} [[a]]$$

For any automorphism,  $\phi[A] = [\phi A]$ ; if  $A$  is normal, so is  $[A]$ .

$$\begin{aligned} [A] \cap [B] &= [|a| \wedge |b| : a \in A, b \in B], \\ [A] \vee [B] &= [|a| \vee |b| : a \in A, b \in B], \\ [A]^\perp &= A^\perp \end{aligned}$$

In particular,  $[a] = \{x : |x| \prec |a|\}$ ;  $[a \vee b] = [a] \vee [b] = [a, b] = [|a||b|]$ ,  $[a \wedge b] = [a] \cap [b]$ . Every finitely generated convex lattice subgroup is principal,  $[a_1, \dots, a_n] = [|a_1| \vee \dots \vee |a_n|]$ .  $[a]$  are the compact elements in  $\mathcal{C}(G)$ .

Proof: Let  $B$  be the given set; for  $x, y \in B$ ,  $|xy| \leq |x||y||x|$ ,  $|x^{-1}| = |x|$ ,  $|x \vee y| \leq |x||y|$ , and  $x \leq z \leq y \Rightarrow |z| \leq |x| \vee |y|$ , all being less than  $\prod_i |a_i|$ ;  $1 \leq |x| \leq \prod_{i=1}^n |a_i| \in [A]$ , so  $|x|, x \in [A]$  and  $B \subseteq [A]$ .  $a^{-1}[A]a = \bigcap_{A \subseteq H} a^{-1}Ha = [a^{-1}Aa] = [A]$ . If  $|x| \leq \prod_i |a_i| \wedge |b_i| \leq \prod_i |a_i|, \prod_i |b_i|$ ;  $|x| \leq \prod_i |a_i| \wedge \prod_j |b_j| \leq \prod_{ij} |a_i| \wedge |b_j|$ . If  $|x| \in [A \cup B]$  then  $|x| \leq \prod_i |a_i||b_i| \leq \prod_i (|a_i| \vee |b_i|)^2$ . If  $x \in A^\perp$  and  $y \in [A]$ , then  $|x| \wedge |y| \leq |x| \wedge |a_1| \cdots |a_n| = 1$ .

6. For  $\vee$ -irreducible elements,

- (a) For any  $x$ , either  $x_+ \perp a$  or  $x_- \perp a$ .
- (b) Independent  $\vee$ -irreducibles are orthogonal, i.e.,  $b \notin a^{\perp\perp} \Rightarrow a \perp b$  and  $a^{\perp\perp} \cap b^{\perp\perp} = 1$ .
- (c)  $a^{\perp\perp}$  is linearly ordered (maximal in  $\mathcal{C}(G)$ ).
- (d)  $a^\perp$  is a minimal polar (and a minimal prime).

Proof: For any  $x$ , either  $a \wedge x_-^{-1} \leq a \wedge x_+ \leq a$ , so  $a \wedge x_-^{-1} = a \wedge x_-^{-1} \wedge x_+ = 1$ , or  $a \wedge x_+ = 1$ . In particular, for  $x, y \in a^{\perp\perp}$ , either  $(y^{-1}x)_+ \in a^\perp \cap a^{\perp\perp} = 1$  or  $y^{-1}x \geq 1$ . If  $b \notin a^{\perp\perp}$  and  $y \in a^\perp$ ,  $b \wedge y \neq 1$ , then  $y \wedge a \wedge b = 1$  yet  $a \wedge b, b \wedge y \in b^{\perp\perp}$ , hence  $a \wedge b = 1$ . If  $c \in a^{\perp\perp} \cap b^{\perp\perp}$  then  $|c| \leq a, b$  so  $|c| \leq a \wedge b = 1$ . For any  $y \in Y^\perp \subseteq a^{\perp\perp}$ ,  $a^{\perp\perp} = y^{\perp\perp} \subseteq Y^\perp \subseteq a^{\perp\perp}$ .

7. A convex lattice subgroup is said to be *prime* when it is  $\wedge$ -irreducible in  $\mathcal{C}(G)$ ,

$$P = H \cap K \Rightarrow P = H \text{ OR } P = K$$

equivalently,  $P^c$  is closed under  $\wedge$ ,

$$x \wedge y \in P \Rightarrow x \in P \text{ OR } y \in P$$

(or  $x \wedge y = 1 \Rightarrow x \in P \text{ OR } y \in P$ )

- (a) The cosets of  $P$  are linearly ordered.
- (b) The convex lattice subgroups containing  $P$  are linearly ordered.

Proof: If  $x \wedge y \in P$ , then  $\llbracket P, x \rrbracket \cap \llbracket P, y \rrbracket = P \vee \llbracket x \wedge y \rrbracket = P$ , so  $P = \llbracket P, x \rrbracket$ , say, and  $x \in P$ . Conversely, if  $P = H \cap K$  and  $h \in H \setminus P$ ,  $k \in K$ , then  $1 \leq |h| \wedge |k| \in H \cap K = P$ , so  $|k|, k \in P$ , and  $K \subseteq P$ .  $(x \wedge y)^{-1}(x \wedge y) = 1$ , so  $(x \wedge y)^{-1}x \in P$ , say, i.e.,  $xP = (x \wedge y)P \leq yP$ . If  $P \subseteq H \cap K$ ,  $h \in H$ ,  $k \in K$  and  $hP \leq kP$ , say, then  $h \leq kp$ , so  $1 \leq |h| \leq |kp| \in K$ , hence  $h \in K$ ; for any  $x \in H$ ,  $x \leq h^{-1}kp$ , so  $xP \leq h^{-1}kP$ , hence  $H \subseteq K$ . If  $x \wedge y = 1$  and  $P \subseteq \llbracket P, x \rrbracket \subseteq \llbracket P, y \rrbracket$ , then  $|x| \leq |p_1||y| \cdots |p_n||y|$ ; by considering  $|x| \wedge |x| \leq |p_1| \cdots |p_n|(|y| \wedge |x|)$ , etc., it follows  $|x| \leq |p|$ , i.e.,  $x \in P$ .

8. (a) Every subgroup containing  $P$  is a lattice.
- (b) The intersection of a chain of prime subgroups is prime.
- (c) The pre-image of a prime subgroup is prime.
- (d) Given a  $\wedge$ -sub-semi-lattice  $A$ , a maximal convex lattice subgroup in  $A^c$  is prime. Similarly, a convex lattice subgroup that maximally avoids being principal, is prime.

Proof: Let  $a \in H$ , then since  $a_+ \wedge a_-^{-1} = 1$ ,  $a_+ \in P$  or  $a_-^{-1} \in P$ , so  $a_+ = aa_-^{-1} \in H$ ; if  $a, b \in H$  then  $a \vee b = a(a^{-1}b)_+ \in H$ . If  $x \wedge y = 1$  then  $\phi(x) \wedge \phi(y) = 1$ , so  $x \in \phi^{-1}P$ , say. Given semi-lattice  $A$ , and  $P = H \cap K$  but  $P \neq H, K$ , then  $\exists a \in H \cap A$ ,  $b \in K \cap A$ ; so  $a \wedge b \in (H \cap K) \cap A = P \cap A = \emptyset$  a contradiction. If  $H = \llbracket a \rrbracket$ ,  $K = \llbracket b \rrbracket$  then  $P = H \cap K = \llbracket a \rrbracket \cap \llbracket b \rrbracket = \llbracket a \wedge b \rrbracket$  contradicts that  $P$  is not principal.

9. A *regular* prime subgroup is one which is completely  $\wedge$ -irreducible,

$$P = \bigcap_i H_i \Rightarrow P = H_i, \exists i$$

$\Leftrightarrow P$  is a maximal convex lattice subgroup in some  $\{a\}^c$ , ( $a \neq 1$ )

Proof: For each  $x \notin P$ , there is a prime  $Q_x \supseteq P$  which is maximal in  $x^c$ ; so  $P = \bigcap_{x \notin P} Q_x$  and  $P = Q_a$  for some  $a \notin P$ . If  $P = \bigcap_i H_i$ , then  $P \subset H_i \Rightarrow a \in H_i$ , so  $a \in \bigcap_i H_i = P$  unless  $P = H_i$ .

- (a) Every convex lattice subgroup is the intersection of regular primes:  
 $H = \bigcap \{P_a : \text{regular prime}, 1 \leq a \notin H\}$ .
- (b) Only 1 belongs to all primes.
- (c)  $x \leq y \Leftrightarrow xP \leq yP$  for all regular  $P$ .

Proof:  $H \subseteq P_a$  since  $P_a$  is maximal in  $\{a\}^c$ . If  $x \notin H$  then  $x_+ \notin H \subseteq P_{x_+}$ , say (or  $x_-^{-1} = (x^{-1})_+$ ), so  $x \notin P_{x_+}$ . If  $xP \leq yP$  for all  $P$ , then  $(x \vee y)P = yP$ , so  $(y^{-1}x)_+ = y^{-1}(x \vee y) \in P$ ; hence  $(y^{-1}x)_+ = 1$ , i.e.,  $x \leq y$ .

10. For *minimal* primes, (every prime subgroup contains a minimal prime by Hausdorff's principle)

- (a)  $P^c$  is a maximal  $\wedge$ -semi-lattice in  $1^c$ .  
 (b)  $\forall x \in P, \exists a \notin P, a \perp x$ , i.e.,  $P = \bigcup_{a \notin P} a^\perp$ .

Proof: If  $1 \in A \subseteq P$  and  $A^c$  is a  $\wedge$ -semi-lattice, then  $A$  contains a maximal prime  $Q$ ; then  $P = Q = A$  by minimality.

If  $x \in P$ , so  $|x| \in P$ , then  $P^c \cup (|x| \wedge P^c)$  is a semi-lattice containing  $P^c$  properly, so  $1 = |x| \wedge a$  for some  $a \notin P$ ; conversely, if  $x \in a^\perp$ ,  $|a| \notin P$ , then  $|x| \wedge |a| = 1$  implies  $|x|, x \in P$ .

### Structure of $G$

1. For a normal convex lattice subgroup  $H$  (*ideal*),  $G/H$  is again a lattice group with  $xH \vee yH = (x \vee y)H$ ,  $xH \wedge yH = (x \wedge y)H$ . The ideals form a complete lattice  $\mathcal{I}(G)$ , as do the characteristic ideals (i.e., invariant under all automorphisms).

For any sub-lattice-group  $L$ ,  $LH$  is then a lattice group (since  $xh \vee yk \in xH \vee yH = (x \vee y)H \subseteq LH$ ).

2. The isomorphism theorems hold: For any lattice subgroup  $L$  and ideals  $H \subseteq K$ ,

$$G/\ker \phi \cong \phi G, \quad \frac{LH}{H} \cong \frac{H}{H \cap L}, \quad \frac{G/H}{K/H} \cong \frac{G}{K}$$

Proof: The map  $xH \mapsto \phi(x)$  preserves positivity:  $(xH)_+ = x_+H \mapsto \phi(x_+) = \phi(x)_+$ . Similarly,  $L \rightarrow LH/H$ ,  $x \mapsto xH$ , and  $xH \mapsto xK$  preserve positivity, hence are morphisms.

3.  $G := \bigvee_i H_i \cong \sum_i H_i \Leftrightarrow H_i \leq G$  AND  $H_i \cap \bigvee_{j \neq i} H_j = 1 \Leftrightarrow H_i \cap H_j = 1 (i \neq j)$  (via the map  $(x_i) \mapsto \prod_i x_i$ ).

Proof: If  $\prod_{i=1}^n x_i \geq 1$  then  $x_j^{-1} \leq x_1 \cdots x_{j-1} x_{j+1} \cdots x_n =: y_j$ ; so  $(x_j)_+^{-1} \leq (y_j)_+$ , and  $(x_j)_+^{-1} \in H_j \cap \bigvee_{i \neq j} H_i = 1$ , i.e.,  $x_j \geq 1$ . If  $H_i \cap H_j = 1$ , then  $H_i \cap \bigvee_{j \neq i} H_j = \bigvee_{j \neq i} (H_i \cap H_j) = 1$ .

4. For ideals  $H_i$ ,  $\bigcap_i \frac{G}{H_i} \subset \frac{G}{\prod_i H_i}$  via the morphism  $x \mapsto (xH_i)$ .
5. For a prime ideal,  $G/P$  is a linearly ordered space. A minimal proper ideal (atom of  $\mathcal{I}(G)$ ) is linear.

Proof: For any  $x \in H \setminus 1$  minimal,  $H \cap x^\perp = 1$ ; so for  $x, y \in H$ ,  $x \wedge y = 1 \Rightarrow x = 1$  OR  $y = 1$ , hence  $H$  is linear.

6. The intersection of all prime ideals is an ideal, here called the ‘radical’  $\text{rad}(G)$ , since  $a^{-1} \bigcap_i P_i a = \bigcap_i a^{-1} P_i a = \bigcap_i P_i$ .
7. The splitting of a lattice group by ideals can continue until, perhaps, all such subgroups are simple.

$G$  is simple  $\Leftrightarrow$  all of  $G^+ \setminus 1$  are conjugates of each other.



8.  $\llbracket a \rrbracket = \{x : |x| \prec |a|\}$  consists of  $\llbracket x \rrbracket$  for each representative Archimedean class  $|x| \prec |a|$ . Extend the Archimedean classes by  $[a] := \{x : |x| \sim |a|\}$ ; then  $\llbracket a \rrbracket = \bigcup_{|x| \prec |a|} [x]$ .
9. A lattice group has no proper convex lattice subgroups iff it is an Archimedean linear group.  
 Proof: For any  $x \neq 1$ ,  $\llbracket x \rrbracket = G$ , so for all  $y$ ,  $|y| \prec |x|$ ; similarly  $|x| \prec |y|$ , so Archimedean.  $\{1\}$  is prime, so  $G \cong G/1$  is linear.
10. Any atoms of  $\mathcal{C}(G)$  are Archimedean linear and mutually orthogonal ( $1 = \llbracket a \rrbracket \cap \llbracket b \rrbracket = \llbracket a \wedge b \rrbracket$ ). The sum of such atoms  $\bigvee_i \llbracket a_i \rrbracket = \sum_i \llbracket a_i \rrbracket$  is here called the ‘ $\mathcal{C}$ -socle’ of  $G$  (an ideal). Similarly, the sum of the atomic ideals is the  $\mathcal{I}$ -socle.
11. Another socle is the sum  $\bigvee_a a^{\perp\perp}$  for  $a$  orthogonal  $\vee$ -irreducibles. A *group basis* of  $G$  is a maximal orthogonal set of proper  $\vee$ -irreducibles (so  $E^{\perp} = 1$ ); there is a basis when the socle equals  $G$ .  
 Proof: If  $x > 1$  then  $\exists y \in E, x \wedge y > 1$ , else  $E$  is not maximal;  $x \wedge y$  is  $\vee$ -irreducible. Conversely, let  $E$  be a maximal set of orthogonal  $\vee$ -irreducible elements. Then  $x \in E^{\perp}$  and  $x \geq e \geq 1$  imply  $1 = e \wedge x \geq e = 1$ .
12. A simple lattice group must either have trivial radical or have no proper prime ideals; it is either the sum of Archimedean linear groups or does not contain any. But otherwise, the simple lattice groups are not classified.

## 2.4 Representable Groups

are ordered groups that are embedded in a product of linearly ordered groups; equivalently, the radical is 1. For example,  $\mathbb{Z}^n, G/\text{rad}(G)$ .

Proof: If  $G \subseteq \prod_i X_i$  and  $\pi_i$  are the projections to  $X_i$ , then since 1 is prime,  $\ker \pi_i$  are prime ideals; so  $\text{rad}(G) \subseteq \bigcap_i \ker \pi_i = \{1\}$ . Conversely,  $G/1 \subseteq \prod_i G/P_i$ .

1. (a)  $(x \wedge y)^n = x^n \wedge y^n$   
 (b)  $x \wedge (y^{-1}xy) = 1 \Rightarrow x = 1$   
 (c)  $x \perp y \Rightarrow x \perp z^{-1}yz$ .

Proof:  $(a_i)^n \wedge (b_i)^n = (a_i^n \wedge b_i^n) = (a_i \wedge b_i)^n$ . If  $x \wedge (y^{-1}xy) = 1$  then  $a_i \wedge (b_i^{-1}a_i b_i) = 1$ , so  $a_i = 1$ .  $abab \wedge aa = (ab \wedge a)^2 \leq aba$ , so  $b \wedge a^{-1}b^{-1}a \leq 1$ , in particular  $b_+ \wedge a^{-1}b_-^{-1}a = 1$ ; for  $b = xy^{-1}$ ,  $x \wedge y = 1$ , one gets  $1 = x \wedge a^{-1}ya$ .

2. Every prime contains a prime ideal.

Proof: Let  $N := \bigcap_x x^{-1}Px$  be the largest normal subgroup in  $P$ ; if  $a \wedge b = 1$  but  $b \notin N$  then there is a  $y$ ,  $y^{-1}by \notin P$ ; so  $x^{-1}ax \wedge y^{-1}by = 1$ , and  $x^{-1}ax \in P$  for all  $x$ , i.e.,  $a \in N$ .

3. Polar and minimal prime subgroups are normal (i.e., ideals).

Proof: A minimal prime subgroup satisfies  $P = \bigcup \{x^\perp : 1 \leq x \notin P\} = \bigcup \{a^{-1}x^\perp a : 1 \leq x \notin P\} = a^{-1}Pa$ . Conversely, if minimal primes are normal, then the radical is 1 (because every prime contains a minimal).

4. For any prime, either  $xP \leq Px$  or  $Px \leq xP$ .

The *weakly abelian* lattice groups satisfy  $\forall x \geq 1, y^{-1}xy \leq x^2$ ; then convex lattice subgroups are normal (if  $x \in H, |a^{-1}xa| = a^{-1}|x|a \in H$ ).

### 2.4.1 Linearly Ordered Groups

when  $G = G^+ \cup G^-$ , i.e., every element is comparable to 1; equivalently, a lattice group without proper orthogonal elements  $x \perp y \Rightarrow x = 1$  OR  $y = 1$ ; or a lattice group all of whose convex subgroups are lattices. Every simple representable group is linearly ordered.

Examples:

- $\mathbb{Q}^+$  with multiplication
- Free group on an alphabet, e.g.  $\dots < a^{-1}ba < b < aba^{-1} < a^{-1}bba < bb$  and pure braid groups.
- The lex product (lexicographic) of linear groups  $\prod_i^{\leftarrow} G_i$ , e.g.  $\mathbb{Z}^n$  (not Archimedean).
- Torsion-less abelian groups can be made linear by embedding in  $\mathbb{Q}^A$  (or consider a maximal set such that  $P \cap P^{-1} = \{1\}$ ; if  $1 \neq a \notin P \cup P^{-1}$  then the larger monoids generated by  $P$  and  $a$  or  $a^{-1}$  do not satisfy this condition; so  $(xa^n)^{-1} = ya^m$ , i.e.,  $a^{-(m+n)} = xy \in P$ , as well as  $a^{r+s} \in P$ ; hence  $a^{(m+n)(r+s)} \in P \cap P^{-1}$ , so  $m = n = r = s = 0$  and  $x = 1 = y$ ; thus  $P \cup P^{-1} = X$ .)
- $\mathbb{Z}^2$  with usual addition and  $(0,0) \leq (x,y) \Leftrightarrow \alpha x \leq y$  ( $\alpha \notin \mathbb{Q}$ ); e.g.  $\alpha = \sqrt{2}$  gives  $(0,0) < (-1,-1) < (0,1) < (-1,0) < (0,2) < (-1,1)$ .
- Heisenberg group:  $\mathbb{Z}^3$  with  $\begin{pmatrix} a_1 \\ b_1 \\ c_1 \end{pmatrix} * \begin{pmatrix} a_2 \\ b_2 \\ c_2 \end{pmatrix} := \begin{pmatrix} a_1 + a_2 \\ b_1 + b_2 \\ c_1 + c_2 + a_1 b_2 \end{pmatrix}$  and lexicographic ordering; a non-abelian linearly ordered group.
- Pure braid group (using its free group ordering).

1. Linear groups are either discrete or order-dense (since if  $a < b$  is a gap so are  $b^{-1}a < 1 < a^{-1}b$ ).

2. Every convex subgroup, including  $\{1\}$ , is prime ( $x \wedge y = 1 \Rightarrow x = 1$  OR  $y = 1$ ), so  $\mathcal{C}(G)$  is a linear order. A linear group with a maximal convex subgroup is of the type  $\llbracket a \rrbracket$ .
3. If  $[x^n, y^m] = 1$  ( $m, n \neq 0$ ) then  $[x, y] = 1$ .
4. The center is an ideal.
5. The Archimedean relation  $\prec$  is a coarser linear order on  $G$ : for any  $x, y$  either  $x \prec y$  or  $y \prec x$ .  
The regular subgroup not containing  $a$  is  $P_a = [1] \cup \dots \cup [b] = \{x : |x| \ll |a|\}$ .
6. (Neumann) Every linearly ordered group is the image of a free linearly ordered group.
7. (Mal'cev)  $\mathbb{Z}G$  is embedded in a division ring.

## 2.5 Completely Reducible Lattice Groups

are lattice groups whose socle equals the group; i.e.,  $G$  is the sum of simple lattice groups. Every element has an irredundant decomposition  $x = a_1 \vee \dots \vee a_n$  where  $a_i \in X_i$ .

The convex lattice subgroups satisfy ACC iff all such subgroups are principal iff  $G$  has a finite basis with each  $a^{\perp\perp}$  satisfying ACC.

ACC lattice groups: they are complete, every element is compact.

## 2.6 Abelian Lattice Groups

They are representable since all prime subgroups are normal and  $\text{rad}(G) = \bigcap_{P \text{ prime}} P = \{1\}$ ; thus every abelian lattice group is a product of linearly ordered abelian groups.

Hahn's theorem: Embedded in a lex product of  $\mathbb{R}^A$  (where  $A$  is the number of Archimedean classes).

### 2.6.1 Archimedean Linear Groups

These are the simple abelian lattice groups.

*Proposition 2*

#### Hölder's embedding theorem

**Every Archimedean linearly ordered group is embedded in  $\mathbb{R}, +$ .**

PROOF: Fix  $a > 1$  and let  $L_x := \{m/n \in \mathbb{Q} : a^m \leq x^n\}$ ,  $U_x := \{m/n \in \mathbb{Q} : a^m > x^n\}$ , a Dedekind cut of  $\mathbb{Q}$ , i.e.,  $L_x \cup U_x = \mathbb{Q}$ ,  $L_x \cap U_x = \emptyset$ ,  $L_x < U_x$ . Define  $\phi : G \rightarrow \mathbb{R}, x \mapsto \sup L_x = \inf U_x$ ; given  $m/n \in L_x, r/s \in L_y$ , i.e.,

$a^m \leq x^n$ ,  $a^r \leq y^s$ , either  $xy \leq yx$  when  $a^{ms+nr} \leq x^{ns}y^{ns} \leq (xy)^{ns}$  or  $yx \leq xy$  when  $a^{nr+ms} \leq y^{ns}x^{ns} \leq (xy)^{ns}$ ; so  $L_x + L_y \subseteq L_{xy}$ ; similarly,  $U_x + U_y \subseteq U_{xy}$ , so  $\phi(xy) = \phi(x) + \phi(y)$ . If  $\phi(x) = 0$  then for all  $m, n \geq 0$ ,  $a^{-m} \leq x^n$ , i.e.,  $1 \leq x \leq 1$ . Hence  $\phi$  is a 1-1 morphism.  $\square$

*Proposition 3*

**The only order-complete linearly ordered groups are  $0$ ,  $\mathbb{Z}$  and  $\mathbb{R}$ .**

PROOF: Complete linear orders are Archimedean since  $1 < x \ll y$  implies  $\alpha := \sup_n x^n$  exists, so  $\alpha x = x$ , a contradiction. If  $\mathbb{Z} \subset R \subset \mathbb{R}$ , then there is  $0 < \epsilon < 1$ , hence  $R$  is order-dense in  $\mathbb{R}$ ; its completion is  $\mathbb{R}$ .  $\square$

1. They are therefore abelian and can be completed.
2. Any morphism between Archimedean linear groups is of the type  $x \mapsto rx$  (as subgroups of  $\mathbb{R}$ ).

Proof: For  $\phi \neq 0$ , let  $\phi(a) > 0$ ; if  $\frac{\phi(x)}{\phi(a)} < \frac{m}{n} < \frac{x}{a}$  then  $ma < nx$  so  $m\phi(a) < n\phi(x)$  a contradiction; so  $\phi(x)/x = r := \phi(a)/a$ .

# Ordered Rings

## 3 Ordered Modules and Rings

An **ordered ring** is a unital ring with an order such that  $+$  is monotone, and  $*$  is monotone with respect to positive elements, i.e.,  $a, b \geq 0 \Rightarrow ab \geq 0$ .

An **ordered module** is an ordered abelian group  $X$  acted upon by an ordered ring  $R$  such that for  $a \in R, x \in X$ ,

$$a \geq 0, x \geq 0 \Rightarrow ax \geq 0$$

Hence  $a \geq 0$  AND  $x \leq y \Rightarrow ax \leq ay$ ; similarly,  $a \leq b$  AND  $x \geq 0 \Rightarrow ax \leq bx$ ; if  $a \leq 0$  then  $ax \geq ay$  (since  $\pm a(y-x) \geq 0$ ). For rings,  $a \geq 0$  AND  $b \leq c \Rightarrow ba \leq ca$ .

The morphisms are the maps that preserve  $+, \cdot, \leq$ ; module morphisms need to preserve the action  $T(ax) = aTx$ . An *ordered algebra* is an ordered ring which is a module over itself (acting left and right).

$X^+$  is closed under  $+, \cdot$ , and uniquely determines the order on  $X$ ,  $x \leq y \Leftrightarrow y-x \in X^+$ ; any subset  $P \subseteq R$  such that  $P+P \subseteq P, PP \subseteq P$  and  $P \cap (-P) = 0$  defines an order on  $R$ . (For  $X$ , replace with  $R^+P \subseteq P$ .)

Examples:

- $\mathbb{Z}, \mathbb{Q}, \mathbb{R}$  with their linear orders.  $\mathbb{Z}$  has a unique linear order ( $1 \not\leq 0$ , see later).  $\mathbb{Q}$  has a unique linear order that extends that of  $\mathbb{Z}$ : for  $n > 0$ ,  $\frac{1}{n} + \dots + \frac{1}{n} = 1$ , so  $\frac{1}{n} > 0$ ; so  $m/n > 0$  for  $m, n > 0$ .
- $\mathbb{Z}$  with  $2\mathbb{N} \geq 0$ ;  $\mathbb{Q}$  with  $\mathbb{N} \geq 0$ ;  $\mathbb{C}$  with  $\mathbb{R}^+ \geq 0$ .
- $\mathbb{Z}_2 \times \mathbb{Z}$  with  $(0, 1), (1, 2) \geq 0$ .
- $\mathbb{Q}(\sqrt{2})$  with  $0 < 1$  but  $\sqrt{2}$  not comparable to 0 or 1.
- A commutative *formally real* ring ( $\sum_n^N x_n^2 = 0 \Rightarrow x_n = 0$ ) has a natural (minimal) positive cone  $P := \sum \prod R^2$  (finite terms). Equivalently, squares are positive and there are no nilpotents. If  $R$  is formally real, then so are  $R[x, y, \dots], R^A$ , subrings (e.g.  $C(R)$ ).

More generally, any ring with the property that finite sums of terms  $a_1 \cdots a_{2n}$ , where each  $a_i$  occurs an even number of times, can be zero only if each product is zero, has an order whose positives consist of such sums (such as squares).

- *Scaled ring*: For any ordered ring/module, pick any invertible central positive element  $\lambda$ , and let  $a * x := \lambda ax$ ; the new identity is  $\lambda^{-1}$ .
- Any module with the trivial order  $X^+ = 0$ . Every finite module, being a finite group, can only have this order.

- $\text{Hom}(X)$ , the morphisms of a commutative ordered monoid, with  $0 \leq \phi \Leftrightarrow 0 \leq \phi(x), \forall x \geq 0$ , AND  $\phi(x) \leq 0, \forall x \leq 0$ . It is pre-ordered, but ordered when  $X = X^+ + X^-$ . Every ordered ring is embedded in such a ring, via the map  $a \mapsto \phi_a$  where  $\phi_a(x) := ax$ .

Sub-modules (e.g. left ideals) and sub-rings are automatically ordered; in particular the generated sub-modules and sub-rings  $\llbracket A \rrbracket$ .

Products of ordered modules (rings)  $X \times Y$  with

$$(x, y) \geq 0 \Leftrightarrow x \geq 0 \text{ AND } y \geq 0$$

and functions  $X^A$ , with

$$f \geq 0 \Leftrightarrow f(x) \geq 0 \forall x \in A$$

are again ordered modules (rings). But  $R \overset{\leftarrow}{\times} S$  is not, e.g.  $(0, 1), (1, -1) > 0$  yet  $(0, 1)((1, -1) = (0, -1) < 0$ .

Matrices  $M_n(R)$  with  $0 \leq T \Leftrightarrow T_{ij} \geq 0, \forall i, j$  (i.e.,  $M_n(R^+)$ ).

Polynomials  $R[x]$  with  $R[x]^+$  consisting of polynomials with (i)  $p(a) \geq 0$  for all  $a \in R$ , (ii) all coefficients are positive,  $R^+[x]$ , or (iii) lex ordering: lowest order term is positive; apart from (iv)  $p = \sum_i q_i^2$  when formally real; note (iv)  $\Rightarrow$  (i)  $\Rightarrow$  (iii). In  $\mathbb{Z}[x]$ ,  $x$  satisfies (ii) but not (i) or (iv),  $x^2 - x + 1$  satisfies (i) but not (ii) or (iv).

Series  $R[[x]]$  and Laurent series  $R((x))$  with lex ordering.

Group Algebras: More generally,  $R[\mathcal{C}]$  with convolution and  $R[\mathcal{C}]^+ = R^+[\mathcal{C}]$ .

If  $R$  acts on  $X$  and  $\phi : S \rightarrow R$  is a morphism, then  $S$  acts on  $X$  by  $s \cdot x := \phi(s)x$ .

$$1. \begin{array}{c|cc} & X^+ & X^- \\ R^+ & + & - \\ R^- & - & + \end{array}$$

So  $a \in R^\pm \Rightarrow a^2 \geq 0$  and  $0 \leq a \leq b \Rightarrow a^2 \leq b^2$ . In particular  $1 \not\leq 0$  (else  $1 < 0 \Rightarrow 1^2 > 0$ ); for any idempotent  $e \not\leq 0, e \not\geq 1$ . But squares need not be positive, e.g. in  $\mathbb{Z}[x]$ ,  $(x-1)^2 = x^2 - 2x + 1$  is unrelated to 0;

in  $M_2(\mathbb{Z})$ ,  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}^2 = -I < 0$ .

$$2. 0 \leq a \leq b \text{ AND } 0 \leq x \leq y \Rightarrow ax \leq by.$$

In particular,  $0 \leq a, b \leq 1 \Rightarrow ab \leq 1$ .

$a \geq 1$  AND  $x \leq y \Rightarrow ax \leq ay$  (since  $(a-1)(y-x) \geq 0$ );  $a, b \geq 1 \Rightarrow ab \geq 1$ .

If  $ab = 0$  for  $a, b \geq 0$ , then  $(a \wedge b)^2 = 0$ .

If  $x + y = 0$  with  $x, y \geq 0$  then  $x = 0 = y$ , i.e.,  $x > 0, y \geq 0 \Rightarrow x + y > 0$ .

Note that  $ax \geq 0, x > 0 \not\Rightarrow a \geq 0$ .

3. Convex sub-modules give ordered-module quotients with

$$0 + Y \leq x + Y \Leftrightarrow \exists y \in Y, x + y \geq 0$$

Similarly, convex ideals for rings. For a discrete module, all sub-modules are convex.

A sub-module is convex iff  $x, y \geq 0, x + y \in Y \Rightarrow x, y \in Y$ . For example,  $\text{Annih}(x)$ ; more generally  $[M : B] := \{a \in R : aB \subseteq M\}$  when  $M$  is a convex sub-module and  $B \geq 0$ .

A convex ideal of  $M_n(R)$  is of the form  $M_n(I)$  with  $I$  a convex ideal.

4. Morphisms pull convex sub-modules (ideals) to convex sub-modules (ideals)  $T^{-1}M$ , in particular  $\ker T = T^{-1}0$ .
5. When 1 and 0 are incomparable, one can distinguish the *quasi-positive* elements of  $X$

$$a \geq 0 \Rightarrow ax \geq 0$$

They form an upper-closed sub-semi-module that contains  $X^+$ ; and closed under  $\cdot$  for  $R$ .

For any quasi-positive idempotent,  $eRe$  is a subring with  $(eRe)^+ = eR^+e$ .

Types of Ordered Modules/Rings:

- An ordered ring is *reduced* when it has no non-trivial positive/negative nilpotents, i.e.,  $a > 0 \Rightarrow a^2 > 0$ .
- It is an ordered *domain* when it has no non-trivial positive/negative zero divisors, i.e.,  $a, b > 0 \Rightarrow ab > 0$ . Ordered domains are reduced.
- An ordered module is *simple* when it contains no proper convex sub-modules. A left-simple ordered ring is an ordered domain, since  $ab = 0, b > 0 \Rightarrow \text{Annih}(b) = R$ .
- It is *Archimedean* when  $X, +$  is an Archimedean group. An Archimedean ring with  $0 < 1$  is left-simple, since if  $0 \neq a \in I$  then  $1 \leq n|a| \in I$  and  $1 \in I$ . Simple ordered modules, acted on by rings with  $R \prec 1$ , are Archimedean, as  $\{x : x \prec y\}$  is a convex sub-module.

### 3.0.2 Lattice Ordered Rings/Modules

Hence  $X, +$  is an abelian lattice group,

$$x + y \vee z = (x + y) \vee (x + z)$$

Morphisms must preserve the operations  $+, \cdot, \vee$ . Note that an isomorphism is a bijective morphism.

Examples:

- $\mathbb{Z}[\sqrt{2}]$  with  $a + b\sqrt{2} \geq 0 \Leftrightarrow b \leq a \leq 2b$  (more generally, any angled sector less than  $\pi$ ).
- $\mathbb{Z}^2$  with standard  $+, \leq$  and (i)  $(a, b)(c, d) := (ac+bd, ad+bc)$ , (ii)  $(a, b)(c, d) := (ac, ad + bc + bd)$ .
- Any abelian lattice group acted upon by its ring of automorphisms, with  $\phi \geq 0 \Leftrightarrow \phi G^+ \subseteq G^+$ .  
The bounded morphisms  $\text{Hom}_B(X)$  of a complete lattice group.
- $M_2(\mathbb{Z})$  with  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \geq 0 \Leftrightarrow 0 \leq c \leq a, 0 \leq d \leq b$ . Then  $0 \not\leq 1$ .
- The infinite matrices over  $\mathbb{Z}$  with a finite number of non-zero entries; the subring of upper triangular matrices.
- Group algebras  $\mathbb{F}[G]$ , with  $\mathbb{F}[G]^+ := \mathbb{F}^+[G]$ .

Products  $X \times Y$  and functions  $X^A$  are again lattice ordered. Matrices  $M_n(R)$  are lattice ordered rings when  $R$  is a lattice ordered ring.

Every subset generates a sub-lattice-ring  $\llbracket A \rrbracket$ .

1. Recall from abelian lattice groups:  $x_+ := x \vee 0, x_- := x \wedge 0$ ,

$$\begin{array}{lll}
x = x_+ + x_- & (x \vee y)_\pm = x_\pm \vee y_\pm & (-x)_+ = -x_- \\
|x| = x_+ - x_- = x \vee (-x) & |x + y| \leq |x| + |y| & |-x| = |x| \\
-|x| \leq x \leq |x| & |nx| = n|x| & |x \vee y| \leq |x| + |y| \\
-(x \vee y) = (-x) \wedge (-y) & x \vee y + x \wedge y = x + y & x \wedge y = 0 = x \wedge z \Rightarrow x \wedge (y + z) = 0 \\
& n(x \vee y) = \begin{cases} nx \vee ny, & n \geq 0 \\ nx \wedge ny, & n \leq 0 \end{cases} & nx \geq 0 \Leftrightarrow x \geq 0 \\
& & nx = 0 \Leftrightarrow x = 0 \\
x \vee y = (x - y)_+ + y & & 
\end{array}$$

If  $|x| \wedge |y| = 0$  then  $(x + y)_\pm = x_\pm + y_\pm$  and  $|x + y| = |x| + |y| = |x| \vee |y|$ .

Morphisms:  $(Tx)_+ = Tx_+, T|x| = |Tx|$ .

2. If  $a \geq 0$  then  $a(x \vee y) \geq ax \vee ay, a(x \wedge y) \leq ax \wedge ay$ ;  
If  $a \leq 0$  then  $a(x \vee y) \leq ax \wedge ay, a(x \wedge y) \geq ax \vee ay$ .  
If  $x \geq 0$  then  $(a \vee b)x \geq ax \vee bx, (a \wedge b)x \leq ax \wedge bx$ ;  
If  $x \leq 0$  then  $(a \vee b)x \leq ax \wedge bx, (a \wedge b)x \geq ax \vee bx$ .

If  $a, a^{-1} > 0$  then  $a(x \vee y) = ax \vee ay$  and  $a(x \wedge y) = ax \wedge ay$ , since  $ax, ay \leq z \Leftrightarrow x, y \leq a^{-1}z$ . Note that  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} > 0$  but  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}^{-1} \not> 0$ .

3.  $|ax| \leq |a||x|$

Proof:

$$\begin{aligned}
ax &= (a_+ + a_-)(x_+ + x_-) \leq a_+x_+ - a_+x_- - a_-x_+ + a_-x_- = |a||x| \\
&\geq -a_+x_+ + a_+x_- + a_-x_+ - a_-x_- = -|a||x|
\end{aligned}$$



4.  $\ell$ -sub-modules are the convex sub-lattice-modules; they are the kernels of morphisms, and  $X/Y$  is a lattice ordered module; similarly for  $\ell$ -ideals and rings.

A sub-lattice-module is convex iff  $x \in Y, |y| \leq |x| \Rightarrow y \in Y$ .

An  $\ell$ -ideal which is a prime subgroup gives a quotient which is linearly ordered.

5. First Isomorphism theorem: If  $T$  is a module morphism, then

$$X/\ker T \cong \text{im } T \quad \text{via } x \mapsto Tx.$$

Proof: If  $0 \leq Tx$  then  $Tx = (Tx)_+ = Tx_+$ , so  $Tx_- = 0$  and  $x + \ker T \geq \ker T$ . An order-isomorphism is a  $\vee$ -isomorphism.

6. If  $X = M + N$ , both  $\ell$ -submodules, then

$$\frac{X}{M \cap N} \cong \frac{X}{M} \times \frac{X}{N}$$

For  $\ell$ -sub-modules,  $\frac{X}{\bigcap_i Y_i} \cong \prod_i \frac{X}{Y_i}$  via  $x \mapsto (x + Y_i)$ .

7. A coarser relation than the Archimedean one is  $|x| \leq |a||y|$  for some  $a \in R$ . Let

$$|A \cdot Y| := \{x \in X : |x| \leq |a_1||y_1| + \cdots + |a_n||y_n|, a_i \in A, y_i \in Y, n \in \mathbb{N}\}$$

Note that  $|\sum_i a_i y_i| \leq \sum_i |a_i||y_i|$ , so  $A \cdot Y \subseteq |A \cdot Y|$ .

The  $\ell$ -sub-module generated by a subset is  $\widehat{|Y|} = |R \cdot Y|$ , in particular if  $Y$  is an sub-lattice-module then

$$\widehat{|Y|} = \{x \in X : |x| \leq |a||y|, a \in R, y \in Y\}$$

e.g.  $\widehat{|y_1, y_2|} = \widehat{|y_1| + |y_2|}$  so finitely generated modules are one-generated;  $M \vee N = \{x : |x| \leq |a|(|y| + |z|), a \in R, y \in M, z \in N\}$ . Similarly, the generated convex ideal is

$$\widehat{\langle A \rangle} = \{b : |b| \leq |r|(|a_1| + \cdots + |a_n|)|s|, r, s \in R, a_i \in A, n \in \mathbb{N}\}$$

The  $\ell$ -sub-modules form a complete distributive lattice.

8. The  $\ell$ -annihilator of a subset  $B \subseteq X$  is

$$\text{Annih}_\ell(B) := \{a \in R : |a||x| = 0, \forall x \in B\} \subseteq \text{Annih}(B)$$

is a left  $\ell$ -ideal of  $R$ . Similarly the  $\ell$ -zero-set of  $A \subseteq R$  is

$$\text{Zeros}_\ell(A) = \{x \in X : |a||x| = 0, \forall a \in A\} \subseteq \text{Zeros}(A)$$

is a convex lattice-subgroup (but not a module).

9. For the lattice of  $\ell$ -ideals,
- (a)  $I$  is an  $\ell$ -nilpotent ideal iff  $|I^n| = 0$ ; it is nilpotent. If  $I$  is a nilpotent left  $\ell$ -ideal, then so is  $\widehat{\langle I \rangle} = |I \cdot R|$ .
  - (b)  $I$  is an  $\ell$ -nil ideal iff for  $x \in I$ ,  $|x|$  is nilpotent.
  - (c)  $S$  is an  $\ell$ -semi-prime ideal iff  $|I \cdot J| \subseteq S \Rightarrow I \cap J \subseteq S$   
iff  $|x|R|x| \subseteq S \Rightarrow x \in S$ . A convex semi-prime ideal is  $\ell$ -semi-prime.
  - (d)  $P$  is an  $\ell$ -prime ideal iff  $|I \cdot J| \subseteq P \Rightarrow I \subseteq P$  OR  $J \subseteq P$   
iff  $|x|R|y| \subseteq P \Rightarrow x \in P$  OR  $y \in P$ . A convex prime ideal is  $\ell$ -prime.
  - (e)  $P$  is an  $\ell$ -primitive ideal iff  $P$  is the  $\ell$ -core  $\text{Annih}_\ell(R/I)$  (the largest  $\ell$ -left-ideal) of some maximal  $\ell$ -left-ideal  $I$ .

10. Convex Radicals for Rings:

$\text{Nil}_\ell := \sum \ell$ -nil ideals,

$\text{Nilp}_\ell := \{x : |x| \text{ supernilpotent}\} = \sum \ell$ -nilpotent ideals.

$\text{Prime}_\ell := \bigcap \{P : \ell\text{-prime ideal}\}$ , (the smallest  $\ell$ -semi-prime)

$\text{Jac}_\ell := \{x : |x| \text{ quasi-nilpotent}\}$

$$\text{Nilp}_\ell \subseteq \text{Prime}_\ell \subseteq \text{Nil}_\ell \subseteq \text{Jac}_\ell$$

Proof: Same as for rings, e.g.  $\text{Prime}_\ell \subseteq \text{Nil}_\ell$ : if  $|x|$  is not nilpotent then there is an  $\ell$ -prime which is maximal in not containing any  $|x|^n$ ; so if  $I, J \not\subseteq P$  then  $|x|^n \in |I+P|$ ,  $|x|^m \in |J+P|$ , hence  $|x|^{n+m} \in |I+P| \cdot |J+P| \subseteq |(I+P) \cdot (J+P)| = |I \cdot J + P|$ ,  $\therefore I \cdot J \not\subseteq P$ , so  $P$  is  $\ell$ -prime and  $|x| \notin P$ .

11. *Semi-prime Ordered Rings*: when  $\text{Prime}_\ell(R) = 0$ , equivalently, it contains no proper  $\ell$ -nilpotent ideals,  $|I^n| = 0 \Rightarrow I = 0$ , or 0 is  $\ell$ -semi-prime

$$|a|R|a| = 0 \Rightarrow a = 0.$$

$R/\text{Prime}_\ell \cong \prod$  prime ordered rings.

12. *Prime Ordered Rings*: when 0 is  $\ell$ -prime, i.e.,  $|I \cdot J| = 0 \Rightarrow I = 0$  OR  $J = 0$ ; equivalently, for any left  $\ell$ -ideal,  $\text{Annih}_\ell(I) = 0$ . Examples include  $M_n(R)$  when  $R$  is a linearly ordered division ring.
13. A reduced ordered ring is embedded in a product of domains  $\prod_M R/M$  where  $M$  are the minimal  $\ell$ -primes. A reduced prime ordered ring is a domain.
14. If  $R$  is commutative, then  $ab = (a \vee b)(a \wedge b)$ , so  $a \wedge b = 0 \Rightarrow ab = 0$ ; in particular,  $a^2 = (a_+ + a_-)^2 = a_+^2 + a_-^2 \geq 0$ , including  $1 \geq 0$ . Thus a commutative lattice ordered ring without nilpotents is formally real.
15. Recall the topology generated by  $B_y(x)$  for  $y > 0$ . A coarser topology is that generated by  $B_{ay}(x)$  for fixed  $y$  and  $a \in R^+$ .

### 3.1 Lattice Modules/Rings

A **lattice module** is a lattice-ordered module acted upon by a lattice-ordered ring such that

$$\begin{aligned} a \geq 0 &\Rightarrow a(x \vee y) = ax \vee ay \\ x \geq 0 &\Rightarrow (a \vee b)x = ax \vee bx \end{aligned}$$

The morphisms need to preserve  $+$ ,  $*$ ,  $\vee$ . A **lattice ring** is a lattice-ordered ring which is a lattice module over itself.

Thus  $R^+, *$  is a lattice monoid.

Examples:

- $\mathbb{Z}^2, \mathbb{Q}^n$ , e.g.  $(1, 0)(0, 1) = (0, 0)$ .
- *Vector lattices*: a lattice ordered module acted upon by a linearly ordered division ring, since  $a \vee b = a$  or  $b$ , and  $a > 0 \Rightarrow a^{-1} > 0$ . A *Riesz space* is a vector lattice over  $\mathbb{R}$ .
- Archimedean lattice ordered rings, since  $x \wedge y = 0 \Rightarrow ax \wedge y \leq nx \wedge y \leq n(x \wedge y) = 0$ .

Sub-lattice-rings, images are again lattice-rings. Products,  $R^A$ , its sub-lattice ring  $C(X)$  when  $X$  is a  $T_2$  space; but not matrices  $M_n(R)$  or  $R[G]$ .

1.  $a \geq 0 \Rightarrow a(x \wedge y) = ax \wedge ay, x \geq 0 \Rightarrow (a \wedge b)x = ax \wedge bx$   
 $a \leq 0 \Rightarrow a(x \vee y) = ax \wedge ay, x \leq 0 \Rightarrow (a \vee b)x = ax \wedge bx$ .  
 $ax \wedge by \leq (a \vee b)(x \wedge y) \leq ax \vee by$

2. Equivalently,

- (a)  $|ax| = |a||x|$ ,
- (b)  $(ax)_+ = a_+x_+ + a_-x_-, (ax)_- = a_+x_- + a_-x_+$
- (c)  $a \geq 0 \Rightarrow ax_+ \wedge (-ax_-) = 0$   
 $x \geq 0 \Rightarrow a_+x \wedge (-a_-x) = 0$
- (d)  $a \geq 0$  AND  $x \wedge y = 0 \Rightarrow ax \wedge ay = 0$ ,  
 $x \geq 0$  AND  $a \wedge b = 0 \Rightarrow ax \wedge bx = 0$
- (e)  $a, b \geq 0$  AND  $x \wedge y = 0 \Rightarrow ax \wedge by = 0 = xa \wedge yb$  (for rings)  
 $x, y \geq 0$  AND  $a \wedge b = 0 \Rightarrow ax \wedge by = 0$

Proof: (e)  $0 \leq ax \wedge by \leq (a \vee b)(x \wedge y) = 0$ . (e)  $\Rightarrow$  (d)  $\Rightarrow$  (c) trivial;  $ax = (a_+ + a_-)(x_+ + x_-) = (a_+x_+ + a_-x_-) + (a_+x_- + a_-x_+)$ ; but  $(a_+x_+ + a_-x_-) \perp (a_+x_- + a_-x_+)$ , so  $(ax)_+ = a_+x_+ + a_-x_-$ , etc.; hence  $|ax| = (ax)_+ - (ax)_- = |a||x|$ . For  $a \geq 0$ ,  $2(ax)_+ = ax + a|x| = 2ax_+$ , so  $a(x \vee y) = a(x - y)_+ + ay = ax \vee ay$ ; similarly for  $(a \vee b)x = ax \vee bx$ .

Every lattice-ordered ring contains a lattice ring, namely  $\{a \in R : x \wedge y = 0 \Rightarrow |a|x \wedge y = 0 = x|a| \wedge y\}$ .

3. Hence  $\text{Annih}_\ell(B) = \text{Annih}(B)$ ,  $\text{Zeros}_\ell(A) = \text{Zeros}(A)$ . If  $M$  is a sub-module, then  $\text{Annih}(M)$  is an  $\ell$ -ideal; if  $I$  is an ideal, then  $\text{Zeros}(I)$  is an  $\ell$ -submodule.
4.  $|1|x = x = 1_+x, 1_-x = 0$   
Proof:  $(1 \wedge 0)x = x_+ \wedge 0 + x_- \vee 0 = 0$ .
5.  $A^\perp$  is an  $\ell$ -submodule (or  $\ell$ -ideal) and  $\widehat{[A]} \cap A^\perp = 0$ ;  $\widehat{[A]}^\perp = A^\perp$ .  
Proof: If  $x \in \widehat{[A]} \cap A^\perp$ , then  $|x| \wedge |x| \leq r(|a_1| + \cdots + |a_n|) \wedge |x| = 0$ .
6. If  $v \wedge w = 0$  for  $v \in V, w \in W$ , then  $\widehat{[V]} \cap \widehat{[W]} = 0$ .  
For a vector lattice, if  $v_i \wedge v_j = 0$  (non-zero) then  $\sum_i a_i v_i \geq 0 \Leftrightarrow a_i \geq 0$ . Thus  $v_i$  are linearly independent. Hence a finite dimensional vector lattice has a finite group basis.  
Proof: If  $a_1 \leq 0$ , then  $0 \leq (-a_1 v_1) \wedge v_1 \leq (a_2 v_2 + \cdots + a_n v_n) \wedge v_1$ , so  $-a_1 v_1 \wedge v_1 = 0$  and  $a_1 = 0$ .
7. A convex sub-module of  $X \times Y$  is of the form  $M \times N$  with  $M, N$  convex sub-modules.
8.  $R/\text{Annih}(x) \cong Rx$  for  $x \geq 0$ , via  $a \mapsto ax$ .
9. An indecomposable lattice module is linearly ordered.  
Proof:  $X = x_+^{\perp\perp} \oplus x_+^\perp$ , hence either  $x_+ \in x_+^{\perp\perp} = 0$  or  $x_- \in x_+^\perp = 0$ .
10. Lattice modules and rings can be embedded in a product of linearly ordered modules/rings. (Equivalent to definition.)  
Proof: The radical is 0 (as an abelian lattice group), so  $X \subsetneq \prod_P X/P$  via  $x \mapsto (x + P)_{P \in \mathcal{P}}$ ; the embedding is a lattice ring morphism. An  $\ell$ -prime lattice ring is linearly ordered:  $\widehat{\langle x_+ \rangle} \cdot \widehat{\langle x_- \rangle} \subseteq \widehat{\langle x_+ \rangle} \cap \widehat{\langle x_- \rangle} = 0$ , so  $x_+ = 0$  or  $x_- = 0$ .
11.  $M_n(R)$  acts trivially on a lattice module ( $Ax = 0$ ), unless  $n = 1$ .  
Proof: Suppose  $M_n(R)$  acts on a lattice module, hence on a linearly ordered module  $X$ ; then  $E_{1j}x \leq E_{2j}x$ , say, so multiplying by  $E_{i1}$  and  $E_{i2}$  gives  $E_{ij}x = 0$ .

### Lattice Rings

12.  $0 \leq 1$ , so  $R$  contains  $\mathbb{Z}$  (unless  $R = 0$ ), since  $1_+ = 1_+1 = 1$ .
13. Let  $a_\oplus := a \vee 1, a_\ominus := a \wedge 1$ , for  $a \geq 0$ . Then  $a = a_\oplus a_\ominus$ .
14.  $a \perp b \Rightarrow ab = 0$ . In particular  $a_+ a_- = 0$  and  $1^\perp = 0$ .  
Proof:  $a \wedge b = 0 \Rightarrow ab \wedge b = 0 \Rightarrow ab \wedge ab = 0$ .  
The converse holds iff the lattice ring is reduced (since  $0 = |ab| \geq (|a| \wedge |b|)^2 \Rightarrow a \perp b$ ).

15. Squares are positive:  $a^2 = |a|^2 \geq 0$  since  $a^2 = (a_+ + a_-)^2 = a_+^2 + a_-^2 \geq 0$ .
- (a) If  $a$  is invertible, then  $a > 0 \Rightarrow a^{-1} > 0$  (since  $a^{-1} = (a^{-1})^2 a \geq 0$ ).
- (b)  $ab + ba \leq a^2 + b^2$  since  $(a - b)^2 \geq 0$ .
- (c) Idempotents satisfy  $0 \leq e \leq 1$ . Any proper idempotent decomposes  $X = eX \oplus (1 - e)X$  ( $eX$  is convex since  $0 \leq y \leq ex \Rightarrow (1 - e)y = 0$ ).
16. (a)  $|a^n| = |a|^n$  (possibly  $n < 0$ )
- (b)  $|a|^n \leq 1 \Leftrightarrow |a| \leq 1$ , i.e.,  $-1 \leq a^n \leq 1 \Rightarrow -1 \leq a \leq 1$   
 $|a|^n \geq 1 \Leftrightarrow |a| \geq 1$
- (c) Nilpotents satisfy  $|a| \ll 1$ , since  $na$  is also nilpotent.
17.  $A^\perp + B^\perp \subseteq (AB)^\perp$
18. Idempotents are central.  
 Proof: Embed in linear ordered rings; then  $e = (0 \text{ or } 1)$  (see later) so commutes.
19. As Archimedean classes,  $ab - ba \ll a^2 + b^2$ . So an Archimedean lattice ring is commutative.  
 Proof: Assume a linear order,  $0 \leq a \leq b$ ; then  $nb = ka + r$  with  $0 \leq r < a$ ; so  $n(ab - ba) = a(nb) - (nb)a = [a, r]$ , so  $n|[a, b]| = |[a, r]| \leq 2a^2 \leq a^2 + b^2$ .
20. If  $A \geq 0$  then its centralizer  $Z(A)$  is a sub-lattice-ring, e.g. the center  $Z(R) = Z(R^+)$ .
21. If  $I$  is a convex left ideal then its core  $[I : R] = \{a \in R : aR \subseteq I\} \subseteq I$  is an  $\ell$ -ideal.
22.  $\text{Nil}_\ell = \text{Nil}_\ell$ ,  $\text{Nil}_n := \{a : a^n = 0\}$  are  $\ell$ -nilpotent ideals.  
 Proof: Assume linearly ordered;  $a^m = 0 = b^n$ ,  $0 \leq a \leq b \Rightarrow (a + b)^n \leq (2b)^n = 2^n b^n = 0$ ;  $|ra| \leq |ar| \Rightarrow 0 \leq |ra|^n \leq |ar|^n \leq |a||ra|^{n-1}|r| \leq \dots \leq |a|^n|r|^n = 0$ , similarly for  $|ar| \leq |ra|$ . If  $|b| \leq |a|$  then  $0 \leq |b|^n = |b|^n \leq |a|^n = |a|^n = 0$  hence convex. If  $a \in \text{Nil}_\ell$ , then  $a \in \text{Nil}_n$  for some  $n$ , so  $a \in \sum_n \text{Nil}_n \subseteq \text{Nil}_\ell$ .
23. (Johnson)  $R/\text{Nil}_\ell \subsetneq \prod_n R_n$  linear domains.
24. Archimedean vector lattices over a field are isomorphic to  $\mathbb{R}^n$ .

### 3.2 Linearly Ordered Rings

Equivalently, a lattice-ordered ring with  $x \wedge y = 0 \Rightarrow x = 0$  OR  $y = 0$ . They are lattice rings since  $a(x \vee y) = ax = ax \vee ay$  (say).

Examples:

- $\mathbb{Z}^2$  or  $\mathbb{Q}^2$  with lex ordering and  $(a, b)(c, d) := (ac, ad + bc)$  or  $(a, b)(c, d) := (ad + bc, bd)$ ; non-Archimedean.

- Any commutative lattice-ordered domain, since  $x \wedge y = 0 \Rightarrow xy = 0 \Rightarrow x = 0$  or  $y = 0$ .
- $R[x]$ ,  $R[[x]]$ ,  $R((x))$  with lex ordering. The subring of terms  $\sum_{n=-N}^M a_n x^n$ .
- Ring of fractions is also linearly ordered (when commutative)

$$a/b \leq c/d \Leftrightarrow ad \leq bc \quad (\text{for } b, d \geq 0)$$

Hence a commutative linearly ordered ring extends to a linearly ordered field, e.g.  $\mathbb{Z}$  to  $\mathbb{Q}$ .

1. Equivalently, they are the indecomposable lattice rings (no proper idempotents).  
Proof: For any idempotent, either  $e \leq (1 - e)$  so  $e = e^2 \leq 0$  or  $(1 - e) \leq e$  so  $1 - e \leq 0$ .
2.  $ax \leq ay \Rightarrow x \leq y$  if  $a > 0$ , else  $a \leq 0 \Rightarrow x \geq y$ .  
 $ax = 0$  ( $a \neq 0$ )  $\Rightarrow |x| < 1$  (else  $|x| \geq 1 \Rightarrow |a| \leq |a||x| = |ax| = 0$ ).
3. Recall that linear orders have a natural  $T_5$  topology; which is connected iff order-complete and without cuts or gaps.
4. Reduced linearly ordered rings are domains.

### 3.2.1 Linearly Ordered Fields

Examples:

- $\mathbb{Q}, \mathbb{R}$
- $\mathbb{Q}(\sqrt{2})$  with (i)  $\sqrt{2} > 0$ , (ii)  $\sqrt{2} < 0$ .
- *Hyperreal numbers*:  $\mathbb{R}^{\mathbb{N}}$  with  $(a_n) \leq (b_n) \Leftrightarrow \{n \in \mathbb{N} : a_n \leq b_n\} \in \mathcal{N}$ , where  $\mathcal{N}$  is a maximal non-principal filter of  $\mathbb{N}$ ; sequences need to be identified to give an order. Then  $\epsilon := (1, \frac{1}{2}, \frac{1}{3}, \dots)$  is an infinitesimal with inverse  $\omega := (1, 2, 3, \dots)$ . (This field is independent of  $\mathcal{N}$  if the continuum hypothesis is assumed.)

1. The prime subfield is  $\mathbb{Q}$ .
2.  $x \mapsto ax$  for  $a > 0$  are precisely the  $(+, \leq)$ -automorphisms. The only  $(+, *, \leq)$ -automorphism is trivial.
3. If  $x \leq y + a$  for all  $a > 0$ , then  $x \leq y$  (else  $x - y \leq a := (x - y)/2$ ).

4. A field can be linearly ordered  $\Leftrightarrow$  it can be lattice-ordered  $\Leftrightarrow$  it is formally real.

Proof: A formally real field can have its positives  $P$  extended maximally to  $Q$ , by Hausdorff's maximality principle. For  $x \notin Q$ ,  $Q - Qx \supseteq Q$  is also a positive set, so  $Q - Qx = Q$ , i.e.,  $-x \in Q$ .

More generally a ring can be linearly ordered  $\Leftrightarrow$  proper sums of even products of elements cannot be zero (same proof). Note that for a division ring, an even product is a product of squares (since  $axay = (ax)^2(x^{-1})^2xy = \dots$ ).

5. A linearly ordered field is Archimedean  $\Leftrightarrow \mathbb{N}$  is unbounded  $\Leftrightarrow \mathbb{Q}$  is dense. ( $F \setminus \mathbb{Q}$  is also dense unless empty.)

Proof:  $\forall x, x \prec y \Rightarrow \mathbb{N}y$  is unbounded. If  $0 \leq x < y$  then  $(y - x)^{-1} < n$  and  $\frac{1}{2n}\mathbb{N}$  is unbounded; pick smallest  $\frac{m}{2n} > x$ . So  $x < \frac{m}{2n} \leq x + \frac{1}{2n} < x + \frac{y-x}{2} < y$ .

6. The extension field  $F(a) \cong F[x]/\langle p \rangle$  ( $p$  irreducible) can be linearly ordered, if  $p$  changes sign. In particular when

- (a)  $a^2 > 0$  in  $F$
- (b)  $p$  is odd dimensional

Proof: Let  $p$  be a minimal-degree ( $m$ ) counterexample, i.e.,  $F[x]/\langle p \rangle$  is not formally real, so  $\sum_n p_n^2 = 0 = pq \pmod{p}$  with  $p_n \neq 0$ ;  $q$  has degree at most  $2(m-1) - m = m-2$ . Since  $p(x)q(x) = \sum_n p_n(x)^2 \geq 0$  yet  $p(x_1)p(x_2) < 0$ , then  $q(x_1)q(x_2) < 0$ ; decompose  $q = q_1 \cdots q_r$  into irreducibles, then  $q_1(x_1)q_1(x_2) < 0$  say, and  $\sum_n p_n^2 = pq = 0 \pmod{q_1}$ , still not formally real. If  $a^2 > 0$  then  $x^2 - a^2$  is irreducible in  $F$  and changes sign from 0 to  $a^2 + 1$ . If  $p(x) = x^n(1 + a_{n-1}/x + \cdots + a_0/x^n)$  is odd, then for  $x$  large enough the bracket is positive, hence  $p(x)$  changes sign like  $x^n$ .

7. (Neumann) Every linearly ordered division ring can be extended to include  $\mathbb{R}$ .

*Proposition 4*

**Every Archimedean linearly ordered ring is embedded in  $\mathbb{R}$ , except  $R = 0$ .**

PROOF:  $R+$  is embedded in  $\mathbb{R}+$  as lattice groups. The map  $x \mapsto a \cdot x$  is a group automorphism on  $R+$ , hence of the type  $x \mapsto r_a x$ ; let  $r_{-a} := -r_a$ , then  $a \mapsto r_a$  is a group morphism  $\mathbb{R}+ \rightarrow \mathbb{R}+$ , so  $r_a = sa$ , with  $s > 0$ , so  $x \cdot y = r_x y = sxy$ ,  $r_{x \cdot y} = s(x \cdot y) = sxsy = r_x r_y$ , hence  $x \mapsto r_x$  is an ordering embedding. (Thus Archimedean linear rings are characterized by their  $+$ -group.)

□

Hence, the only order-complete linearly ordered rings are  $0$ ,  $\mathbb{Z}$  and  $\mathbb{R}$ ; and the Dedekind-completion of any Archimedean linearly ordered field is  $\mathbb{R}$ . Recall that these are also Cauchy-complete. (Note: The Dedekind completion of the hyperreal numbers is not closed under  $+$ , etc.)

### 3.2.2 Surreal Numbers

Every linearly ordered field is embedded in the surreal numbers.

Construction: A *surreal* number is a mapping from an ordinal number to  $2 := \{1, -1\}$ . The first few examples are sequences:

	-3	-2	$-\frac{3}{2}$	-1	$-\frac{3}{4}$	$-\frac{1}{2}$	$-\frac{1}{4}$	0	$\frac{1}{4}$	$\frac{1}{2}$	$\frac{3}{4}$	1	$\frac{3}{2}$	2	3
$2^0$	()														
$2^1$	(-1)							(1)							
$2^2$	$\begin{pmatrix} -1 \\ -1 \end{pmatrix}$	(-1)			$\begin{pmatrix} -1 \\ 1 \end{pmatrix}$	(-1)				$\begin{pmatrix} 1 \\ -1 \end{pmatrix}$	(1)			$\begin{pmatrix} 1 \\ 1 \end{pmatrix}$	
$2^3$	$\begin{pmatrix} -1 \\ -1 \\ -1 \end{pmatrix}$	$\begin{pmatrix} -1 \\ 1 \\ -1 \end{pmatrix}$	$\begin{pmatrix} -1 \\ -1 \\ 1 \end{pmatrix}$	$\begin{pmatrix} -1 \\ 1 \\ 1 \end{pmatrix}$	$\begin{pmatrix} -1 \\ -1 \\ -1 \end{pmatrix}$	$\begin{pmatrix} -1 \\ 1 \\ -1 \end{pmatrix}$	$\begin{pmatrix} 1 \\ -1 \\ -1 \end{pmatrix}$	$\begin{pmatrix} 1 \\ -1 \\ 1 \end{pmatrix}$	$\begin{pmatrix} 1 \\ 1 \\ -1 \end{pmatrix}$	$\begin{pmatrix} 1 \\ -1 \\ 1 \end{pmatrix}$	$\begin{pmatrix} 1 \\ 1 \\ -1 \end{pmatrix}$	$\begin{pmatrix} 1 \\ -1 \\ 1 \end{pmatrix}$	$\begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$	$\begin{pmatrix} 1 \\ -1 \\ 1 \end{pmatrix}$	$\begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$

The surreal numbers in  $2^{\mathbb{N}}$  contain the real numbers, as well as  $\omega := (1, 1, \dots)$ ,  $\epsilon := (1, -1, -1, \dots)$ .

If  $A < B$  are sets of surreal numbers then  $(A|B)$  is the least surreal number such that  $A < x < B$ ; conversely,  $x = (A_x|B_x)$  where

$$A_x := \{x|_\alpha : \alpha < \text{Dom}(x), x(\alpha) = -1\},$$

$$B_x := \{x|_\alpha : \alpha < \text{Dom}(x), x(\alpha) = +1\}$$

e.g.  $0 = (|)$ ,  $3/2 = (1|2)$ . For  $x = (A, B)$ ,  $y = (C, D)$ , let

$$x < y \Leftrightarrow \exists c \in C, x \leq c \text{ OR } \exists b \in B, b \leq y$$

$$x + y := ((A + y) \cup (x + C) \mid (B + y) \cup (x + D)) \text{ where } A + y := \{a + y : a \in A\}$$

$$xy := (\{ay + xc - ac\} \cup \{by + xd - bd\} \mid \{ay + xd - ad\} \cup \{by + xc - bc\})$$

where  $a \in A, b \in B, c \in C, d \in D$

Then it can be shown these operations give a field:  $0 + x = x$ ,  $1x = x$ , negatives  $-x = (-B, -A)$ , reciprocals exist, etc..

### References

1. Henriksen, "A survey of f-rings and some of their generalizations"
2. Steinberg, "Lattice-ordered Rings and Modules"